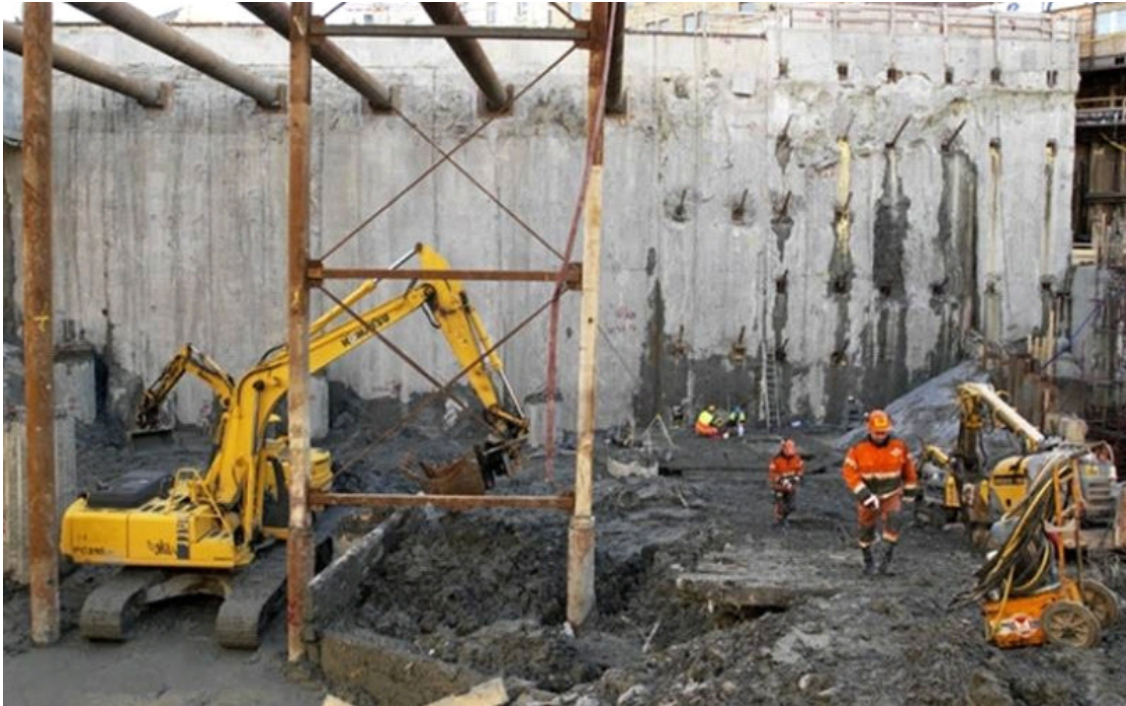




**CHALMERS**  
UNIVERSITY OF TECHNOLOGY

---



# **Sensitivity analysis of numerical models for diaphragm walls with cross-walls in soft soils**

Master's thesis in Master Programme Infrastructure and Environmental Engineering

ROBIN BORGH



MASTER'S THESIS

**Sensitivity analysis of numerical models for  
diaphragm walls with cross-walls in soft soils**

ROBIN BORGH



Department of Architecture and Civil Engineering  
*Division of Division Geology and Geotechnics*  
CHALMERS UNIVERSITY OF TECHNOLOGY  
Gothenburg, Sweden 2018

Sensitivity analysis of numerical models for diaphragm walls with cross-walls in soft soils

ROBIN BORGH

© ROBIN BORGH, 2018.

Academic supervisor:

Senior Lecturer Mats Karlsson

Department of Architecture and Civil Engineering,

Division of Geology and Geotechnics

Industrial supervisor:

Geotechnical Engineer Jorge Yannie

NCC Sweden

Engineering and Sustainable development

Industrial supervisor:

Leading Geotechnical Specialist Tara Wood

NCC Sweden

Engineering and Sustainable development

Examiner:

Professor Minna Karstunen

Department of Architecture and Civil Engineering,

Division of Geology and Geotechnics

Master's Thesis

Department of Architecture and Civil Engineering

Division of Geology and Geotechnics

Chalmers University of Technology

SE-412 96 Gothenburg

Telephone +46 31 772 1000

Cover: Picture from the construction of the Lilla Bommen tunnel part (Alén et al., 2006).

Typeset in L<sup>A</sup>T<sub>E</sub>X

Gothenburg, Sweden 2018

Sensitivity analysis of numerical models for diaphragm walls with cross-walls in soft soils

ROBIN BORGH

Department of Architecture and Civil Engineering

Division of Geology and Geotechnics

Chalmers University of Technology

## Abstract

This master thesis presents a sensitivity analysis of parameters used in modelling a deep excavation in soft soil. The main purpose is to understand the impact of different parameters while modeling for the deep excavation of a new railway station in the West Link project, Gothenburg, Sweden.

One of the challenges in this project is to predict how the usage of an international proven construction method will perform in the specific soft soil properties of the Gothenburg clay, and how to best model it. The thesis presents the experience of similar projects conducted with similar conditions and how the support structure of diaphragm wall and cross-walls is used and installed.

In order to create a model representing these particular circumstances, the Lilla Bommen section of the Göta Tunnel project is modelled as a reference model using the PLAXIS 2D program with two different material models representing the clay behavior. The chosen material models are the Hardening soil model using small strain and the NGI ADP-model.

One of the difficulties in modelling the excavation, supported by the diaphragm wall and cross-wall, was to simulate the 3D effects of the perpendicular cross-wall in a 2D model, where the out-of-plane spacing and the interface between the structure and soil of cross-wall is unable to be recreated. However, this was solved by converting the lateral support of the cross-wall into imaginary steel truss and having the cross-wall parallel to the diaphragm wall instead.

The comparison between the material models showed that the NGI-ADP-model had greater displacements than the Hardening Soil small strain model. The sensitivity analysis of the parameter study also showed some interesting results of what parameters have the larger impact. The interface strength  $R_{inter}$  is hard to estimate due to several reasons but had an effect on the bending moment and lateral deformations of the diaphragm wall. The stiffness parameters of the Hardening Soil model were hard to study separately since they are dependent on each other. In the NGI-ADP-model the ratio of un-/reloading shear stress modulus and active plane strain shear strength  $G_{ur}/S_u^A$  showed to have notable impact on the heave at the excavation bottom.

Keywords: Soft soil, diaphragm walls, cross-walls, Hardening soil model, small strain stiffness, NGI-ADP.



# Acknowledgements

This thesis have been written at the Department of Infrastructure and Environmental Engineering at Chalmers University of Technology and in collaboration with NCC Engineering and Sustainable development department. After a long and quite periodic journey, I would like express my gratitude to the people who have been involved and helped me trough the process.

First of all, special thanks to Jorge Yannie for your support and interest in helping me with this master thesis. Especially in the moments of doubt, when you optimistically encouraged me to carry on. Also a particular acknowledgment to Tara Wood for her limitless geotechnical expertise and inputs. I would also like to thanks Mats Karlsson for assisting me trough this long journey, for your advises that I now in hindsight wish I would had listened more carefully to. They all warned me about the difficulties of start working full time and write the master thesis as a side project, and I learn the hard way that they were indeed correct.

Finally I would also thank all my friends and colleagues who encouraged me to finally finish this report.

Robin Borgh, Gothenburg, 2018



*"True knowledge exists in knowing that you know nothing. And in knowing that you know nothing, that makes you the smartest of all."*

Socrates (469-399 BC)



# Contents

|                                                                          |            |
|--------------------------------------------------------------------------|------------|
| <b>List of Figures</b>                                                   | <b>xv</b>  |
| <b>List of Tables</b>                                                    | <b>xix</b> |
| <b>Notations</b>                                                         | <b>xxi</b> |
| <b>1 Introduction</b>                                                    | <b>1</b>   |
| 1.1 Background . . . . .                                                 | 1          |
| 1.2 Aim and objectives . . . . .                                         | 3          |
| 1.3 Methodology . . . . .                                                | 4          |
| 1.4 Limits . . . . .                                                     | 4          |
| <b>2 Literature review</b>                                               | <b>5</b>   |
| 2.1 Deep excavations and support structures . . . . .                    | 5          |
| 2.2 Diaphragm walls . . . . .                                            | 6          |
| 2.2.1 Construction method . . . . .                                      | 6          |
| 2.2.2 Cross-walls . . . . .                                              | 8          |
| 2.2.3 Cut-and-cover method . . . . .                                     | 9          |
| 2.3 Previous experiences of diaphragm and cross-walls . . . . .          | 10         |
| 2.3.1 The Studenterlunden tunnel, Oslo, Norway . . . . .                 | 10         |
| 2.3.2 The Göta tunnel project, Lilla Bommen . . . . .                    | 13         |
| 2.3.3 UPIB-building, Taipei city, Taiwan . . . . .                       | 15         |
| 2.3.4 Summarized experiences from the SBUF-report . . . . .              | 16         |
| 2.4 Laboratory soil tests . . . . .                                      | 17         |
| 2.4.1 Undrained triaxial test . . . . .                                  | 17         |
| 2.4.2 Oedometer and CRS tests . . . . .                                  | 18         |
| 2.5 Material models . . . . .                                            | 19         |
| 2.5.1 Linear Elastic model . . . . .                                     | 19         |
| 2.5.2 Mohr-Coulomb model (MC) . . . . .                                  | 20         |
| 2.5.3 Hardening Soil model (HS) . . . . .                                | 23         |
| 2.5.4 Hardening Soil model with small-strain stiffness (HSs) . . . . .   | 26         |
| 2.5.5 NGI-ADP: Anisotropic shear strength model for clay (ADP) . . . . . | 29         |
| 2.5.6 Comparison of HS and ADP . . . . .                                 | 32         |
| <b>3 Case Study</b>                                                      | <b>33</b>  |
| 3.1 The Lilla Bommen Tunnel (LBT) . . . . .                              | 33         |
| 3.1.1 Location . . . . .                                                 | 34         |

|          |                                                                |            |
|----------|----------------------------------------------------------------|------------|
| 3.1.2    | Geometry . . . . .                                             | 35         |
| 3.1.3    | Excavation sequence of LBT . . . . .                           | 35         |
| 3.2      | Implementation . . . . .                                       | 36         |
| 3.2.1    | Modelling assumptions . . . . .                                | 36         |
| 3.2.2    | Structure geometry . . . . .                                   | 36         |
| 3.2.3    | 3D-2D converting method . . . . .                              | 38         |
| 3.2.4    | Phases . . . . .                                               | 39         |
| 3.2.5    | Flow conditions . . . . .                                      | 40         |
| 3.2.5.1  | Bottom heave and Safety Analysis . . . . .                     | 40         |
| 3.2.6    | Interfaces . . . . .                                           | 42         |
| 3.2.7    | Input data for structures . . . . .                            | 44         |
| 3.2.7.1  | Diaphragm wall and cross-wall . . . . .                        | 44         |
| 3.2.7.2  | Temporary sheet pile wall . . . . .                            | 45         |
| 3.2.7.3  | Piles . . . . .                                                | 45         |
| 3.2.7.4  | Struts . . . . .                                               | 46         |
| 3.2.7.5  | Truss . . . . .                                                | 47         |
| 3.3      | Selected models and parameters . . . . .                       | 47         |
| 3.4      | Soil parameters . . . . .                                      | 49         |
| 3.4.1    | Initial In-situ . . . . .                                      | 51         |
| 3.4.2    | Numerical model parameters . . . . .                           | 52         |
| 3.5      | Simulation of soil parameters . . . . .                        | 55         |
| 3.5.1    | CRS test . . . . .                                             | 55         |
| 3.5.2    | Triaxial test . . . . .                                        | 57         |
| <b>4</b> | <b>Results</b>                                                 | <b>61</b>  |
| 4.1      | Parameters . . . . .                                           | 61         |
| 4.1.1    | Interface parameters . . . . .                                 | 61         |
| 4.1.2    | HSs stiffness parameters . . . . .                             | 62         |
| 4.1.3    | ADP stiffness and strength parameters . . . . .                | 62         |
| 4.2      | Output variables . . . . .                                     | 63         |
| 4.3      | Base-model comparison and evaluation . . . . .                 | 64         |
| 4.4      | Parameter study and Sensitivity analysis . . . . .             | 71         |
| 4.4.1    | Parameters adaption for the HSs stiffness parameters . . . . . | 71         |
| 4.4.2    | Further investigations of parameters . . . . .                 | 72         |
| <b>5</b> | <b>Discussion</b>                                              | <b>81</b>  |
| <b>6</b> | <b>Conclusion</b>                                              | <b>85</b>  |
|          | <b>Bibliography</b>                                            | <b>87</b>  |
|          | <b>Appendices</b>                                              | <b>I</b>   |
| <b>A</b> | <b>Drawings of Lilla Bommen tunnel</b>                         | <b>III</b> |
| <b>B</b> | <b>Converting stiffness of cross-wall to truss</b>             | <b>IX</b>  |
| <b>C</b> | <b>Calculations</b>                                            | <b>XI</b>  |

|          |                                                  |            |
|----------|--------------------------------------------------|------------|
| <b>D</b> | <b>Input and output data for material models</b> | <b>XV</b>  |
| <b>E</b> | <b>Complete Sensitivity Analysis</b>             | <b>XXI</b> |



# List of Figures

|      |                                                                                                                                            |    |
|------|--------------------------------------------------------------------------------------------------------------------------------------------|----|
| 1.1  | The West Link and the three underground transit stations Centralen, Haga and Korsvägen (OpenStreetMaps, 2015) . . . . .                    | 1  |
| 1.2  | Methodology in this Thesis. . . . .                                                                                                        | 4  |
| 2.1  | Installation steps of diaphragm walls (Keller, 2017). . . . .                                                                              | 6  |
| 2.2  | Example of cross-walls in 3D-, plan- and profile-view. Adapted from (Wu et al., 2014). . . . .                                             | 8  |
| 2.3  | Illustration of conventional Bottom-up and Top-down construction (RailSystem, 2015). . . . .                                               | 9  |
| 2.4  | Excavation overview at Studenterlunden (Karlsrud, 1983). . . . .                                                                           | 10 |
| 2.5  | Construction of Studenterlunden in Oslo, Norway (Rude, 1972) (Rude, 1975). . . . .                                                         | 11 |
| 2.6  | Construction sequence of Studenterlunden using the top-down method (Karlsrud, 1983). . . . .                                               | 11 |
| 2.7  | Horizontal displacements and settlements at Kongensgt (Karlsrud, 1983). . . . .                                                            | 12 |
| 2.8  | Plan view of Lilla Bommen tunnel (Karlsrud et al., 2006). . . . .                                                                          | 13 |
| 2.9  | Lilla Bommen; design cross-section and PLAXIS model cross-section (Karlsrud et al., 2006). . . . .                                         | 14 |
| 2.10 | Construction of Lilla Bommen tunnel, using the cut-and-cover "bottom up" method (Trafikverket, 2016). . . . .                              | 14 |
| 2.11 | Cross-wall and buttress-wall used in the construction of UPIB. (Ou et al., 2010) . . . . .                                                 | 15 |
| 2.12 | UPIB-building, Taipei city, Taiwan (Ou et al., 2010). . . . .                                                                              | 15 |
| 2.13 | $p' - q$ plots, adapted from (Knappett and Craig, 2012). . . . .                                                                           | 17 |
| 2.14 | Vertical and horizontal stresses, overconsolidated ratio $OCR$ and pre-overconsolidation pressure $POP$ . . . . .                          | 18 |
| 2.15 | Stress and strain relation according to Hooke's law. . . . .                                                                               | 19 |
| 2.16 | Linear elastic perfectly-plastic model, adapted from (Brinkgreve, 2017b) 20                                                                |    |
| 2.17 | Illustration of Mohr-Coulomb failure criterion, adapted from (Brinkgreve, 2017b). . . . .                                                  | 21 |
| 2.18 | Illustration of volume change due to dilatancy $\psi$ , (Brinkgreve, 2017b). 22                                                            |    |
| 2.19 | Stress paths of undrained (a) and real (b) conditions, based on figures from (Brinkgreve, 2017b). . . . .                                  | 22 |
| 2.20 | The plotted HS-model stiffness parameters retrieved from the results of triaxial and oedometer tests. Adapted from (Karstunen, 2016) . . . | 23 |

|      |                                                                                                                                        |    |
|------|----------------------------------------------------------------------------------------------------------------------------------------|----|
| 2.21 | The effect off dilatancy cut off in a strain curve from a standard triaxial test, retrieved from (Brinkgreve, 2017b).                  | 25 |
| 2.22 | Cap yield surfaces in a HS-model(Brinkgreve, 2017b).                                                                                   | 25 |
| 2.23 | Characteristic stiffness-strain behaviour                                                                                              | 26 |
| 2.24 | Stiffness parameters $E_{50}$ , $E_{ur}$ and $E_0$ in a drained triaxial test. Adapted from Brinkgreve (2017b).                        | 27 |
| 2.25 | Stiffness parameters in cyclic shear test, adapted from Brinkgreve (2017b)                                                             | 28 |
| 2.26 | The shear strain level at which the $G$ is reduced to about 70 % of $G_0$ , adapted from Brinkgreve (2017b).                           | 28 |
| 2.27 | ADP stress-path illustration (Grimstad et al., 2012).                                                                                  | 29 |
| 2.28 | Examples of typical stress-paths and stress-strain curves for triaxial compression and extension, adapted from Grimstad et al. (2012). | 30 |
| 2.29 | Strength parmeters in a typical deviatoric plane strain plot of equal shear strain contours, (Grimstad et al., 2012).                  | 31 |
| 3.1  | Location of LBT (red) and central station in the West Link (yellow), adapted from (MetroArkitekter, 2018)                              | 33 |
| 3.2  | Location of LBT cross-section, adapted from (Karlsrud, 1983).                                                                          | 34 |
| 3.3  | LBT drawings, section view E-E (2/800).                                                                                                | 35 |
| 3.4  | LBT excavation sequence.                                                                                                               | 35 |
| 3.5  | Dimensions of LBT support structure and excavation.                                                                                    | 36 |
| 3.6  | Section example from PLAXIS-model (Phase 7).                                                                                           | 37 |
| 3.7  | Illustration of the 3D-2D converting method. Shifting the CW from perpendicular to parallel to the DW.                                 | 38 |
| 3.8  | Water flow and interpolation in phase 7.                                                                                               | 40 |
| 3.9  | Basic mechanism of bottom heave.                                                                                                       | 40 |
| 3.10 | Interfaces in the PLAXIS-model.                                                                                                        | 42 |
| 3.11 | Typical standard pile, (Axelsson, 2016).                                                                                               | 45 |
| 3.12 | Soil layers adaption. HSs & ADP from RegionCity adapeted for LBT.                                                                      | 48 |
| 3.13 | In-situ stresses, pore water pressure, OCR and $K_0$ .                                                                                 | 51 |
| 3.14 | Young's modules $E_{50}$ , $E_{oed}$ and $E_{ur}$ .                                                                                    | 52 |
| 3.15 | Shear modules, initial $G_0$ and un-/reloading $G_{ur}$ .                                                                              | 53 |
| 3.16 | Initial mobilisation ratio of $\tau_0/s_u^A$ , a comparison between simulation and lab test values.                                    | 54 |
| 3.17 | CRS plots of stress-strain and logarithmic stress-strain in the HSs-model.                                                             | 56 |
| 3.18 | Comparison of $p' - q$ stress space in a triaxial test in the HSs-model.                                                               | 57 |
| 3.19 | Comparison of major principal strain and deviatoric shear between simulation and lab test values in the HSs-model.                     | 58 |
| 3.20 | Comparison of shear strength $S_u$ between simulation and lab test values in the ADP-model.                                            | 59 |
| 4.1  | HSs/ADP comparison. Plot of $u_x$ deformation and deformed mesh view scaled up 50 times.                                               | 64 |
| 4.2  | HSs/ADP comparison. M-diagram and deformed mesh scaled up 50 times.                                                                    | 65 |

|      |                                                                                                                          |      |
|------|--------------------------------------------------------------------------------------------------------------------------|------|
| 4.3  | HSs/ADP comparison. Axial normal forces acting on strut and truss.                                                       | 66   |
| 4.4  | HSs/ADP comparison. Active/Passive earth pressure $\sigma$ .                                                             | 67   |
| 4.5  | HSs/ADP comparison. Heave at excavation bottom.                                                                          | 68   |
| 4.6  | HSs/ADP comparison. Settlements $u_y$ behind the diaphragm wall.                                                         | 69   |
| 4.7  | Settlements shown in PLAXIS of the HSs-model.                                                                            | 69   |
| 4.8  | Matrix of the Sensitivity Analysis.                                                                                      | 72   |
| 4.9  | Output parameters for calculation number 6. Presenting deformation, bending moment and axial forces.                     | 73   |
| 4.10 | Output parameters for calculation number 7. Presenting deformation, bending moment and axial forces.                     | 74   |
| 4.11 | Output parameters for calculation number 8. Presenting deformation, bending moment and axial forces.                     | 75   |
| 4.12 | Output parameters for calculation number 12. Presenting heave at excavation and settlement behind the wall.              | 76   |
| 4.13 | Output parameters for calculation number 13. Presenting deformation, heave at excavation and settlement behind the wall. | 77   |
| 4.14 | Output parameters for calculation number 16. Presenting heave at excavation and settlement behind the wall.              | 78   |
| 5.1  | The trend of the displacements in HSs (yellow) and ADP (blue)                                                            | 83   |
| C.1  | Illustration of variables for calculation of basal heave stability, adapted from (Sällfors, 2013).                       | XII  |
| C.2  | Stability factor for braced excavations, adapted from (Kullingsjö, 2007).                                                | XIII |



# List of Tables

|      |                                                                                                  |    |
|------|--------------------------------------------------------------------------------------------------|----|
| 3.1  | Settings for the modelled phases. . . . .                                                        | 39 |
| 3.2  | Parameters for the interfaces between DW/Soil and DW/CW. . . . .                                 | 43 |
| 3.3  | Input data for the diaphragm wall and cross-wall. . . . .                                        | 44 |
| 3.4  | Input data for the SPW. . . . .                                                                  | 45 |
| 3.5  | Input data for the piles. . . . .                                                                | 46 |
| 3.6  | Input data for the struts. . . . .                                                               | 46 |
| 3.7  | Input data for the trusses. . . . .                                                              | 47 |
| 3.8  | General input parameters to both material models. . . . .                                        | 49 |
| 3.9  | Specific parameters to HSs-model. . . . .                                                        | 49 |
| 3.10 | Specific parameters to the ADP-model. . . . .                                                    | 50 |
| 3.11 | Specific parameters to the ADP-model. . . . .                                                    | 50 |
| 3.12 | Input data CRS SoilTest. . . . .                                                                 | 55 |
| 3.13 | Input data Triaxial SoilTest. . . . .                                                            | 57 |
| 4.1  | Parameter settings for calculation number 6. . . . .                                             | 73 |
| 4.2  | Parameter settings for calculation number 7. . . . .                                             | 74 |
| 4.3  | Parameter settings for calculation number 8. . . . .                                             | 75 |
| 4.4  | Parameter settings for calculation number 12. (Calculated with new<br>reference values). . . . . | 76 |
| 4.5  | Parameter settings for calculation number 13. . . . .                                            | 77 |
| 4.6  | Parameter settings for calculation number 16. . . . .                                            | 78 |



# Notations

## Roman upper case letters

|             |                                               |          |
|-------------|-----------------------------------------------|----------|
| $E_0$       | Small strain Young's modulus                  | $kN/m^2$ |
| $E_{50}$    | Secant stiffness Young's modulus              | $kN/m^2$ |
| $E_{oed}$   | Tangent stiffness Young's modulus             | $kN/m^2$ |
| $E_{ur}$    | Unloading/reloading stiffness Young's modulus | $kN/m^2$ |
| $G$         | Shear modulus                                 | -        |
| $G_0$       | Initial shear modulus ratio                   | -        |
| $G_{ur}$    | Unloading/reloading shear modulus ratio       | -        |
| $K_0$       | Coefficient of lateral earth pressure at rest | -        |
| $K_0^{nc}$  | $K_0$ -value for normal consolidation         | -        |
| $R_f$       | Failure ratio $q_f/q_a$ (default $R_f=0.9$ )  | -        |
| $R_{inter}$ | Interface strength                            | -        |

## Roman lower case letters

|            |                                               |          |
|------------|-----------------------------------------------|----------|
| $c'$       | Effective cohesion                            | $kN/m^2$ |
| $c_u, s_u$ | Undrained shear strength                      | $kN/m^2$ |
| $m$        | Power of stress-level dependency of stiffness | —        |
| $p^{ref}$  | Reference stress for stiffness                | $kN/m^2$ |
| $p'$       | Mean effective stress                         | —        |
| $q$        | Deviatoric stress                             | $kN/m^2$ |
| $s'$       | Stress invariant                              | —        |
| $s_u$      | Undrained shear strength                      | $kN/m^2$ |
| $t$        | Stress invariant                              | —        |

**Greek lower case letters**

|                      |                                                                |          |
|----------------------|----------------------------------------------------------------|----------|
| $\gamma$             | Shear strain                                                   | %        |
| $\nu$                | Poission's ratio                                               | -        |
| $\nu_{ur}$           | Poission's ratio for un/re-loading<br>(default = 0.2)          | -        |
| $\sigma_{tension}$   | Tensile strength (default $\sigma_{tension}$ = 0 stress units) | $kN/m^2$ |
| $\sigma_v, \sigma_1$ | Vertical stress                                                | $kN/m^2$ |
| $\sigma_h, \sigma_3$ | Horizontal stress                                              | $kN/m^2$ |
| $\phi$               | Friction angle                                                 | °        |
| $\psi$               | Angle of dilatancy                                             | °        |
| $\tau$               | Shear stress                                                   | $kN/m^2$ |
| $\tau_f$             | Shear stress at failure                                        | $kN/m^2$ |

**Abbreviations**

|       |                                                            |
|-------|------------------------------------------------------------|
| ADP   | NGI-ADP                                                    |
| CIRIA | Construction Industry Research and Information Association |
| CRS   | Constant Rate of Strain                                    |
| CSL   | Critical State Line                                        |
| CW    | Cross-wall                                                 |
| DSS   | Direct Simple Shear                                        |
| DW    | Diaphragm wall                                             |
| FE    | Finite element (model)                                     |
| HS    | Hardening Soil                                             |
| HSs   | Hardening Soil small strain                                |
| LBT   | Lilla Bommen Tunnel                                        |
| MC    | Mohr-Coulomb                                               |
| OCR   | Over Consolidation Ratio                                   |
| POP   | Pre Overconsolidation Pressure                             |
| SBUF  | Svenska Byggbranschens Utvecklingsfond                     |
| SPW   | Sheet Pile Wall                                            |





# 1

## Introduction

### 1.1 Background

In the upcoming construction of the West Link railway tunnel in Gothenburg, new challenges have emerged since only a few similar projects of this size have been constructed in Sweden. Especially with the peculiar geotechnical conditions of the soft clay in the Gothenburg region.



**Figure 1.1:** The West Link and the three underground transit stations Centralen, Haga and Korsvågen (OpenStreetMaps, 2015)

Large infrastructure projects like this located within a dense urban area could have a significant impact on nearby buildings and the environment, which results in high requirements from the Swedish authorities. This requires a stable, robust and secure geotechnical construction to minimize the negative effects for its surroundings, but also to facilitate the works during the construction phase. One of the many solutions, which the West Link project will use, are diaphragm walls in combination with cross-walls for the deep and wide excavation of the transit underground station at Centralen. This method is widely used in international projects for deep excavations in limited urban areas (Long, 2001).

However, this construction method has not been commonly used in Sweden, leastwise not as a permanent part in the construction design. The main reason for this has been higher technical demands from the Swedish authorities compared to international equivalents and therefore not economically beneficial.

The higher technical demand for using diaphragm walls in Sweden was more or less a consequence of applying existing standards for concrete constructions and without considering the purpose for the specific solution. Recently an investigation of these regulations were conducted and resulted in changing the regulations for this method and therefore becoming an economical beneficial solution.

The practical knowledge and field experience for this method, with the specific Gothenburg soil conditions, is therefore quite limited. For that reason it is vital for the West Link project to have reliable models to predict the effects of using excavation methods such as the diaphragm walls in soft clay.

Numerical calculations have been used for a long time, however the material models predicting the soil behavior are constantly improved and new models are developed. Due to the complex behavior of soil properties and various soil types, the material models are focusing on different aspects which makes it applicable and suitable for different types of output, but also need different parameters as input data.

## 1.2 Aim and objectives

The aim of this thesis is to investigate the use of diaphragm walls in combination with cross-walls for deep excavations in soft clay. This will be conducted by numerical modelling of a case study, using two different material models, and present the result in a sensitivity analysis.

The objectives are as follows:

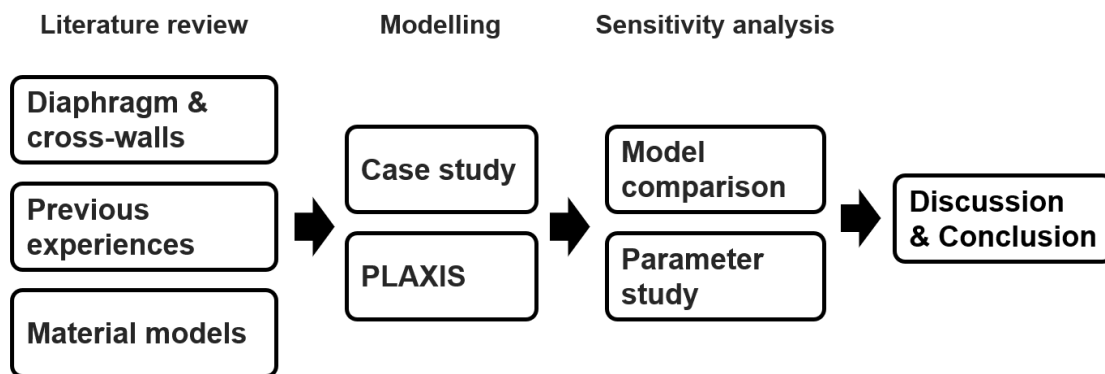
- Explain the use and function of diaphragm walls, and in combination with cross-walls.
- Investigate the experiences of using diaphragm walls and cross-walls in Sweden, but also in other projects with similar conditions.
- Explain two numerical material models in commercial usage for a numerical analysis of deep excavations in soft soil.
- Model a deep excavation using diaphragm walls and cross-walls in a numerical analysis.
- Compare the differences in using the two different material models.
- Conduct a sensitivity analysis of the parameters used in the two models.
- Validate the theoretical results with real results from similar conditions.

### 1.3 Methodology

Initially a literature study will be conducted to explain the key aspects related to the method of using diaphragm walls and cross-walls. It will answer some of the objectives regarding the diaphragm walls, cross-walls, previous experiences and the relevant geotechnical and numerical theory.

Secondly a case study will be study from one of the previous experiences in Sweden, in this case the Göta Tunnel. A model will be created by gathering as much information as possible from old drawings, field tests etc. with a type section that approximately will represent the the Göta tunnel.

Finally a sensitivity analysis will be conducted as the result for the discussion and conclusion, see the illustrated implementation in Figure 1.2.



**Figure 1.2:** Methodology in this Thesis.

### 1.4 Limits

- This thesis is intended for readers with elementary geotechnical knowledge at university studies, for more information and theory see reference literature and articles.
- Model used are commercial available only.
- Only 2D-modelling in the numerical analysis.
- Validation by back-calculations of real measurements was initially outlined but had to be dismissed due to lack of time and relevant data.
- Limited evaluation and calibration of parameter values. Given values from nearby field test were compared with simulated lab tests of the assumed parameters for the model.

# 2

## Literature review

This section will briefly explain some necessary technical terms, construction methods, experiences and relevant geotechnical theory used in this thesis. However, it is only a limited review since focus will be within the modelling. For further details see the referred literature and articles.

### 2.1 Deep excavations and support structures

The depth of a deep excavation can vary according to different definitions. This thesis will use the same definition stated in the book "Deep Excavations: a practice manual" by Puller (2003) who refers to a CIRIA Report on trenching practice. The report make a approximate division between shallow and deep excavation at a depth of 6 m.

Several types of earth retaining wall systems can be used to support deep excavations based on different criteria such as size of excavation, ground conditions, groundwater level, limits in displacements, cost, etc (Ergun, 2008). The most commonly used support structures for excavations are listed below, most of them are often combined with some of the other methods.

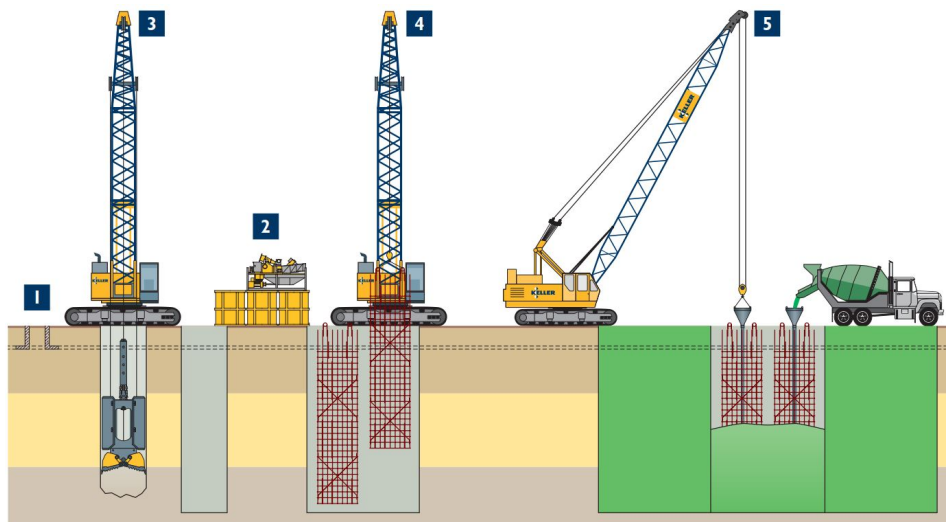
- Braced walls, soldier pile and lagging walls.
- Sheet-piling or sheet pile walls.
- Jet grout and deep mixed walls.
- Pile walls (contiguous and secant).
- Diaphragm walls and cross-walls.
- Cofferdams and caissons (watertight retaining systems).

## 2.2 Diaphragm walls

This thesis will focus on the use of diaphragm walls as an earth pressure retaining system. The concept is quite simple; a reinforced concrete wall is founded in a narrow trench upheld by a support slurry, see Figure 2.1. The method is well used internationally and often for deep excavations used for basements and infrastructure projects within urban areas (Alén et al., 2006). The advantage of diaphragm walls is that it achieves a stiff and sealed structure and minimizes the effect of its surroundings in terms of noise, deformation, lowering of groundwater and activities interruptions. The last two factors, are very important within a urban area considering a social-economical perspective. However, the usage of this technique in Sweden have been quite limited due to high requirements from the Swedish authorities which only allowed the method to be used as temporal construction method. This regulation made it difficult for the diaphragm wall method to be beneficial. Since 2007 it was approved as permanent part of the structure after an extensive research investigation conducted by the Swedish Transport Administration and the Development Fund of the Swedish Construction Industry, short in Swedish SBUF (Alén et al., 2006).

### 2.2.1 Construction method

Figure 2.1 and following explanations describe the installation of a diaphragm wall according to Keller (2017) and Lager and Persson (2005). The procedure are more or less the same for all type of projects and ground conditions. During the construction of diaphragm walls each element are divided into panels with a thickness around 0,5-1,5 m and length up to 10 m. The depth of a panel can range between a few meters and in some extreme cases down to 120 meters (Alén et al., 2006).



**Figure 2.1:** Installation steps of diaphragm walls (Keller, 2017).

### **Step 1. Guide walls**

Initially guide walls are constructed by reinforced concrete to stabilize the upper part of the diaphragm wall. They reflect the geometry of the diaphragm walls and ensure their verticality. The guide walls also enables required level of support slurry needed for stabilizing the open trench.

### **Step 2. Preparation of supporting slurry**

Diaphragm walls are stabilized by using the hydrostatic pressure developed by a support slurry, usually based on bentonites or specific polymers.

### **Step 3. Excavation of panels**

Depending on the soil properties different special excavators are used such as rope grabs or trench cutters with special shovels adapted for the specific soil. The excavation need to be executed carefully since the trench walls act as the concrete formwork.

### **Step 4. Installation of reinforcement cages**

The reinforcement are positioned by cranes in the trench and can consist of several reinforcements cages. However, it is important to ensure necessary spacing for the concrete to flow trough the reinforcement.

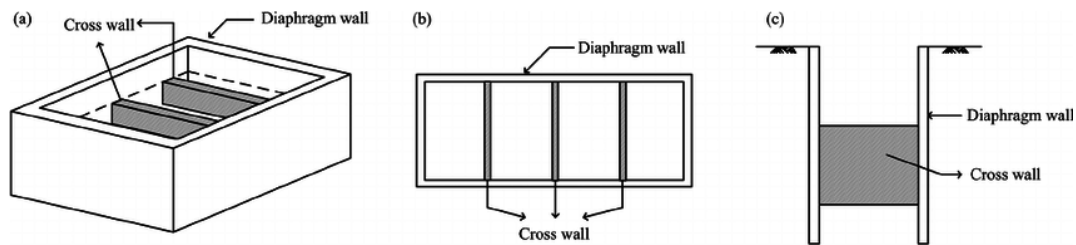
### **Step 5. Concreting of panels**

As soon as the reinforcements are in place the concrete process starts. While filling the trench with concrete, it replaces the support slurry due to higher density. The displaced support slurry get pumped into special storage for reuse in the next panel excavation. To ensure water tightness between adjacent panels, special designed seals are used for the joints between the panels.

### 2.2.2 Cross-walls

Cross-walls are an additional support to the diaphragm walls and constructed in the same way but perpendicular to the diaphragm walls. They are generally located under the excavation bottom. According to Hsieh et al. (2008) cross-walls are often used in urban areas to reduce diaphragm wall displacements and associated ground movements. It also provide additional lateral support to prevent inward displacements of diaphragm walls during the excavation.

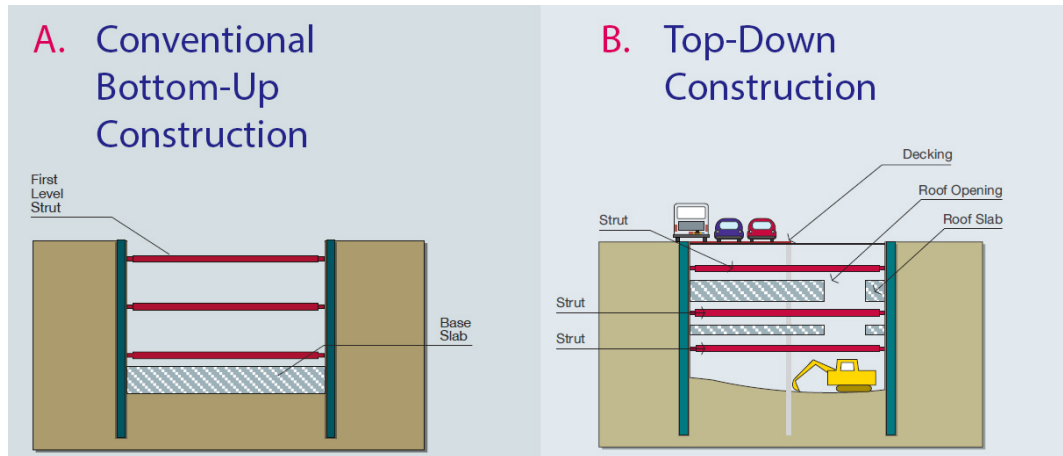
One major advantage of using cross-walls in deep excavations is the possibility of being constructed at same time as the diaphragm walls and with the same construction method. By using cross-walls instead of struts near the excavation bottom more work space becomes available. See Figure 2.2 from (Wu et al., 2014) for a schematic overview.



**Figure 2.2:** Example of cross-walls in 3D-, plan- and profile-view. Adapted from (Wu et al., 2014).

### 2.2.3 Cut-and-cover method

The cut-and-cover method is a simple construction method commonly used for excavation of tunnels. Initially a support structure, such as diaphragm walls, is installed and during the excavation process additional lateral support of struts and cross-walls is added as well (Li et al., 2014). There are two basic procedures in using the cut-and-cover method, 1) a conventional Bottom-up construction or 2) a Top-down construction. Figure 2.3 illustrate the outline of them both.



**Figure 2.3:** Illustration of conventional Bottom-up and Top-down construction (RailSystem, 2015).

The major difference between them is that in the bottom up construction, the final tunnel structure is independent from the support structure while the Top-down construction integrate the support structure with the final tunnel structure.

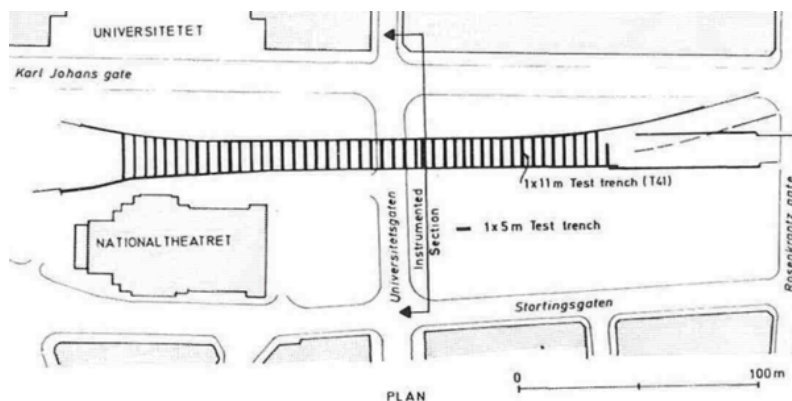
## 2.3 Previous experiences of diaphragm and cross-walls

This section will present experiences of using diaphragm walls and cross-walls in three different projects with similar conditions. All of them are included in the extensive "Database for retaining wall and ground movements due to deep excavations" by Long (2001) and two of them provides considerable inputs to the SBUF report mentioned earlier (Alén et al., 2006). In addition, works from Professor Kjell Karlsrud at the Norwegian Geotechnical Institute, NGI, are a central source for the presented experiences.

For more information of the following projects go to the referred reports and articles, since this section will briefly explain the conditions and state the evaluated advantages and disadvantages of diaphragm walls, cross-walls and analytic modelling of these.

### 2.3.1 The Studenterlunden tunnel, Oslo, Norway

The first project is a deep excavation for a subway and railway tunnel in Studenterlunden Park in central Oslo, see excavation overview in Figure 2.4 from Karlsrud (1983).



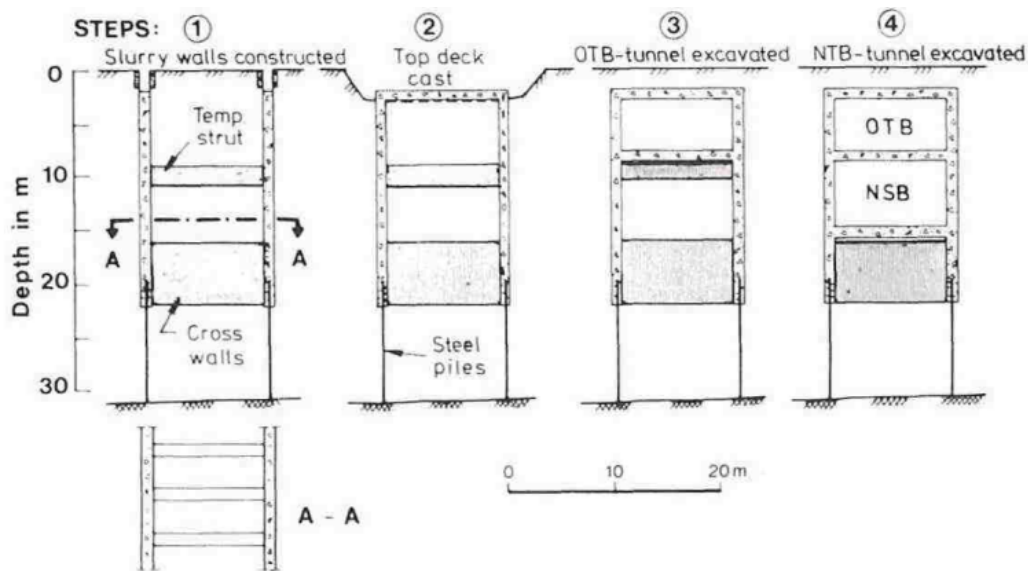
**Figure 2.4:** Excavation overview at Studenterlunden (Karlsrud, 1983).

This project was one of the first using the combination of diaphragm walls with cross-walls in soft soil during the early 1970s (Karlsrud and Andresen, 2008). The excavation was 240 m long and the ground conditions for the construction site consisted of fill and weathered clay crust with underlying soft normally consolidated clay. Figure 2.5 shows the installation of the reinforcement cage in the diaphragm wall and a small part of the excavation where diaphragm walls are supported by struts.



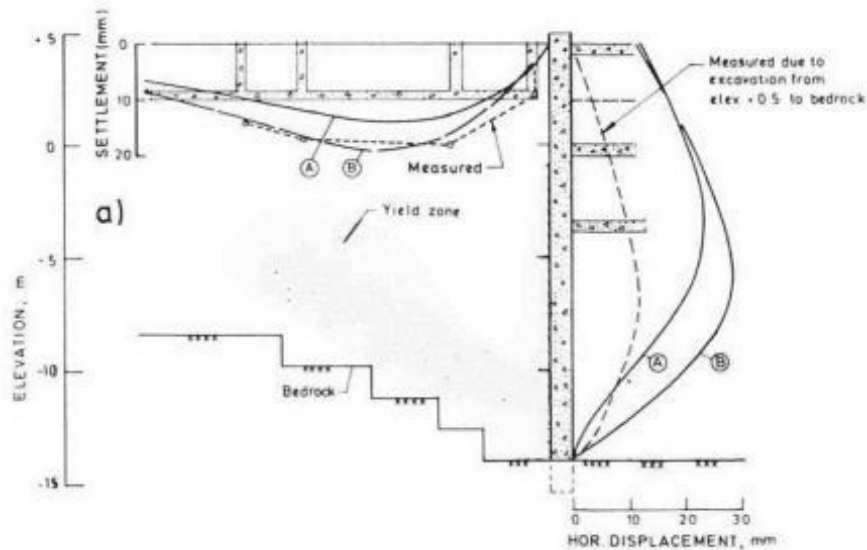
**Figure 2.5:** Construction of Studenterlunden in Oslo, Norway (Rude, 1972) (Rude, 1975).

The excavation depth reached down to 15 m and had a width of 11 m, see Figure 2.6 from Karlsrud (1983) which also illustrate the construction sequence of Studenterlunden. The longitudinal diaphragm wall were first established and extended down to 21 m below the surface, then the cross-walls were installed at the same depth with a spacing of 4.5 m. The excavation was done using a top-down construction method.



**Figure 2.6:** Construction sequence of Studenterlunden using the top-down method (Karlsrud, 1983).

In order to analyze the effects of the cross-walls, a similar project executed a few years earlier at Kongensgt, was closely monitored and modelled with FEM-analysis. Figure 2.7 display the comparison between the the measured displacements and the results from two FEM-analyses assuming different preconditions. For further reading see (Karlsrud, 1983).



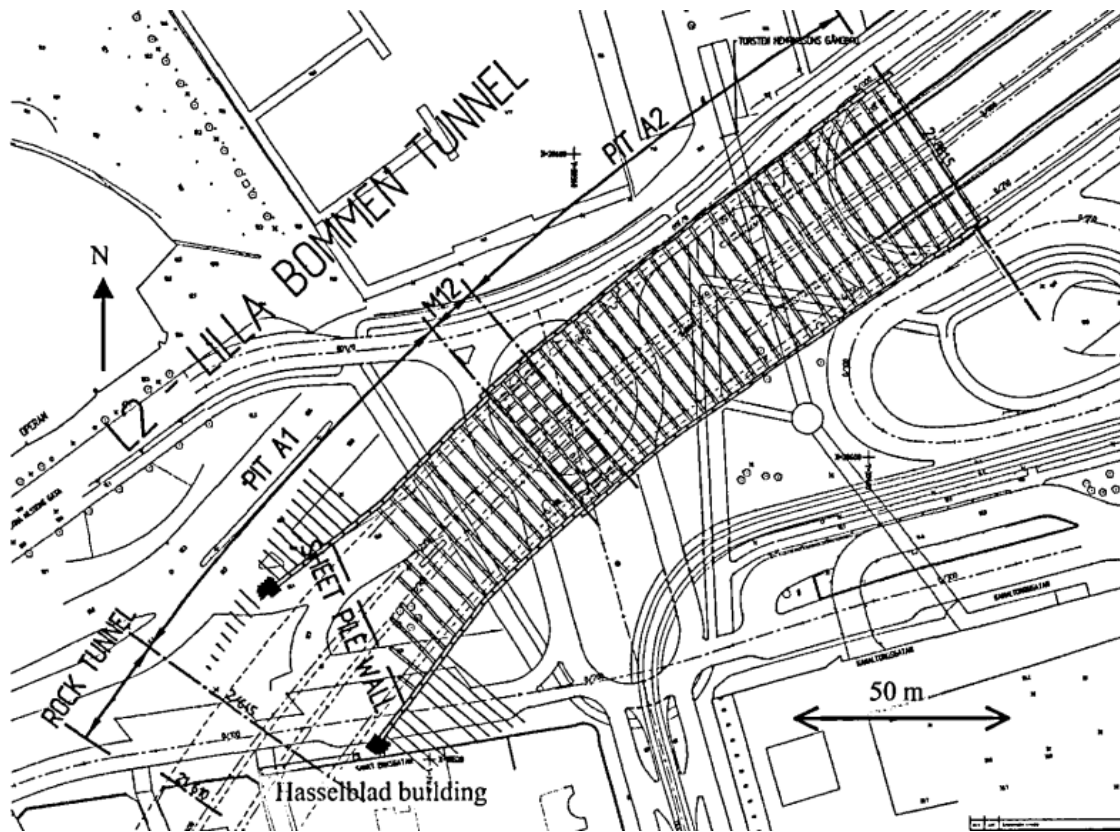
**Figure 2.7:** Horizontal displacements and settlements at Kongensgt (Karlsrud, 1983).

### Experiences from Studentlunden tunnel project

- Except for higher stiffness and strength of a diaphragm wall compared to other flexible earth retaining systems, the designing methods were in principal the same. However, one must be careful adopting common empirical experiences based on the performance observed from other methods, such as sheet pile walls, and use analytical or numerical methods instead. This can be done by using finite element method to include the interaction between soil-wall and support system, making use of the stress-strain characteristics of different media (Karlsrud, 1983).
- The use of cross-walls made it difficult to create a 2D FEM-model and account for its impact (Karlsrud, 1983).
- The cross walls used in Studentlunden had the dual benefit of improving bottom heave stability and also served as pre-installed bottom struts which had a substantial impact on minimizing lateral displacements during the excavation (Karlsrud and Andresen, 2008).
- Due to relatively high construction costs, diaphragm walls are presumably only economically feasible as a permanent part of the structure (Karlsrud, 1983).

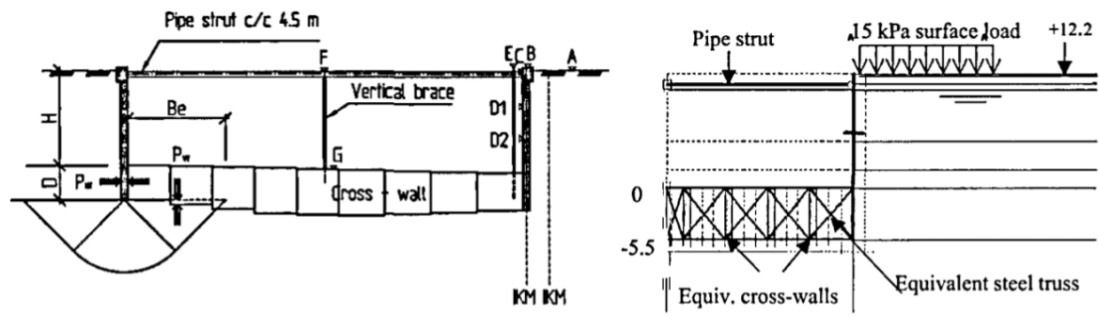
### 2.3.2 The Göta tunnel project, Lilla Bommen

Between the years 2001-2006 a large tunnel was constructed in central Gothenburg between Järntorget and Lilla Bommen to improve the traffic situation in the city centre (Kullingsjö, 2007). The main part of the tunnel goes through bedrock but at each end it cuts through deep soft soil deposits and deep excavations were needed. In the eastern end, at Lilla Bommen, it included a 230 meter long and 8 to 16 meter deep cut-and-cover excavation, see Figure 2.8. It was supported by temporary diaphragm walls, cross-walls under the excavation bottom and struts at ground surface level, a cut-and-cover with bottom up approach (Karlsrud et al., 2006).

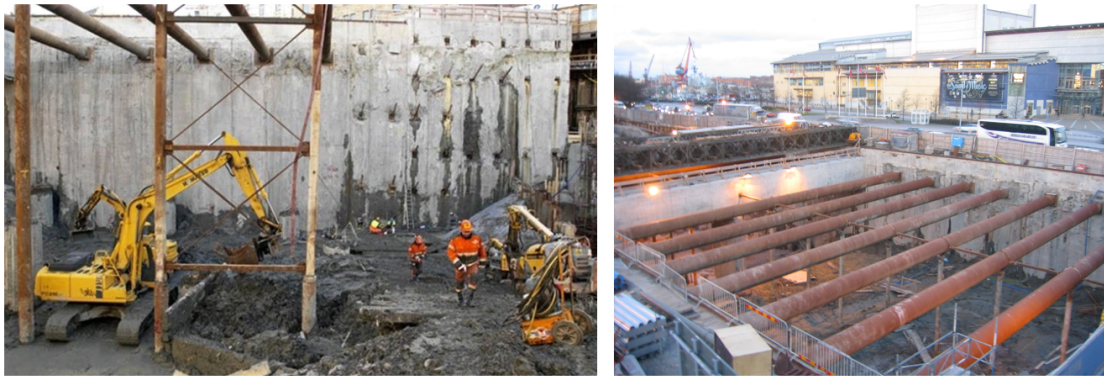


**Figure 2.8:** Plan view of Lilla Bommen tunnel (Karlsrud et al., 2006).

The design concept was a further development of the previous mentioned tunnel-project in Oslo. The very soft clay in combination with large depths to the bedrock made it challenging to prevent bottom heave. The calculation of the design was based on both conventional limit equilibrium analysis and FEM-analysis. Figure 2.9 illustrate a typical cross section in Lilla Bommen to the left and to the right a modelled cross-section used in a FE-program PLAXIS. Figure 2.10 shows the tunnel during the construction, using the cut and cover "bottom up" method (Karlsrud et al., 2006).



**Figure 2.9:** Lilla Bommen; design cross-section and PLAXIS model cross-section (Karlsrud et al., 2006).



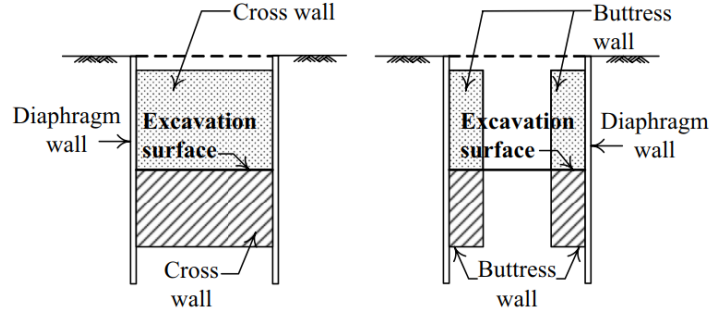
**Figure 2.10:** Construction of Lilla Bommen tunnel, using the cut-and-cover "bottom up" method (Trafikverket, 2016).

### Experiences from the Göta tunnel project

- The combination of diaphragm walls and cross walls in the Lilla Bommen tunnel improved bottom heave stability in the soft clay and reduced displacements to acceptable limits for nearby buildings (Karlsrud et al., 2006).
- Comparison between the predicted and observed soil behaviour were substantially on the safe side. This implicated that a shorter panel depth of the cross walls could have been used or opening up larger parts of the pit, enabling more work space for the construction of the tunnel (Karlsrud et al., 2006).
- The concept of diaphragm walls with cross-walls showed to be successful with maximum lateral displacements after final excavation of only 15 to 30 mm (Karlsrud et al., 2006)

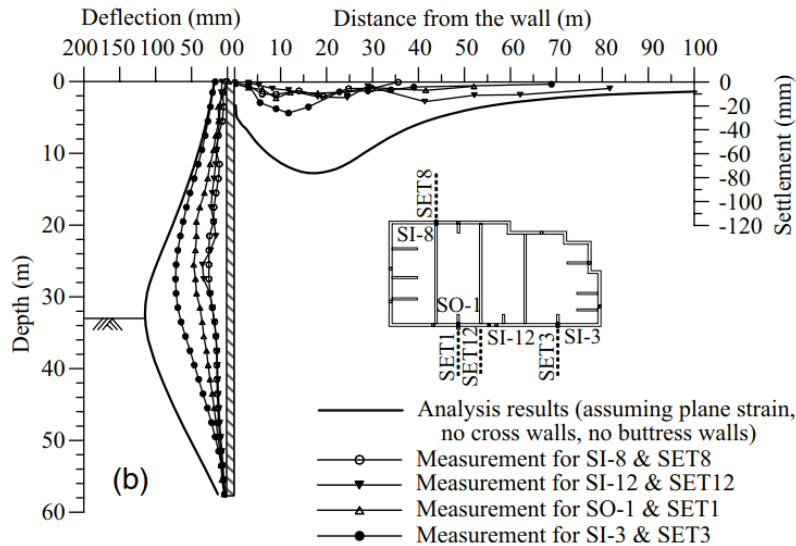
### 2.3.3 UPIB-building, Taipei city, Taiwan

A case study conducted by Ou et al. (2010) investigate the construction of a tall building in the center of Taipei city, Taiwan, called the UPIB-building. It is a 30-story building with a seven-level basement within a dense urban area. The excavation depth of this construction was 32.5 m below surface level and retained by diaphragm walls down to a depth of 57.5 m. The thickness of the diaphragm walls was 1.5 m and supported by cross walls and buttress walls, illustrated in Figure 2.11.



**Figure 2.11:** Cross-wall and buttress-wall used in the construction of UPIB. (Ou et al., 2010)

A numerical analysis was conducted using a simplified small strain soil model described in Ou et al. (2010) to investigate the effect of installation of cross-walls in reducing diaphragm wall deflection and ground movement. In Figure 2.12 a comparison is displayed between the observed movements and analyzed movements in the diaphragm walls at the final excavation stage, with and without using cross-walls.



**Figure 2.12:** UPIB-building, Taipei city, Taiwan (Ou et al., 2010).

### **Experiences from the UPIB-building project**

- Compared to the numerical analysis, the effect of using cross-walls reduced the maximum wall deflection in the diaphragm walls and ground settlements by about 75 % and 82 %, respectively (Ou et al., 2010).
- Wall deflection and ground settlement increased with the increasing depth and distance from the cross-wall (Ou et al., 2010).
- Wall deflection and ground settlement near corners in the excavation showed to be very small, due to the arching effect (Ou et al., 2010).

### **2.3.4 Summarized experiences from the SBUF-report**

The SBUF-report acted as decision basis for the approval of using diaphragm walls, including cross-walls, and presented some of its advantages and disadvantages, SBUF "Slitsmurar som permanenta konstruktioner" (Alén et al., 2006).

#### **Advantages:**

- Diaphragm walls have a high stiffness which enables deeper excavations than conventional retaining systems.
- Installation of diaphragm walls reduce noise and vibrations compared to other methods.
- By using diaphragm walls in deep excavation it enables more work space by reducing other additional supporting structures, e.g. struts.
- The cross-walls can be constructed at the same time as the diaphragm walls, before the excavation starts.

#### **Disadvantages:**

- Limited practical experiences of using diaphragm walls in Sweden.
- Require heavy and space consuming machines during the installation.
- Some installations difficulties can occur in transition zones between soils and bedrock.

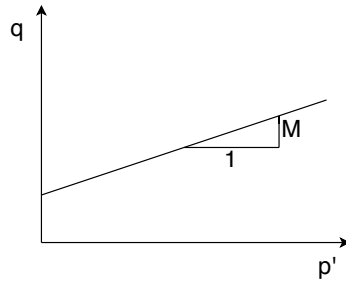
## 2.4 Laboratory soil tests

In order to retrieve data from soil samples, different lab tests will be used in this study and evaluate the soil behavior.

### 2.4.1 Undrained triaxial test

A triaxial test is used for measuring the soil behaviour under shear loading. It is performed by applying axial(vertical)  $\sigma_1$  and radial(horizontal)  $\sigma_3$  stress on a cylindrical undisturbed soil sample in a triaxial apparatus (Knappett and Craig, 2012). This can be performed under drained or undrained conditions, where the later will be used in this study.

The outputs from the test are used to determinate the strength and strain parameters of the soil, namely the friction angle  $\phi$ , effective cohesion  $c'$ , and stiffness parameters. The data can be plotted using different stress relations. A typical international practice is to use stress invariations of deviatoric stress  $q$  and mean effective stress  $p'$ , see Figure 2.13 and Equations in 2.1.



**Figure 2.13:**  $p' - q$  plots, adapted from (Knappett and Craig, 2012).

$$q = \sigma_1 - \sigma_3, \quad p' = \frac{\sigma_1' + 2\sigma_3'}{3} \quad (2.1)$$

The critical state line in  $p'-q$  space is determine using Equation 2.2, and for the case of having a effective cohesion  $c'$ , this is transformed in  $p'-q$  using Equation 2.3.

$$M = \frac{q}{p'} = \frac{6\sin\phi'}{3 - \sin\phi'} \quad \sin\phi' = \frac{3M}{6 + M} \quad (2.2)$$

$$c'_{mc} = c'_e \frac{6\cos\phi}{3 - \sin\phi} \quad c'_{me} = c'_e \frac{6\cos\phi}{3 + \sin\phi} \quad (2.3)$$

### 2.4.2 Oedometer and CRS tests

These tests determine the behaviour of soil by simulating one-dimensional consolidation or swelling. The soil sample is placed in a metallic ring between two porous stone material which allow drainage in a submerged environment. The oedometer test then apply incremental cumulative loading while the CRS test apply a constant rate of strain (Knappett and Craig, 2012).

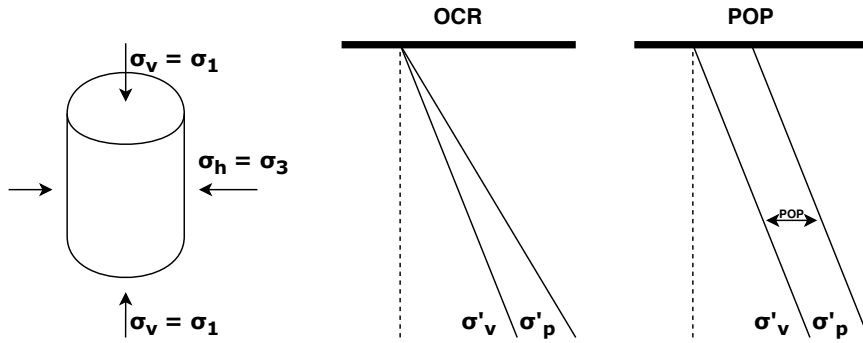
The stress history of the soil can be defined by the maximum effective vertical stress of the past, pre-consolidation pressure  $\sigma'_p$ , and the in-situ vertical effective vertical stress  $\sigma'_v$ . The relation between them is called the overconsolidation ratio OCR, see Equation 2.4 (Knappett and Craig, 2012).

$$OCR = \frac{\sigma'_p}{\sigma'_v} \quad (2.4)$$

It is also possible to specify the initial stress state by using the pre-overburden pressure  $POP$  as an alternative to describe the overconsolidation ratio, see Equation 2.5 (Brinkgreve, 2017a).

$$POP = |\sigma'_p - \sigma'_v| = \Delta\sigma_{POP} \quad (2.5)$$

Figure 2.14 clarify the stress terms together with the soil overconsolidated ratio  $OCR$  and pre-overconsolidation pressure  $POP$ .



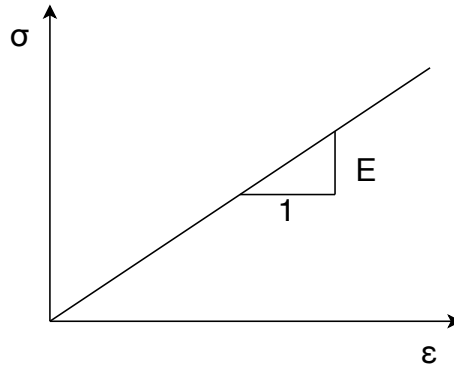
**Figure 2.14:** Vertical and horizontal stresses, overconsolidated ratio  $OCR$  and pre-overconsolidation pressure  $POP$ .

## 2.5 Material models

In the pursuit of describing the behaviour of soils several different models have been developed. These models are constitutive relations between stress and strain and their complexity varies between different type of soils due to soil composition and mechanical behaviour. The following models are briefly described to explain the basis for their use in this thesis, for more detailed explanations it is recommended to read the references.

### 2.5.1 Linear Elastic model

The first and most simplified model describing soil behavior is the elastic model based on Hooke's law, which only assumes elastic deformations and that all strains return to the origin after unloading, see Figure 2.15.



**Figure 2.15:** Stress and strain relation according to Hooke's law.

The parameters used for this model are the elasticity modulus  $E$  and Poisson's ratio  $\nu$  which can be retrieved from triaxial tests. From these two parameters it is possible to calculate two other important parameters, shear modulus  $G$  and bulk modulus  $K$  according to Equation 2.6 and 2.7 (Wood, 2004).

$$G = \frac{E}{2(1 + \nu)} \quad (2.6)$$

$$K = \frac{E}{3(1 - 2\nu)} \quad (2.7)$$

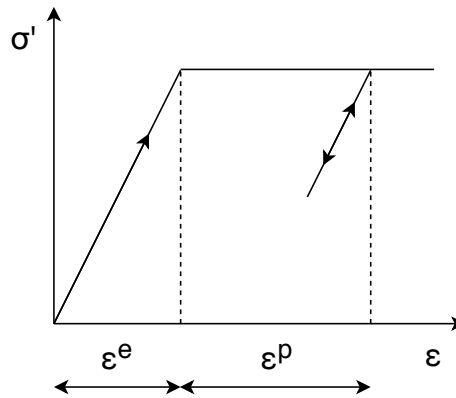
The shear modulus state the relation between shear stress and strain while the bulk modulus describe volume changes due to isotropic pressure. Changes in strain  $\delta\epsilon$ , which occur at stress changes in the mean effective stress  $p'$  and the deviatoric stress  $q$  is formulated by Wood (2004) as:

$$\begin{pmatrix} \delta p' \\ \delta q \end{pmatrix} = \begin{pmatrix} K & 0 \\ 0 & 3G \end{pmatrix} \begin{pmatrix} \delta \varepsilon_p \\ \delta \varepsilon_q \end{pmatrix} \quad (2.8)$$

Nevertheless, the assumption of a total linear-elastic behaviour does not comply with the reality since soil behaviour is not elastic at higher strain conditions. The linear assumption is only valid at small strains where the plastic deformations are negligible.

### 2.5.2 Mohr-Coulomb model (MC)

This model is a linear elastic perfectly-plastic model where the material yielding is assumed to have a perfectly plastic behaviour (Brinkgreve, 2017b), see Figure 2.16. When the stress level exceeds the elastic stress interval irreversible plastic deformations take form. Both elastic and plastic deformations consist of shear strain and volume change due to stress changes.



**Figure 2.16:** Linear elastic perfectly-plastic model, adapted from (Brinkgreve, 2017b)

The MC-model represents a 'first order' approximation of soil behaviour and it is recommended to use this as an estimate of a problem. The stiffness of a soil layer can be set to a mean value or increase linearly with depth. This simplification makes the computations faster to give an initial estimation of the deformations (Brinkgreve, 2017b).

The MC-model use the same elastic parameters as in LE-model:

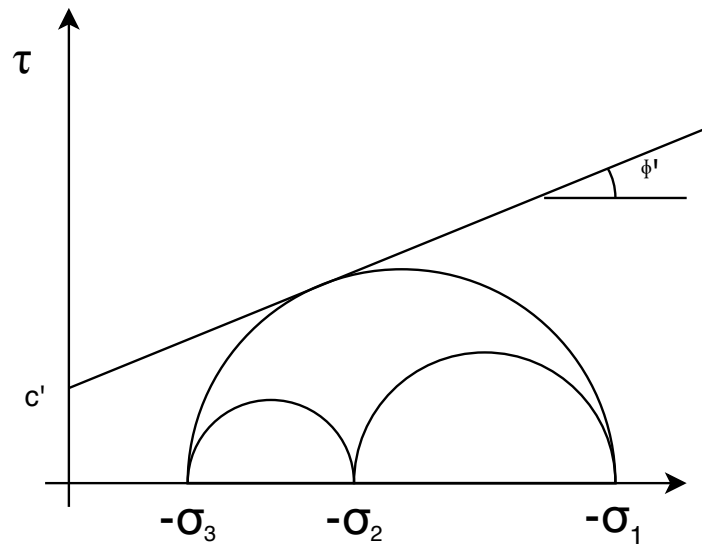
|       |                 |          |
|-------|-----------------|----------|
| $E$   | Young's modulus | $kN/m^2$ |
| $\nu$ | Poisson's ratio | —        |
| $G$   | Shear modulus   | $kN/m^2$ |
| $K$   | Bulk modulus    | °        |

The plastic deformations adds some new parameters from the Mohr-Coulomb failure criterion, presented in Equation 2.9 (Knappett and Craig, 2012):

|          |                   |          |
|----------|-------------------|----------|
| $\tau_f$ | Soil shear stress | $kN/m^2$ |
| $c$      | Cohesion          | $kN/m^2$ |
| $\phi'$  | Friction angle    | °        |
| $\psi$   | Dilatancy angle   | °        |

$$\tau_f = c' + \sigma' \cdot \tan(\phi') \quad (2.9)$$

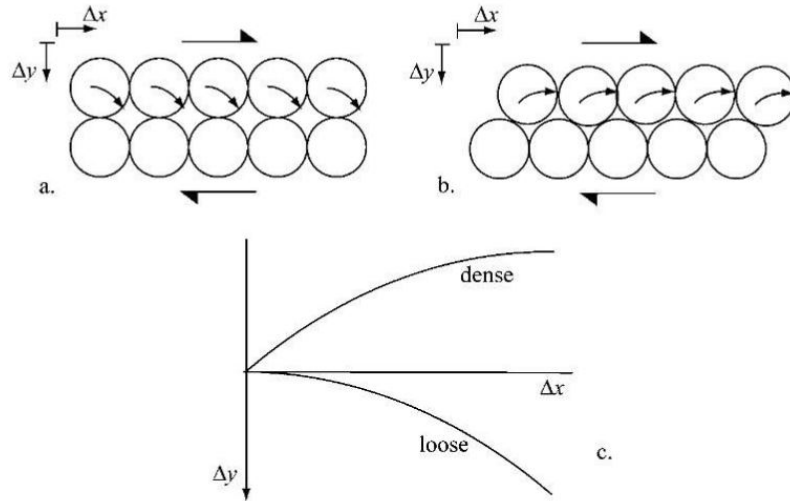
The relationship is visualized in Figure 2.17 where the size of the Mohr circles change with different stress combinations from various stress paths. Triaxial tests are often used to retrieve these parameters.



**Figure 2.17:** Illustration of Mohr-Coulomb failure criterion, adapted from (Brinkgreve, 2017b).

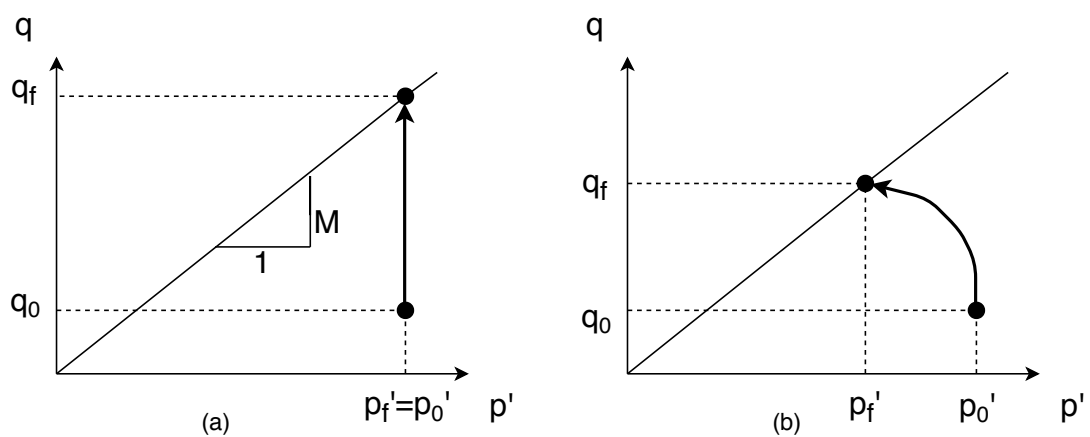
Dilatancy is the volume change observed in soil materials when its subjected to shear deformations. Unlike most other materials, such as steel and plastics, some

soils tend to expand in volume as they are sheared (Wood, 2004). In Figure 2.18, the volume change (c) is illustrated for both loose (a) and dense (b) packed soils. The dilatancy angle  $\psi$  is defined as the angle of the displacements (Knappett and Craig, 2012). However, the MC-model idealize a constant dilation rate when the soil is sheared which is unrealistic (Karstunen, 2016).



**Figure 2.18:** Illustration of volume change due to dilatancy  $\psi$ , (Brinkgreve, 2017b).

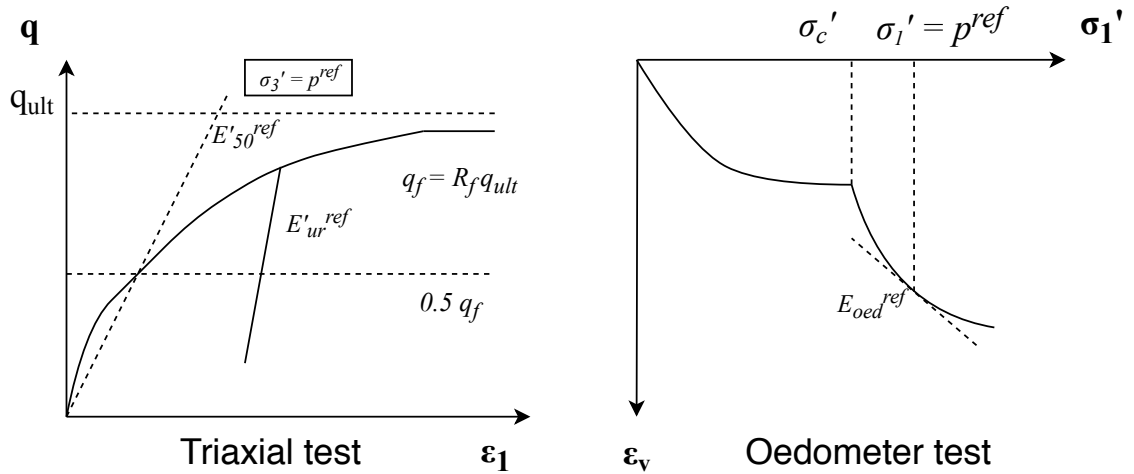
While using the MC-model it is also important to be aware of the difference between the modeled stress path in undrained conditions compared (a) to the real stress path (b), illustrated in Figure 2.19. For this reason, the MC-models risk to overestimate the undrained shear strength.



**Figure 2.19:** Stress paths of undrained (a) and real (b) conditions, based on figures from (Brinkgreve, 2017b).

### 2.5.3 Hardening Soil model (HS)

The HS-model simulate the behaviour of different types of soils and is a more advanced model compared to the MC-model. The soil behavior is described more accurately by simulating plastic straining of deviatoric loading, compression and elastic un-/reloading. The main input parameters of the model are  $E_{50}$ ,  $E_{ur}$  and  $E_{oed}$  and can be evaluated from triaxial and oedometer tests, shown in Figure 2.20. The model also include input from soil dilatancy and a yield cap (Brinkgreve, 2017b).



**Figure 2.20:** The plotted HS-model stiffness parameters retrieved from the results of triaxial and oedometer tests. Adapted from (Karstunen, 2016)

The failure criterion parameters and the dilatancy angle used in the MC-model is also used in the HS-model:

|        |                    |          |
|--------|--------------------|----------|
| $c$    | Cohesion           | $kN/m^2$ |
| $\phi$ | Friction angle     | °        |
| $\psi$ | Angle of dilatancy | °        |

The main parameters for soil stiffness in the HS-model:

|                 |                                                    |          |
|-----------------|----------------------------------------------------|----------|
| $E_{50}^{ref}$  | Secant stiffness in standard drained triaxial test | $kN/m^2$ |
| $E_{oed}^{ref}$ | Tangent stiffness for primary oedometer loading    | $kN/m^2$ |
| $E_{ur}^{ref}$  | Unloading/reloading stiffness                      | $kN/m^2$ |
| $m$             | Power of stress-level dependency of stiffness      | —        |

The parameter  $m$  represent the stress dependency in the stiffness parameters. When observing soft clays, it should be considered equal to 1 (Brinkgreve, 2017b). As mentioned in section Laboratory tests, the cohesion  $c'$  for soft clays can be assumed equal to 0. The stiffness moduli  $E_{50}^{ref}$ ,  $E_{oed}^{ref}$  and  $E_{ur}^{ref}$  are calculated and simplified in the following Equations 2.10, 2.11 and 2.12:

$$E_{50} = E_{50}^{ref} \left( \frac{c \cdot \cos\phi - \sigma'_3 \cdot \sin\phi}{c \cdot \cos\phi + p^{ref} \cdot \sin\phi} \right)^m \Rightarrow E_{50} = E_{50}^{ref} \left( \frac{-\sigma'_3}{p^{ref}} \right) \quad (2.10)$$

$$E_{ur} = E_{ur}^{ref} \left( \frac{c \cdot \cos\phi - \sigma'_3 \cdot \sin\phi}{c \cdot \cos\phi + p^{ref} \cdot \sin\phi} \right)^m \Rightarrow E_{ur} = E_{ur}^{ref} \left( \frac{-\sigma'_3}{p^{ref}} \right) \quad (2.11)$$

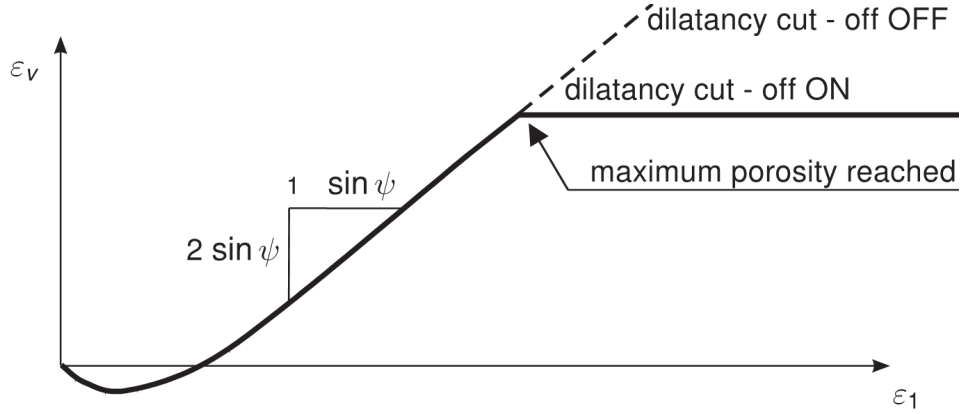
$$E_{oed} = E_{oed}^{ref} \left( \frac{c \cdot \cos\phi - \frac{\sigma'_3}{K_0^{nc}} \cdot \sin\phi}{c \cdot \cos\phi + p^{ref} \cdot \sin\phi} \right)^m \Rightarrow E_{oed} = E_{oed}^{ref} \left( \frac{-\sigma'_3}{p^{ref}} \right) \quad (2.12)$$

Additional advanced parameters for HS-model:

|            |                                                       |          |
|------------|-------------------------------------------------------|----------|
| $\nu_{ur}$ | Poisson's ratio for unloading-reloading               | —        |
| $p^{ref}$  | Reference stress for stiffness                        | $kN/m^2$ |
| $K_0^{nc}$ | $K_0$ -value for normal consolidation                 | —        |
| $R_f$      | Failure ratio $q_f/q_{ult}$<br>(default $R_f = 0.9$ ) | —        |

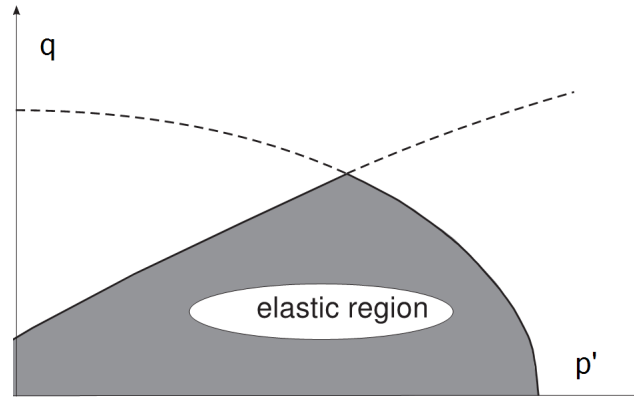
The value of  $\nu_{ur}$  is usually about 0.2 and in the HS-model it is a pure elastic parameter. The usual default value of stiffness reference stress  $p^{ref}$  is  $100 \text{ kN/m}^2$ . While using the HS-model in PLAXIS the  $K_0^{nc}$  is a independent input parameter and defined by  $K_0^{nc} = 1 - \sin\phi$ . The value can be changed, but then it becomes depended on other values such as  $E_{50}^{ref}$ ,  $E_{oed}^{ref}$ ,  $E_{ur}^{ref}$  and  $\nu_{ur}$ . All inputs are not possible and if it is manually changed, it can only have a certain range of valid  $K_0^{nc}$ -values (Brinkgreve, 2017b).

As described in the MC-model, dilatancy angle  $\psi$  can have a considerable impact on the soil behavior due to the current state of the density. But eventually, after extensive shearing, the dilatancy come to an end. This behavior can be included in the HS-model as "Dilatancy cut-off" and shown in Figure 2.21.



**Figure 2.21:** The effect off dilatancy cut off in a strain curve from a standard triaxial test, retrieved from (Brinkgreve, 2017b).

The HS-model also introduce a cap yield surface because the shear hardening, in a  $p'$ - $q$  plot, do not capture the plastic volume strain often observed in soft clays. Fig 2.22 illustrate the yield lines and the elastic region within them Brinkgreve (2017b).



**Figure 2.22:** Cap yield surfaces in a HS-model(Brinkgreve, 2017b).

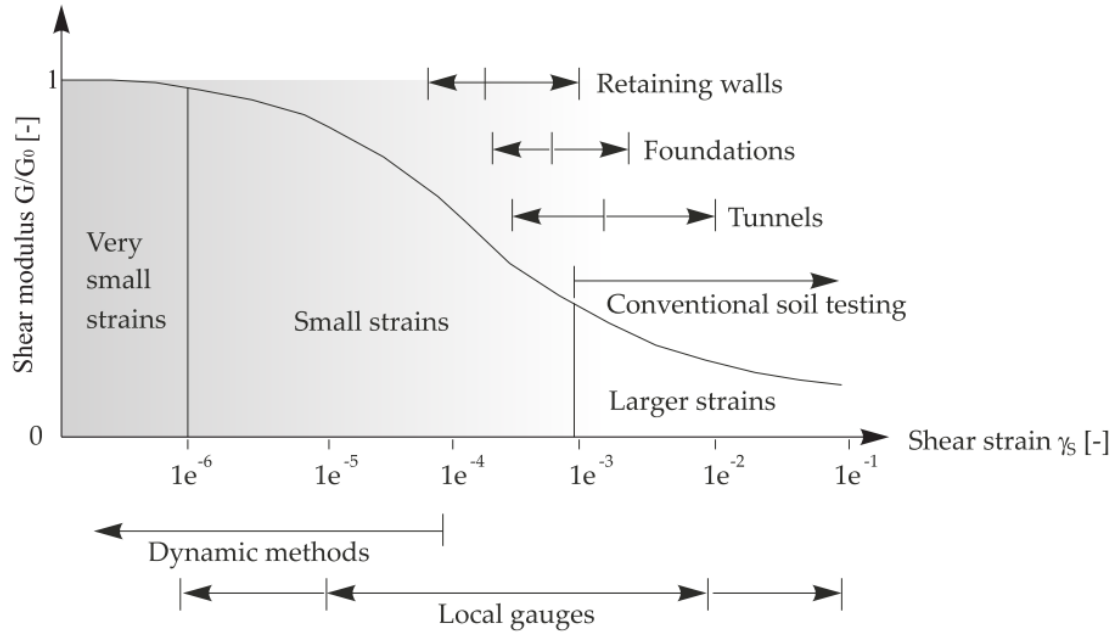
The shear yield locus can expand up to the Mohr-Coulomb failure line and within the cap yield surface defined by a function of the pre-consolidation stress  $\sigma_p$  retrieved from *OCR* and *POP*.

The cap enables the possibility to formulate a model with independent input parameter of both  $E_{50}^{ref}$  and  $E_{oed}^{ref}$ . For more details, see PLAXIS manual for material manuals (Brinkgreve, 2017b).

### 2.5.4 Hardening Soil model with small-strain stiffness (HSs)

The HSs-model add some more details about the soil behavior since it consider more accurate effects of unloading and reloading compared to the plain HS-model.

The reason for this is mainly due to the strong stiffness variation with increasing shear strain amplitude in the regime of small strains shown in Figure 2.23 (Brinkgreve, 2017b). The shear modulus  $G$  plays a crucial role for modeling deep excavations and soil-structure interaction problems.



**Figure 2.23:** Characteristic stiffness-strain behaviour

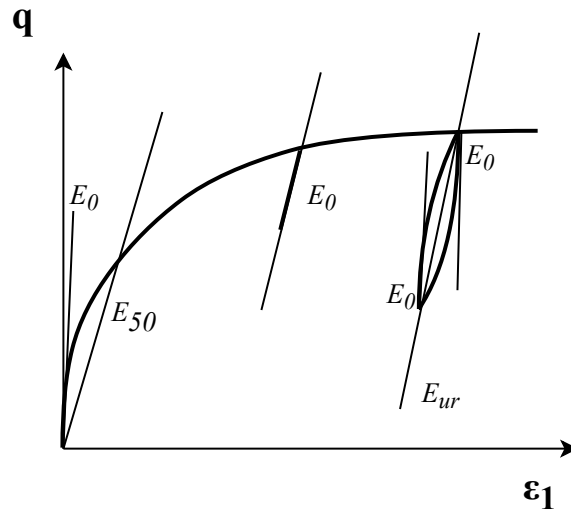
The HSs-model use the same parameters as the HS-model:

|                 |                                                    |          |
|-----------------|----------------------------------------------------|----------|
| $E_{50}^{ref}$  | Secant stiffness in standard drained triaxial test | $kN/m^2$ |
| $E_{oed}^{ref}$ | Tangent stiffness for primary oedometer loading    | $kN/m^2$ |
| $E_{ur}^{ref}$  | Unloading/reloading stiffness                      | $kN/m^2$ |
| $m$             | Power of stress-level dependency of stiffness      | —        |
| $\nu_{ur}$      | Poisson's ratio for unloading-reloading            | —        |

And the additional HSs-model parameters are the initial or very small strain shear modulus  $G_0$  and the threshold shear strain  $\gamma_{0.7}$ :

|                |                                                                                               |     |
|----------------|-----------------------------------------------------------------------------------------------|-----|
| $G_0^{ref}$    | Reference shear modulus at very small strains, $\epsilon < 10^{-6}$                           | [-] |
| $\gamma_{0.7}$ | The shear strain level at which the secant shear modulus $G$ is reduced to about 70% of $G_0$ | [-] |

The Young's modules of very small strains  $E_0$  can be calculated by  $E_0 = 2G_0(1+\nu_{ur})$  and illustrated in a drained triaxial test shown in Figure 2.24.

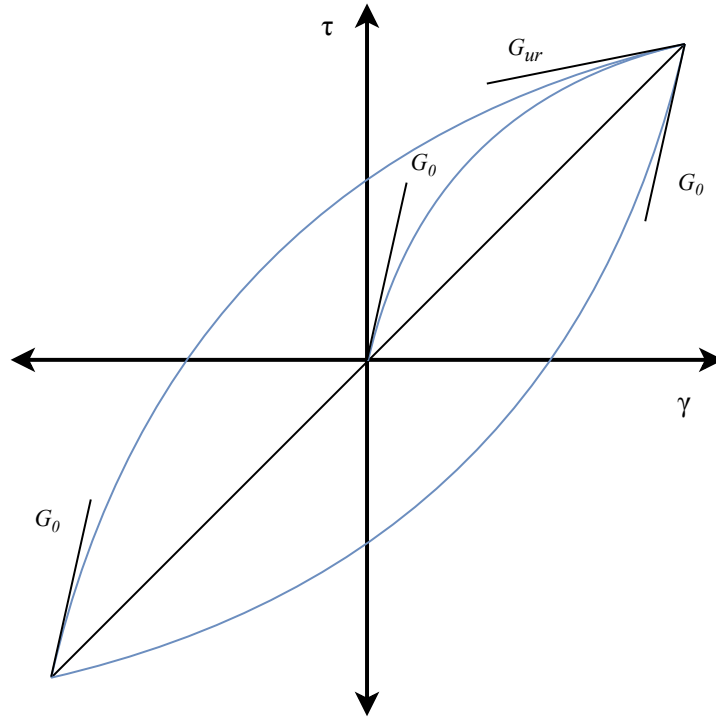


**Figure 2.24:** Stiffness parameters  $E_{50}$ ,  $E_{ur}$  and  $E_0$  in a drained triaxial test. Adapted from Brinkgreve (2017b).

The shear modulus  $G_0$  can be calculated by Equation 2.13, and just as in the HS-model  $c$  can be set as 0 and  $m$  to 1.

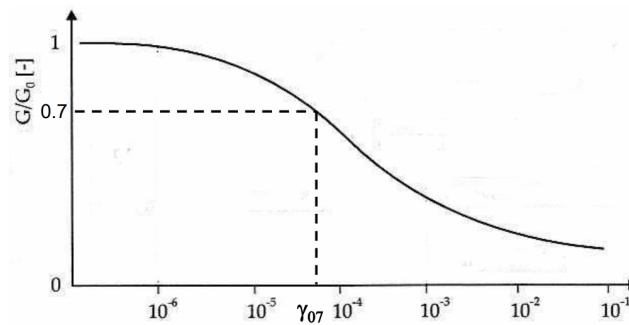
$$G_0 = G_0^{ref} \left( \frac{c \cdot \cos\phi - \sigma'_3 \cdot \sin\phi}{c \cdot \cos\phi + p^{ref} \cdot \sin\phi} \right)^m \Rightarrow G_0 = G_0^{ref} \left( \frac{-\sigma'_3}{p^{ref}} \right) \quad (2.13)$$

Figure 2.25 illustrate the shear modulus of  $G_0$  and  $G_{ur}$  during a cyclic shear test.



**Figure 2.25:** Stiffness parameters in cyclic shear test, adapted from Brinkgreve (2017b)

The shape of the curve of stiffness-strain behavior in Figure 2.23 is described as a log function of  $G/G_0(\gamma)$ . It is common to specify the shear strain  $\gamma_{0.7}$  at which ratio  $G/G_0 = 0.7$ , see Figure 2.26.



**Figure 2.26:** The shear strain level at which the  $G$  is reduced to about 70 % of  $G_0$ , adapted from Brinkgreve (2017b).

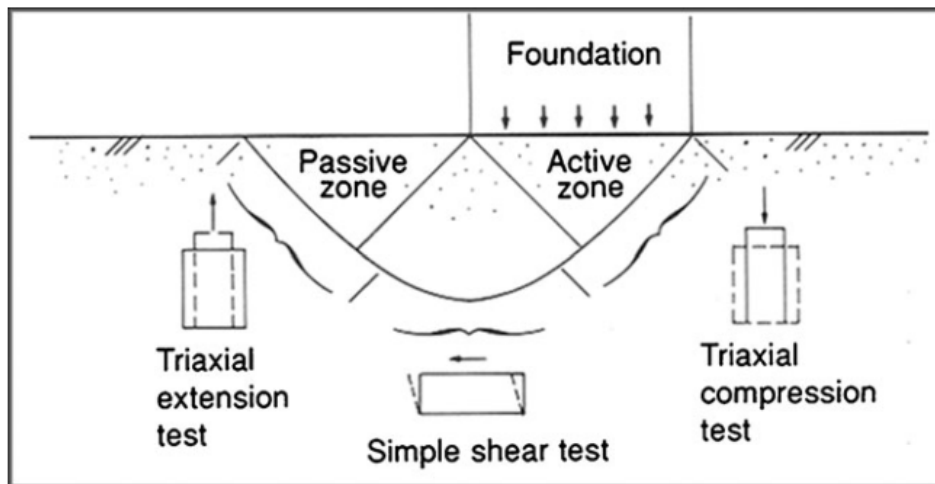
### 2.5.5 NGI-ADP: Anisotropic shear strength model for clay (ADP)

The NGI-ADP model is an anisotropic total stress undrained shear strength model. The soil shear strength  $S_u$  is defined for active, passive and direct simple shear stress paths. Therefore, the results are according to the data given from lab and/or field tests (Brinkgreve, 2017b).

The characteristics of this material model are:

- Input parameters for undrained shear strength for three different stress paths: active, direct simple shear and passive.
- A yield criterion based on a translated approximated Tresca criterion.
- Elliptical interpolation functions for plastic failure strains and for strengths in arbitrary stress paths.
- Isotropic elasticity, retrieved from the unloading/reloading shear modulus  $G_{ur}$ .

For clay the ADP-model especially consider the deformations and soil-structure interactions for undrained loading.



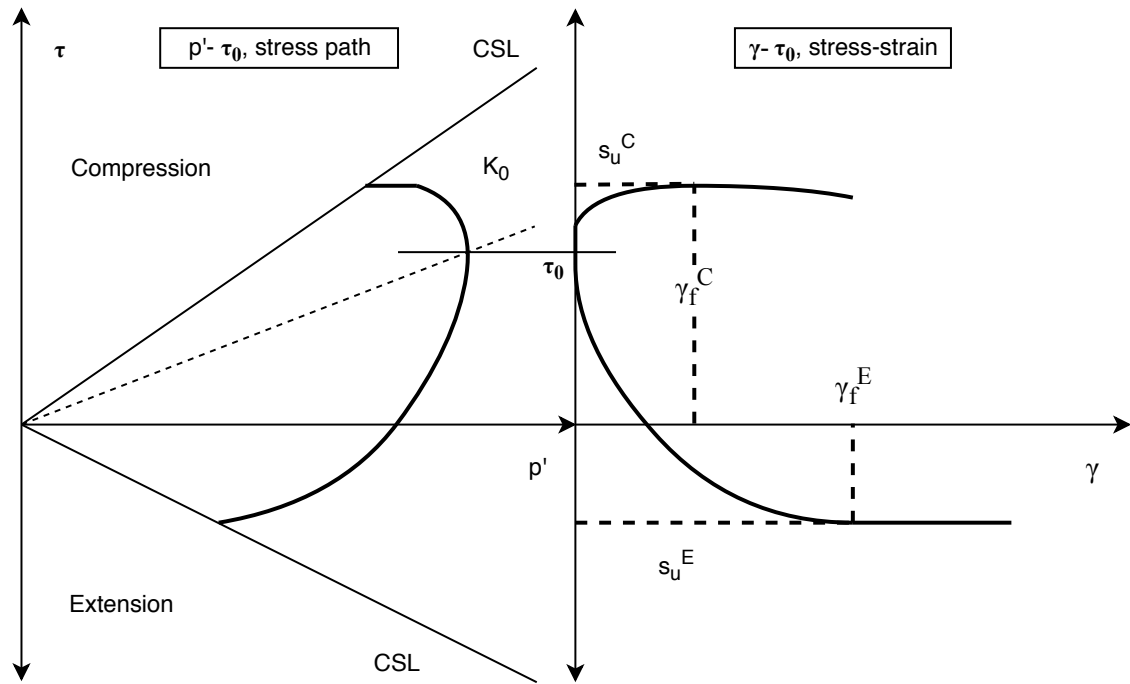
**Figure 2.27:** ADP stress-path illustration (Grimstad et al., 2012).

The ADP-model is a total stress undrained shear strength model suitable for modelling undrained conditions. Effective stress analysis can not be performed with this model, and therefore time effects are not considered. Also note that this model do not account for a tension cut-off or softening after failure (Brinkgreve, 2017b).

Stiffness parameters used for the ADP-model:

|                  |                                                              |   |
|------------------|--------------------------------------------------------------|---|
| $G_{ur}/s_u^A$   | Ratio un-/reloading shear modules over active shear strength | - |
| $\gamma_f^C$     | Shear strain at failure in triaxial compression              | % |
| $\gamma_f^E$     | Shear strain at failure in triaxial extension                | % |
| $\gamma_f^{DSS}$ | Shear strain at failure in direct simple shear               | % |

The shear modulus ratio of  $G_{ur}/s_u^A$  is typically between the values of 150-250. If the shear strength is increasing with depth, the constant ratio  $G_{ur}/s_u^A$  gives a shear stiffness increasing linearly with depth. The shear strains at failure  $\gamma_f^C$  and  $\gamma_f^E$  can be seen in Figure 2.28.



**Figure 2.28:** Examples of typical stress-paths and stress-strain curves for triaxial compression and extension, adapted from Grimstad et al. (2012).

The values for shear strain in normally consolidated and slightly over-consolidated clays are usually in the order ;  $\gamma_f^C < \gamma_f^{DSS} < \gamma_f^E$ . Typical ranges according to Brinkgreve (2017b);  $\gamma_f^C = 3 - 8 \%$ ,  $\gamma_f^{DSS} = 2 - 8 \%$ ,  $\gamma_f^E = 0,5 - 4 \%$ .

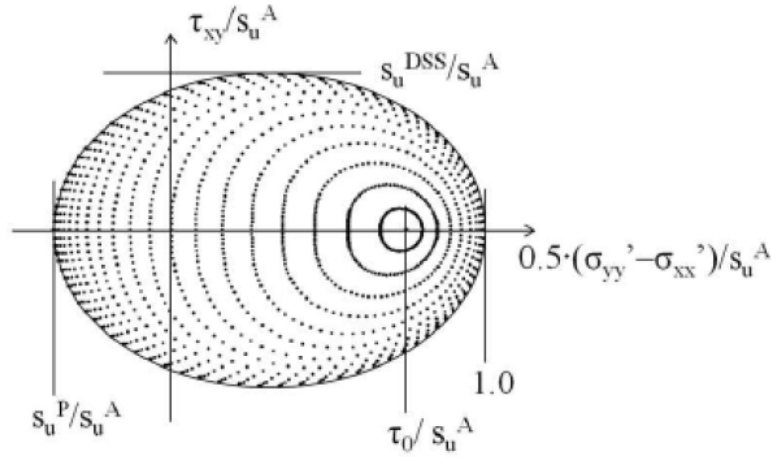
From field tests (Wood, 2017), the ranges for Gothenburg clay can be assumed as;  $\gamma_f^C = 2 - 3 \%$ ,  $\gamma_f^{DSS} = 6 - 8 \%$ ,  $\gamma_f^E = 4 - 5 \%$ .

Strength parameters of the ADP-model:

|                        |                                                                      |            |
|------------------------|----------------------------------------------------------------------|------------|
| $s_{u\,ref}^A$         | Reference active shear strength                                      | $kN/m^2/m$ |
| $s_{u\,}^{C,TX}/s_u^A$ | Ratio triaxial compressive shear strength over active shear strength | -          |
| $y_{ref}$              | Reference depth                                                      | m          |
| $s_{u\,}^{A,inc}$      | Increase of shear strength with depth                                | $kN/m^2/m$ |
| $s_u^P/s_u^A$          | Ratio of passive shear strength over active shear strength           | -          |
| $\tau_0/s_u^A$         | Initial mobilization                                                 | -          |
| $s_u^{DSS}/s_u^A$      | Ratio of direct simple shear strength over active shear strength     | -          |

The reference shear strength  $s_{u\,ref}^A$  is retrieved from undrained active stress paths for the reference depth of  $y_{ref}$ . Below this depth the shear strength and stiffness may increase linearly with increasing depth and above the strength is set to  $s_{u\,ref}^A$ .

The strength parameters of ADP can be illustrated in Figure 2.29. Further details about each parameter can be found in Brinkgreve (2017b).



**Figure 2.29:** Strength parameters in a typical deviatoric plane strain plot of equal shear strain contours, (Grimstad et al., 2012).

### 2.5.6 Comparison of HS and ADP

The PLAXIS manual explaining the material models, rates their differences in terms of soil type, applications and type of loading.

#### HS and HSs

Considering soil types:

- The most suitable material model for over-consolidated clay and fair for normally consolidated clay.

Considering different types of applications:

- HSs is suitable for all types of applications, e.g. good for excavation.

Considering different types of loading and soils:

- The best standard for primary compression, un-/re-loading, shear/deviatoric loading, and compression/extension shear, however not all loading combination can be used in the same time due to limitation in the input parameters. It is also reasonable for modelling undrained and cyclic loading.

#### ADP

Considering soil types:

- The ADP-model is most suitable for normally consolidated clay in short-term analysis, in case only undrained strength is known.

Considering different types of applications:

- Only fair modelling for excavation. Better for embankment, slope and offshore.

Considering different types of loading and soils:

- The best standard for undrained shear type of loading. Only reasonable for primary compression and un-/re-loading.

# 3

## Case Study

In order to conduct a numerical modeling for a deep excavation using diaphragm wall and cross-walls in soft soil conditions a real and already constructed project with similar characteristics was chosen. A typical and simplified cross-section based on the selected project is set to be the base for the comparison between the outcome from the numerical calculations using different material models. The soil parameters used in the calculations are obtained from other researches (Wood, 2017), where extensive soil data was used and calibrated using FE.

### 3.1 The Lilla Bommen Tunnel (LBT)

The previous experiences of the Göta tunnel project showed to be compatible to fulfill the aim of this thesis. Therefore the tunnel section of Lilla Bommen (LBT) have been chosen to be the base for the case study.

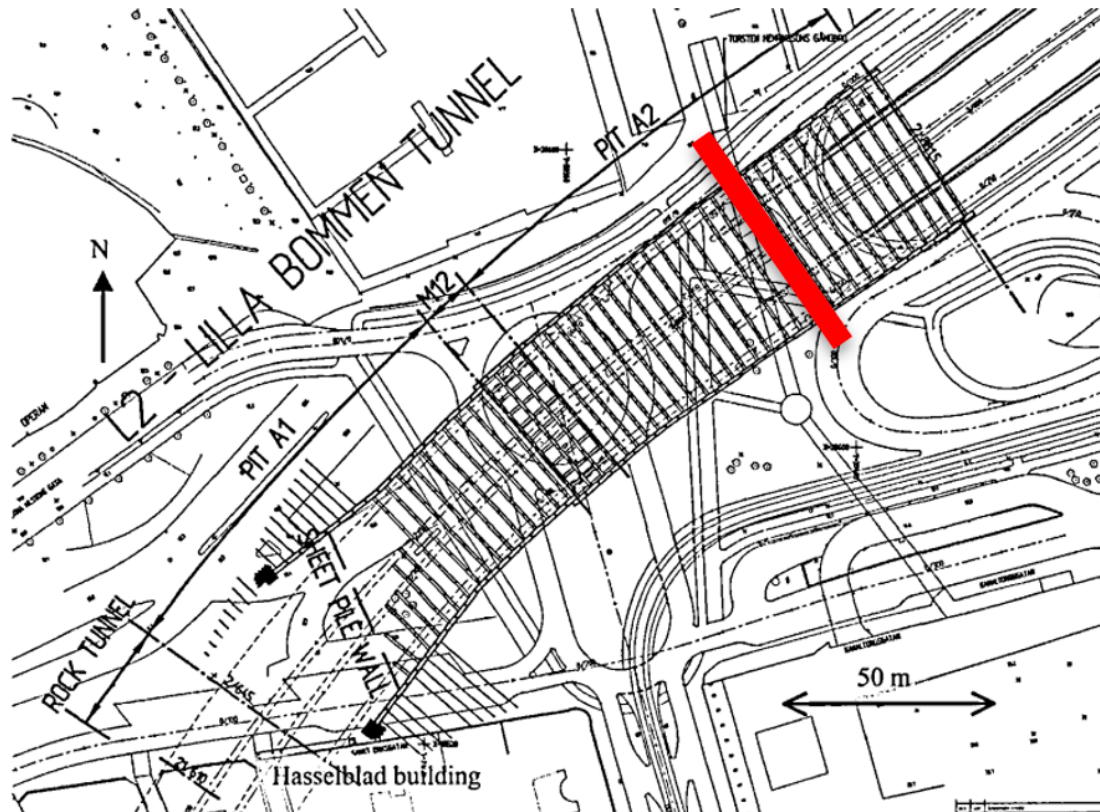
The location of LBT lies within the central parts of Gothenburg close to existing buildings, in soft clay deposit and close to the construction of the new Central Station in the West Link project. The support structure of LBT used diaphragm walls with cross-walls and were calculated with numerical models (Karlsrud et al., 2006). However, the material model used for the modelling of LBT were a MC-model which have some limitations compared to the material models of HSs and ADP.



**Figure 3.1:** Location of LBT (red) and central station in the West Link (yellow), adapted from (MetroArkitekter, 2018)

#### 3.1.1 Location

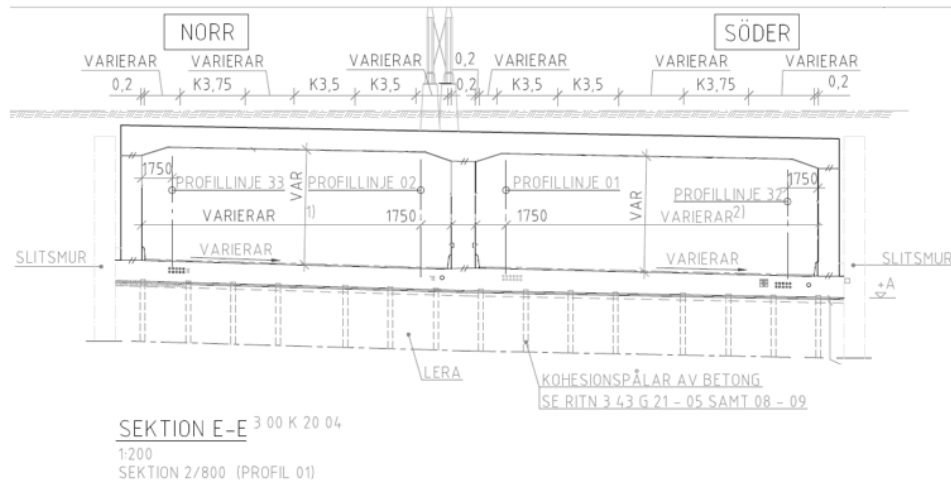
The modelled cross-section of LBT was purposely located a bit away from other previous studies, which showed some unfavorable circumstances therefore could be avoided. However, this made it hard to find real relevant survey data to validate the study. The red line in Figure 3.2 represents the cross-section, at the longitudinal section 2/840 in Appendix A "Drawings of Lilla Bommen tunnel". The Torsten Henriksson pedestrian bridge can also be used as an approximate location reference.



**Figure 3.2:** Location of LBT cross-section, adapted from (Karlsrud, 1983).

### 3.1.2 Geometry

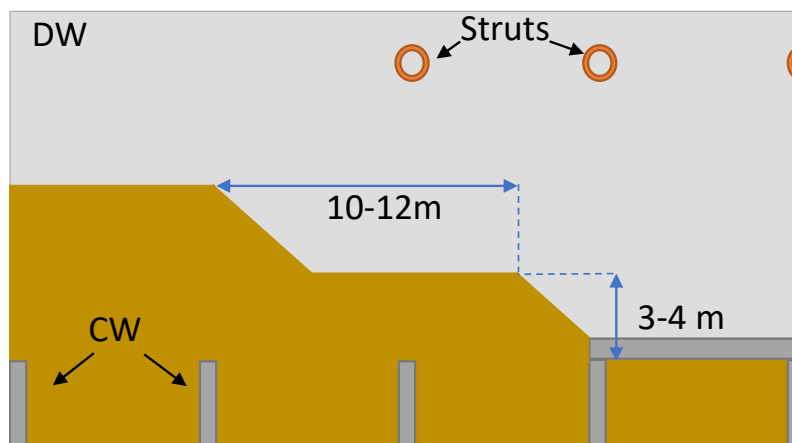
The geometry for the structure used in the model is based on the section view E-E at 2/800 in Appendix A "Drawings of LBT". In Figure 3.3 the excavation appear to be about 8 m deep and 40 meter wide.



**Figure 3.3:** LBT drawings, section view E-E (2/800).

### 3.1.3 Excavation sequence of LBT

As mentioned in the literature review the LBT used the Cut-and-cover method. Initially the diaphragm wall and cross-walls were installed. The excavation were executed partly in different steps, in plateaus with a length of 10-12 meters and depth of 3-4 m along the diaphragm wall. Struts were installed along the excavation at the top and cross walls in the bottom. See illustration in Figure 3.4.



**Figure 3.4:** LBT excavation sequence.

## 3.2 Implementation

The LBT is modelled in PLAXIS 2D and calculated by the two different material models, HSs and ADP, for the different soil layers. In order to compare the material models a base model is established as reference, with as much as equal parameters as possible. Following sections will present the model input parameters and adaptations.

### 3.2.1 Modelling assumptions

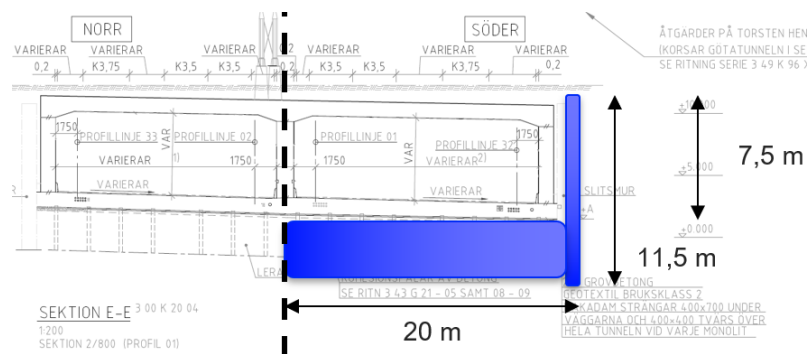
Some necessary assumptions in order to enable the modelling and reduce the computing process in PLAXIS.

#### Assumptions:

- Horizontal soil layers.
- Each layer considered homogeneous within its borders.
- Groundwater considered hydrostatic and undrained conditions.

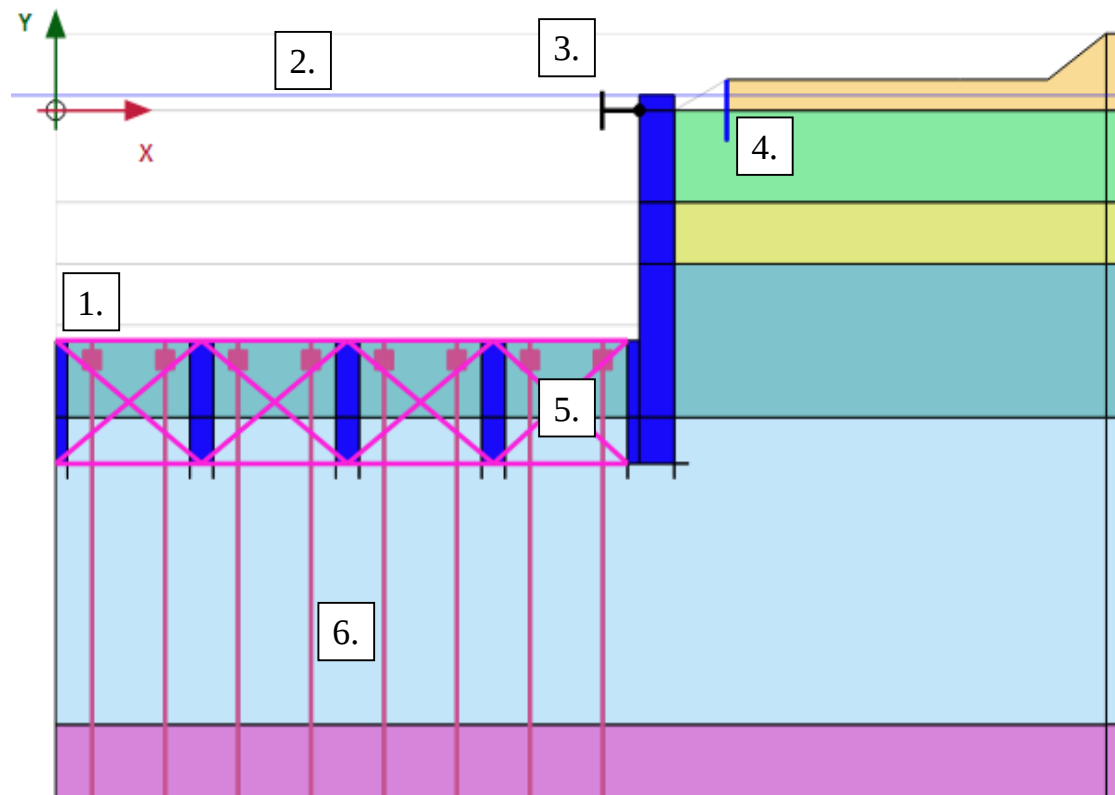
### 3.2.2 Structure geometry

The dimensions for the diaphragm wall and cross-wall in the model for this case study is adopted from the drawings of LBT and shown in figure 3.5 from Appendix A. The excavation depth is 7.5 m, depth of diaphragm wall 11.5 m, width of cross-wall 40 m divided by 2. Only one half of the excavation support structure is needed to be modeled since it can be assumed to be symmetric. The thickness of the diaphragm wall is set to be 1.2 m and 0.8 m for the cross-walls.



**Figure 3.5:** Dimensions of LBT support structure and excavation.

Figure 3.6 is a cross-section from the PLAXIS-model. The following list below explain some of the details shown in the figure.



**Figure 3.6:** Section example from PLAXIS-model (Phase 7).

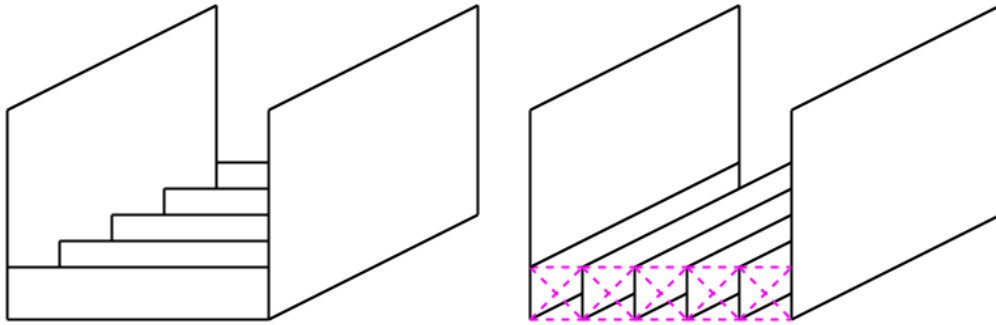
1. Final excavation step, at phase 7 and the most critical.
2. Water level is set to be at +0.5 m.
3. The strut is placed 0.5 m below the top of the diaphragm wall and -2.5 m below initial surface.
4. A sheet pile wall, installed to handle a pseudo soil failure problem in the fill layer of the model.
5. A truss representing the cross-wall, see 3D-2D adaption in section 3.2.3.
6. Piles installed at excavation bottom, according to drawings in Appendix A, A-A section.

#### 3.2.3 3D-2D converting method

The model for LBT is modeled in PLAXIS 2D, while the effects of using cross-walls is in 3D. In 2D it is possible to capture the lateral support of the CW, but it does not consider the friction that occur between the CW and adjacent soil. This friction counteract the effect of bottom heave (Karlsrud et al., 2006).

This can be solved by converting the lateral force support into a imaginary steel truss and turn the CW parallel to the DW, see illustration in Figure 3.7. The CW is placed with the same distance from each other as earlier between and connected to the DW. The truss will simulate the lateral force and the CW will simulate the effects caused by the friction in the interface between CW/soil and CW/DW.

For a full converting calculation, go to Appendix B, "Converting stiffness of cross-wall to truss".



**Figure 3.7:** Illustration of the 3D-2D converting method. Shifting the CW from perpendicular to parallel to the DW.

### 3.2.4 Phases

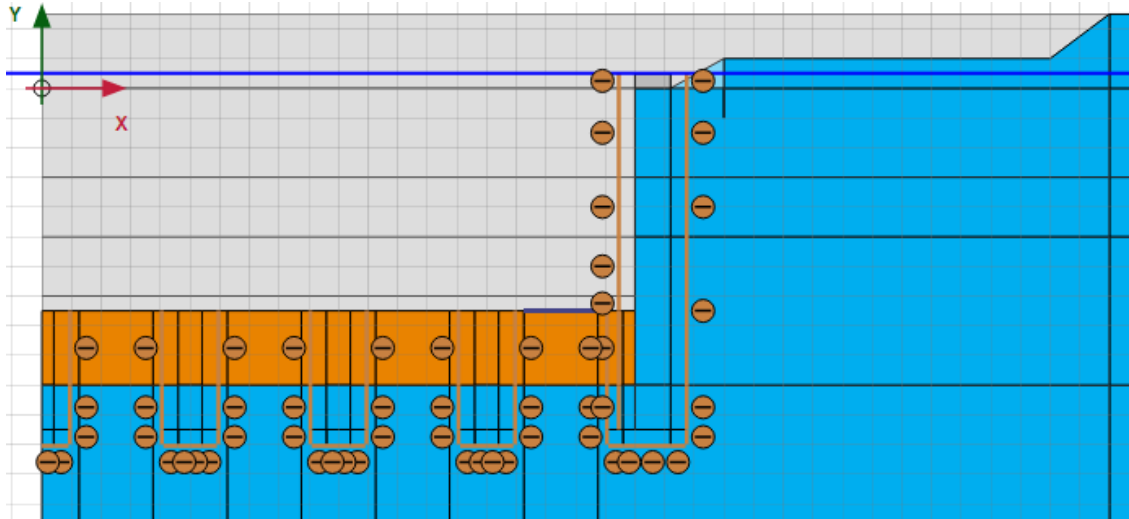
In order to simulate the construction stages of the LBT, the PLAXIS-model consist of different phases, shown in Table 3.1 with comments for each step.

**Table 3.1:** Settings for the modelled phases.

|               | EL<br>[m] | Calc<br>type | Reset<br>disp. | Loading<br>type | Pore<br>pressure | Comment                          |
|---------------|-----------|--------------|----------------|-----------------|------------------|----------------------------------|
| Initial Phase | 2,5       | K0 proc.     |                | Staged constr.  | Phreatic         |                                  |
| Phase 1       | 0         | Plastic      | Yes            | Staged constr.  | Phreatic         | Excavation of fill and dry crust |
| Phase 2       | 0         | Plastic      | No             | Staged constr.  | Phreatic         | Installation of DW, CW and piles |
| Phase 3       | 0         | Plastic      | Yes            | Staged constr.  | Phreatic         | Reset displacements              |
| Phase 4       | -3        | Plastic      | No             | Staged constr.  | Phreatic         | Installation of strut            |
| Phase 5       | -3        | Plastic      | No             | Staged constr.  | Phreatic         | Excavation                       |
| Phase 6       | -5        | Plastic      | No             | Staged constr.  | Phreatic         | Excavation                       |
| Phase 7       | -7,5      | Plastic      | No             | Staged constr.  | Phreatic         | Excavation, most critical phase  |
| Phase 8       | -7,5      | Plastic      | No             | Staged constr.  | Phreatic         | Installation of bottom plate     |
| Phase 9       | -7,5      | Consol.      | No             | Staged constr.  | Phreatic         | Consolidation for 180 days       |
| Phase 10      | -7,5      | Safety       | No             |                 |                  | Calculated on phase 7            |

#### 3.2.5 Flow conditions

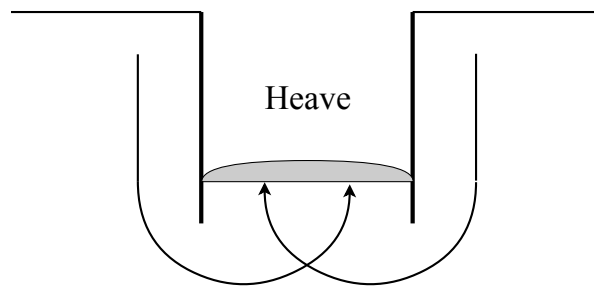
The water flow during the excavation is also modelled in the PLAXIS application "Flow conditions". Each excavation step is set as dry clusters and interpolation of pore water pressure for each bottom. Figure 3.8 display the phase 7 as example, with grey as dry cluster and orange as interpolated pore pressure.



**Figure 3.8:** Water flow and interpolation in phase 7.

##### 3.2.5.1 Bottom heave and Safety Analysis

In the final excavation step of phase 7 there is risk for bottom heave, since it is before the installation of the bottom plate. It is therefore important to ensure if the soil goes to failure or not. The basic mechanism bottom heave is illustrated in Figure 4.5.



**Figure 3.9:** Basic mechanism of bottom heave.

A formula to calculate the stability of the bottom heave is presented in Equation 3.1 by (Sällfors, 2013). Full calculation of the stability factor for the LBT-model, see Appendix C "Calculation of Basal heave stability".

$$F_c = N_c * \frac{\tau_{fu}}{\gamma * H + q - p} \quad (3.1)$$

If  $F_c \geq 1,5 \rightarrow$  Stability OK!

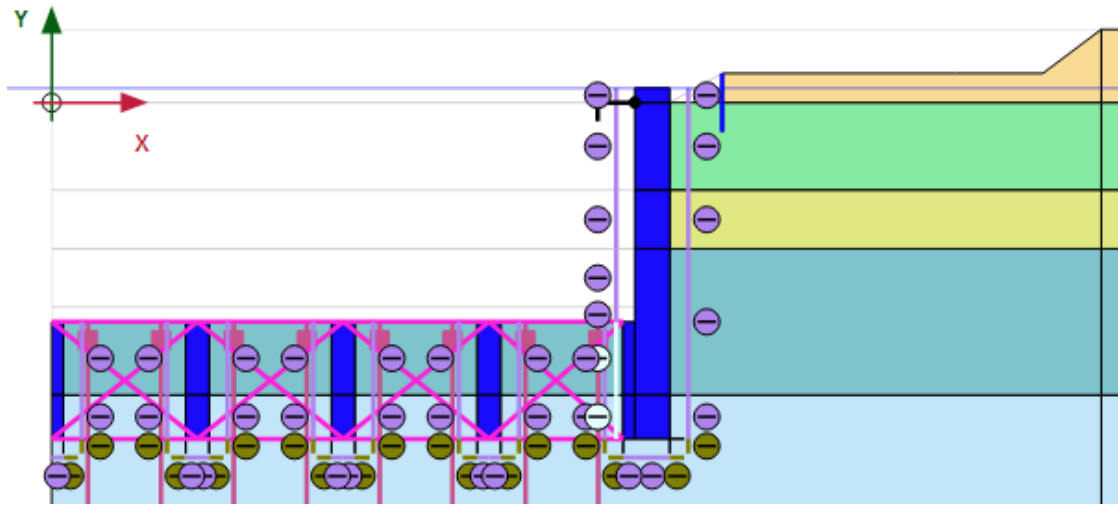
The stability factor value was calculated as 1,04, which does not fulfill the required value of 1,5 to discard the risk of bottom heave. However, this simplified calculation does not regard the friction that originate between the soil and the cross-walls, which would have created a higher stability factor. Further calculations of the model, to account for the friction, was conducted by using the PLAXIS Safety Analysis tool. It was calculated for the most critical situation, phase 10, and did not go to failure. Failure by hydraulic heave is not relevant since it is only layers of clay.

#### 3.2.6 Interfaces

The interfaces are separated into three different areas, as can be shown of the three different colours in Figure 3.10:

- The purple interface represents the surface between DW or CW and the soil.
- The white serve as the specific surface between the DW and the CW.
- The brown interface is a extension at the bottom of the DW and CW to prevent calculation errors.

The reason to separate the purple and white interfaces is to investigate if the specific interface at the contact surface between the DW and CW have different impact on the model then the other interfaces.



**Figure 3.10:** Interfaces in the PLAXIS-model.

In order to distinguish small displacements and permanent slip in the interfaces, the MC-model is used to simulate these with its elastic and plastic behaviour. The interface strength  $R_{inter}$  properties can be calculated from the cohesion  $c$  and friction angle  $\varphi$  in the soil and interface:

$$R_{Inter} = \frac{c_{interface}}{c_{soil}} = \frac{\tan \varphi_{interface}}{\tan \varphi_{soil}}$$

In the tab-sheet for interfaces the value of  $R_{Inter}$  can be entered manually, otherwise it is set as rigid with the default value of 1. For real soil and structure interaction the interface is often weaker then 1, often assumed to be around 0,7. If the  $R_{Inter}$  value is reduced, both interface strength and stiffness is reduced as well (Brinkgreve, 2017a).

Table 3.2 presents the parameters values for both the interfaces between DW/Soil

and DW/CW with the only difference in  $R_{Inter}$ .

**Table 3.2:** Parameters for the interfaces between DW/Soil and DW/CW.

|                                | Parameters            | Values        | Units       |
|--------------------------------|-----------------------|---------------|-------------|
| Material set                   | Material model        | MC            | -           |
| General properties             | Drain type            | Undrained A   | -           |
|                                | $y_{unsat}$           | 16            | $kN/m^3$    |
|                                | $y_{sat}$             | 16            | $kN/m^3$    |
| Advanced                       | Dilatancy cut-off     | No            | -           |
|                                | $e_{init}$            | 0,5           | -           |
|                                | $e_{min}$             | Auto          | -           |
|                                | $e_{max}$             | Auto          | -           |
| Stiffness                      | $E'$                  | 7,00E+03      | $kN/m^2$    |
| Strength                       | $\nu'$                | 0,2           | -           |
|                                | $c'_{ref}$            | 1             | -           |
|                                | $\phi'$               | 33            | °           |
|                                | $\psi'$               | 0             | °           |
| Advanced                       | Default values        | Yes           | -           |
| GW model                       | Data set              | Std           | -           |
| Soil                           | Type                  | Very fine     | -           |
|                                | < 2 microm            | Auto          | %           |
|                                | 2-50                  | Auto          | %           |
|                                | 50-2 millim           | Auto          | %           |
| Flow parameters                | Default values        | No            | -           |
|                                | $k_x$                 | 0,001         | $m/day$     |
|                                | $k_y$                 | 0,001         | $m/day$     |
|                                | dilatancy $_{unsat}$  | 0             | m           |
|                                | $e_{init}$            | 0,5           | -           |
|                                | $S_s$                 | Auto          | 1/m         |
| Change of permeab              | $c_k$                 | 1,00E+15      | -           |
| Interface                      | Strength              | Manual        | -           |
| Real interface thickness<br>GW | $R_{inter}$           | 0,6* or 0,8** | -           |
|                                | Con. gap closure      | Yes           | -           |
|                                | $\delta_{inter}$      | 0             | -           |
|                                | Cross perm.           | Imp.          | -           |
|                                | Drainage conductivity | 0             | $m^3/day/m$ |
| K0 settings                    | $K_0$ determ.         | Auto          | -           |
|                                | $K_{0,x} = K_{0,z}$   | Auto          | -           |
|                                | $K_{0,x}$             | 0,53          | -           |
|                                | $K_{0,z}$             | Auto          | -           |

\* Interface of the interaction between DW/soil.

\*\* Interface of the interaction between DW/CW.

### 3.2.7 Input data for structures

The following subsections present the input data used for the structures in the PLAXIS-model. Some of them are estimations or set to standard values, some are retrieved from other models adapted for similar conditions in the nearby area of Region City (Wood, 2014) while others are calculated.

#### 3.2.7.1 Diaphragm wall and cross-wall

Table 3.3 presents the material set used for both the diaphragm wall and the cross-walls.

**Table 3.3:** Input data for the diaphragm wall and cross-wall.

|                      | Parameters                 | Values         | Units       |
|----------------------|----------------------------|----------------|-------------|
| Material set         | Material model             | Linear elastic | -           |
|                      | Drain type                 | Non-porpus     | -           |
|                      | $y_{unsat}$                | 25             | $kN/m^3$    |
|                      | $y_{sat}$                  | 25             | $kN/m^3$    |
|                      | Dilat cut-off              | No             | -           |
|                      | $e_{init}$                 | 0,5            | -           |
|                      | $e_{min}$                  | Auto           | -           |
|                      | $e_{max}$                  | Auto           | -           |
| Stiffness            | $E$                        | 3.10E+07       | -           |
|                      | $\nu$                      | 0.2            | -           |
| Flow parameters      | Default values             |                | -           |
|                      | $k_x$                      | 0              | $m/day$     |
|                      | $k_y$                      | 0              | $m/day$     |
|                      | dilatancy <sub>unsat</sub> | 1.00E+04       | $m$         |
|                      | $e_{init}$                 | 0.5            | -           |
|                      | Change of permeab          | 1.00E+15       | -           |
| Interface            | <i>Strength</i>            | Rigid          | -           |
|                      | $R_{inter}^*$              | 1*             | -           |
|                      | <i>Con.gapclosure</i>      | Yes            | -           |
| Real interface thick | $\delta_{inter}$           | 0              | -           |
| GW                   | <i>Crossperm.</i>          | Imp.           | -           |
|                      | <i>Drainageconduct.</i>    | 0              | $m^3/day/m$ |
| K0 settings          | $K_0$ determ.              | Auto           | -           |
|                      | $K_{0,x} = K_{0,z}$        | Auto           | -           |
|                      | $K_{0,x}$                  | Auto           | -           |
|                      | $K_{0,z}$                  | Auto           | -           |

\*  $R_{inter}$  does not matter since we use specific interfaces.

### 3.2.7.2 Temporary sheet pile wall

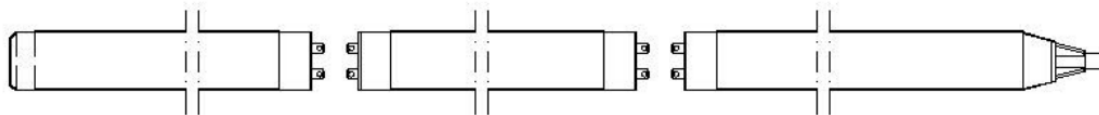
In order to conduct the model, without soil failure above the diaphragm wall, a sheet pile wall (SPW) were installed. Table 3.4 present the specific input data.

**Table 3.4:** Input data for the SPW.

|                         | Identification    | Value    | Units     |
|-------------------------|-------------------|----------|-----------|
| Material set Properties | Material type     | Elastic  | -         |
|                         | Isotropic         | Yes      | -         |
|                         | End bearing       | No       | -         |
|                         | $EA_1$            | 3,00E+06 | $kN/m$    |
|                         | $EA_2$            | Auto     | $kN/m$    |
|                         | $EI$              | 6,84E+04 | $kNm^2/m$ |
|                         | d                 | Auto     | m         |
|                         | w                 | 0        | $kN/m/m$  |
|                         | $\nu$             | 0,3      | -         |
|                         | Rayleigh $\alpha$ | 0        | -         |
|                         | Rayleigh $\beta$  | 0        | -         |

### 3.2.7.3 Piles

The piles used in the PLAXIS-model use the input data for a standard pile of 270x270 mm and are presented in Table 3.5. Figure 3.11 below display a standard pre-cast concrete pile, with mechanical joint and rock tip (Axelsson, 2016).



**Figure 3.11:** Typical standard pile, (Axelsson, 2016).

**Table 3.5:** Input data for the piles.

|                            | Identification           | Values         | Units    |
|----------------------------|--------------------------|----------------|----------|
| Material set<br>Properties | Material type            | Elastic        | -        |
|                            | E                        | 3,00E+07       | $kN/m^2$ |
|                            | $\gamma$                 | 10             | $kN/m^3$ |
|                            | Pile type                | Predefined     | -        |
|                            | Predefined pile type     | Massive square | -        |
|                            | Width                    | 0,275          | m        |
|                            | A                        | Auto           | $m^2$    |
|                            | I                        | Auto           | $m^4$    |
|                            | Lspacing                 | 4,5            | m        |
|                            | Rayleigh $\alpha$        | 0              | -        |
|                            | Rayleigh $\beta$         | 0              | -        |
|                            | Axial skin resistance    | Linear         | -        |
|                            | $T_{skin,start,max}$     | 17*            | kN/m     |
|                            | $T_{skin,end,max}$       | 42*            | kN/m     |
| Lateral skin resistance    | Lateral skin resistance  | Unlimited      | -        |
| Base resistance            | $F_{max}$                | 36**           | kN       |
| Interface stiffness factor | Default values           | No             | -        |
|                            | Axial stiffness factor   | 0,1***         | -        |
|                            | Lateral stiffness factor | 0,1***         | -        |
|                            | Base stiffness factor    | 1***           | -        |

\*Calculation of Axial skin resistance, see Appendix C.

\*\*Calculation of Base resistance, see Appendix C.

\*\*\*Interface stiffness factor. Axial, lateral and base stiffness factor retrieved from other research (Wood and Karstunen, 2017).

#### 3.2.7.4 Struts

Input data for the struts, which is assumed to have a standard diameter of 750 mm.

**Table 3.6:** Input data for the struts.

|                            | Identification | Value   | Units |
|----------------------------|----------------|---------|-------|
| Material set<br>Properties |                | Elastic | -     |
|                            | $EA_{strut}$   | 9.639*  | $kN$  |
|                            | $L_{spacing}$  | 5       | $m$   |

\*Calculation strut  $EA_{strut}$ , see appendix C

### 3.2.7.5 Truss

Table 3.7 presents the parameters used for the truss representing the cross wall. For calculation and more about the conversion, see Section 3.7 "3D-2D converting method" mentioned earlier.

**Table 3.7:** Input data for the trusses.

|                            | Identification | Value        | Units |
|----------------------------|----------------|--------------|-------|
| Material set<br>Properties |                | Elastic      | -     |
|                            | $EA$           | $2.17E+07^*$ | $kN$  |
|                            | $L_{spacing}$  | 5            | $m$   |

\* See calculation in Appendix B.

## 3.3 Selected models and parameters

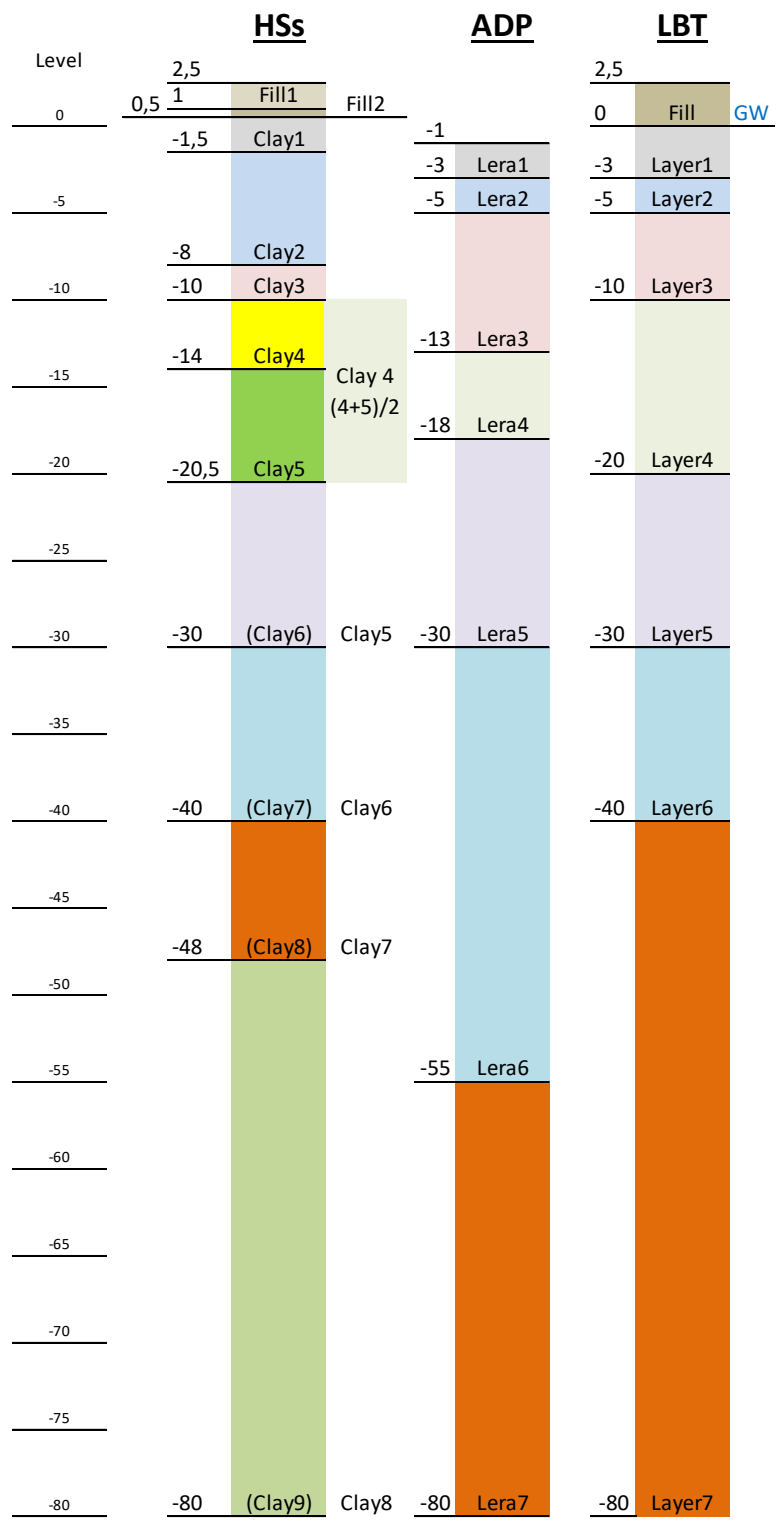
The selected models in this case study is the Hardening Soil small strain model (HSs) and NGI-ADP model (ADP) that have been presented in previous chapter 2 Literature Review section 2.5 Material models.

The soil parameters used for modelling the base model of LBT and the material models have been retrieved from two different investigations in the nearby area. Most of the data used for the HSs parameters have been evaluated from triaxial tests conducted for Jernhusen in Region City (Wood, 2014). The ADP parameters have been retrieved from preliminary design for the West Link project (Trafikverket, 2013).

Many other reports have also used this data, for have been summarized in a PM from Trafikverket named "Design Base Geoteknik" (Wood, 2017).

The conditions for the data used for modelling LBT were merged from these two the best way possible to minimize any unnecessary differences in the sensitivity analysis.

Figure 3.12 presents the adjustment of the soil layers for the fictional model of LBT from the two different soil parameters. They are defined the same way in both material models but generally adapts to the data from the HSs-model. For more detailed in formation, see the referred literature.



**Figure 3.12:** Soil layers adaption. HSs & ADP from RegionCity adapted for LBT.

### 3.4 Soil parameters

In pursuance of better visualise the soil parameters, they will be plotted in different diagrams with data from given data simulated in test and compared with field tests. The following Tables present input data and parameters for the material models, more details can be found in Appendix D.

**Table 3.8:** General input parameters to both material models.

| Soil  | $Top$<br>[m] | $Bot$<br>[m] | $\Delta z$<br>[m] | $\gamma$<br>[kN/m <sup>3</sup> ] | $\gamma_w$<br>[kN/m <sup>3</sup> ] | $OCR$<br>[-] | $POP$<br>[-] | $K0$<br>[-] | $K0_{nc}$<br>[-] | $\phi'$<br>[°] |
|-------|--------------|--------------|-------------------|----------------------------------|------------------------------------|--------------|--------------|-------------|------------------|----------------|
| Fill* | 2,5          | 0            | 2,5               | 18                               | 10                                 | -            | -            | 0,5         | Auto             | 35             |
| Clay1 | 0            | -3           | 3                 | 16                               | 10                                 | 2,00         | 0            | 0,7         | 0,47             | 32             |
| Clay2 | -3           | -5           | 2                 | 16,5                             | 10                                 | 1,65         | 0            | 0,7         | 0,47             | 32             |
| Clay3 | -5           | -10          | 5                 | 15,5                             | 10                                 | 1,38         | 32           | 0,63        | 0,47             | 32             |
| Clay4 | -10          | -20          | 10                | 15,7                             | 10                                 | 1,25         | 32           | 0,61        | 0,47             | 32             |
| Clay5 | -20          | -30          | 10                | 16,3                             | 10                                 | 1,17         | 32           | 0,58        | 0,49             | 30,5           |
| Clay6 | -30          | -40          | 10                | 16,2                             | 10                                 | 1,14         | 0            | 0,57        | 0,49             | 30,5           |
| Clay7 | -40          | -80          | 40                | 16,4                             | 10                                 | 1,14         | 0            | 0,56        | 0,49             | 30,5           |

\* The Fill layer use the MC-model.

**Table 3.9:** Specific parameters to HSs-model.

| Soil  | $c_{ref}$<br>[kPa] | $E_{50}^{ref}$<br>[kPa] | $E_{oed}^{ref}$<br>[kPa] | $E_{5ur}^{ref}$<br>[kPa] | $G_0^{ref}$<br>[kPa] | $G_{ur}$<br>[kPa] |
|-------|--------------------|-------------------------|--------------------------|--------------------------|----------------------|-------------------|
| Clay1 | 1                  | 7000                    | 3002                     | 27000                    | 77480                | 6800              |
| Clay2 | 1                  | 7000                    | 3400                     | 33700                    | 39700                | 8800              |
| Clay3 | 1                  | 7000                    | 3068                     | 24250                    | 40210                | 10800             |
| Clay4 | 1                  | 15400                   | 8300                     | 30800                    | 39230                | 15200             |
| Clay5 | 0                  | 13100                   | 6600                     | 26200                    | 40960                | 20800             |
| Clay6 | 0                  | 13100                   | 6600                     | 26200                    | 36060                | 27200             |
| Clay7 | 0                  | 10500                   | 5285                     | 21000                    | 37460                | 44400             |

**Table 3.10:** Specific parameters to the ADP-model.

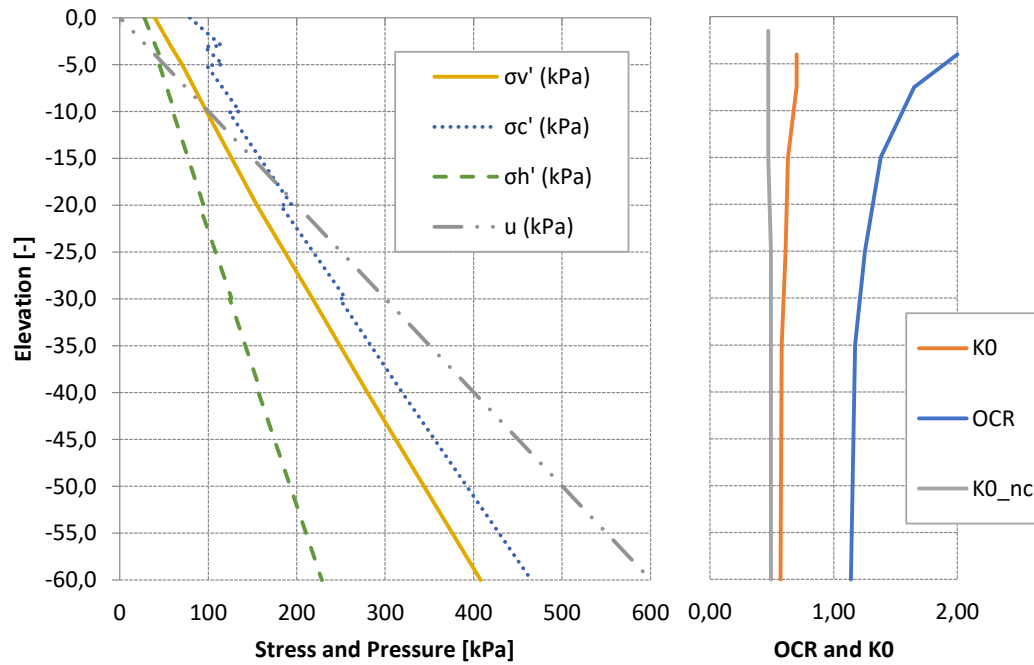
| Soil  | $y_{ref}$<br>[m] | $s_{u,inc}^A$<br>[kN/m <sup>2</sup> ] | $s_{u,ref}^A$<br>[kPa] | $s_u^P/s_u^A$<br>[-] | $T_0/s_u^A$<br>[-] |
|-------|------------------|---------------------------------------|------------------------|----------------------|--------------------|
| Clay1 | 0                | 0                                     | 23                     | 0,565                | 0,4                |
| Clay2 | -3               | 2,3                                   | 23                     | 0,569                | 0,45               |
| Clay3 | -3               | 2,3                                   | 23                     | 0,582                | 0,47               |
| Clay4 | -3               | 2,3                                   | 23                     | 0,589                | 0,5                |
| Clay5 | -3               | 2,3                                   | 23                     | 0,595                | 0,55               |
| Clay6 | -3               | 2,3                                   | 23                     | 0,6                  | 0,57               |
| Clay7 | -3               | 2,3                                   | 23                     | 0,603                | 0,55               |

**Table 3.11:** Specific parameters to the ADP-model.

| Soil  | $y_{ref}$<br>[m] | $T_0/s_u^A$<br>[-] | $G_{ur}/s_u^A$<br>[-] | $\gamma_f^C$<br>[-] | $\gamma_f^E$<br>[-] | $\gamma_f^{DSS}$<br>[-] |
|-------|------------------|--------------------|-----------------------|---------------------|---------------------|-------------------------|
| Clay1 | 0                | 0,652              | 400                   | 2                   | 6                   | 4                       |
| Clay2 | -3               | 0,656              | 400                   | 2                   | 6                   | 4                       |
| Clay3 | -3               | 0,668              | 400                   | 2                   | 6                   | 4                       |
| Clay4 | -3               | 0,676              | 400                   | 2                   | 6                   | 4                       |
| Clay5 | -3               | 0,682              | 400                   | 2                   | 6                   | 4                       |
| Clay6 | -3               | 0,687              | 400                   | 2                   | 6                   | 4                       |
| Clay7 | -3               | 0,69               | 400                   | 2                   | 6                   | 4                       |

### 3.4.1 Initial In-situ

The plot in Figure 3.13 presents the in-situ stresses and pore water pressure for the modelled case study. The soil showed to be slightly over-consolidated.



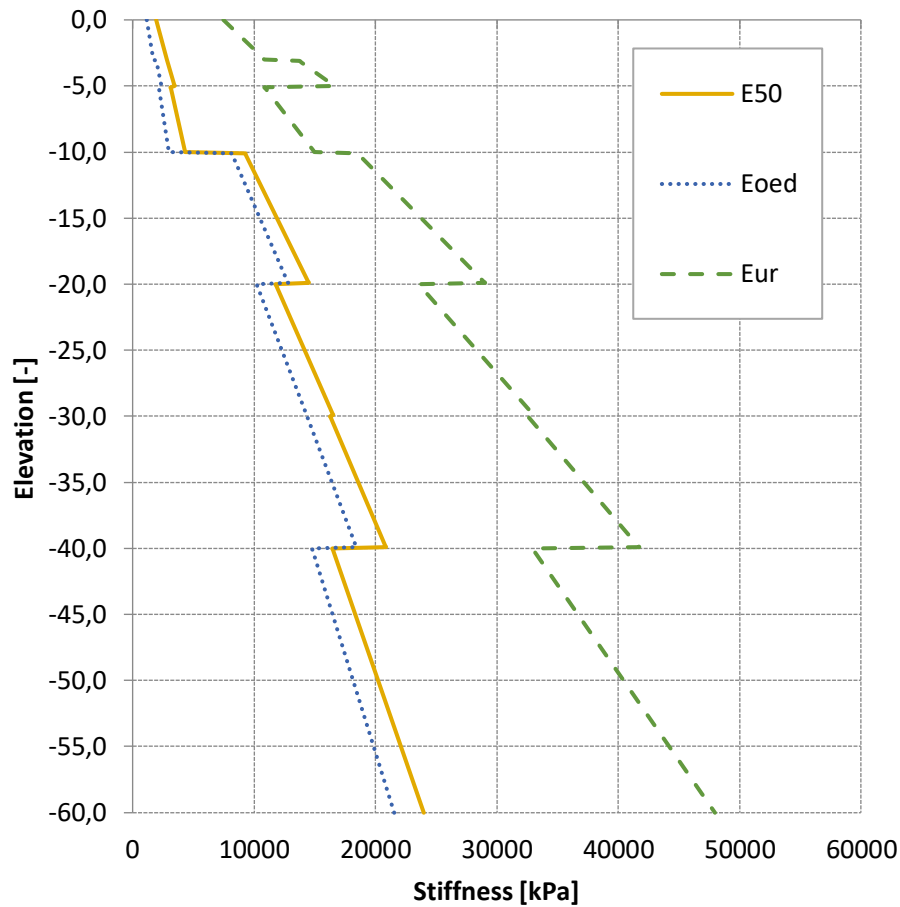
**Figure 3.13:** In-situ stresses, pore water pressure, OCR and K0.

### 3.4.2 Numerical model parameters

Following subsections illustrate the stiffness and strength parameters used in HSs- and ADP-model. For more details about calculations of parameters presented, see Equations described in 2.5 Material models.

#### Stiffness

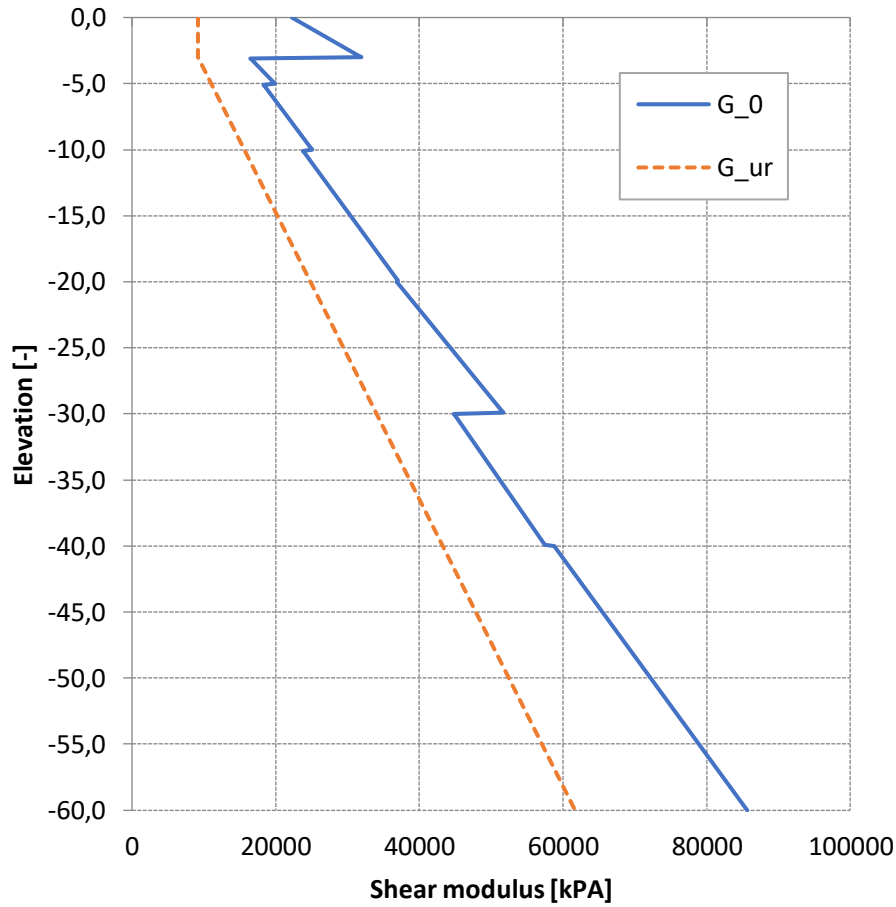
Figure 3.14 presents the stiffness parameters  $E_{50}$ ,  $E_{oed}$  and  $E_{ur}$  with depth, used in the HSs material model. The  $E_{50}$  and  $E_{oed}$  are quite similar while  $E_{ur}$  is about the double. In the transition between the different layers the stiffness reduces a bit.



**Figure 3.14:** Young's modules  $E_{50}$ ,  $E_{oed}$  and  $E_{ur}$ .

Figure 3.15 represents shear modules at very small strains  $G_0$  used in HSs-model compared to un-/reloading shear modules  $G_{ur}$  used in the ADP-model.  $G_0$  is constant since the reference value in ADP-model of  $G_{ur}/S_u^A = 400$ .  $G_0$  is calculated from the HSs-model parameter  $G_0^{ref}$  with Equation 2.13.

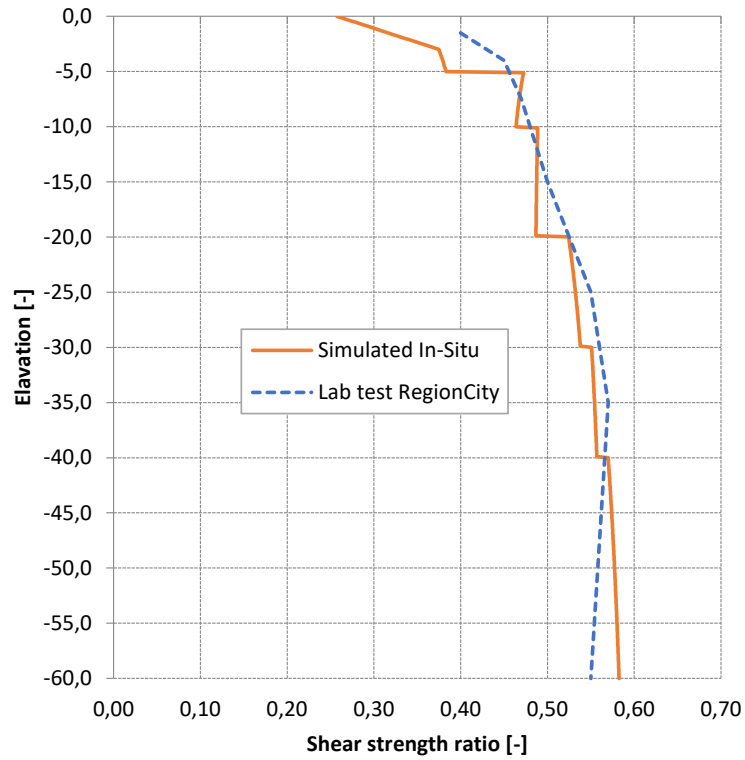
The initial shear modulus  $G_0$  is higher then the constant  $G_{ur}$ . Therefore, the HSs-model have higher shear stiffness than the ADP-model which results in smaller deformations and strains, however  $G_0$  will also be degraded but still higher than ADP-model.



**Figure 3.15:** Shear modules, initial  $G_0$  and un-/reloading  $G_{ur}$ .

#### Strength

The strength parameter of the initial mobilization  $\tau_0/s_u^A$  is compared in Table 3.16 between the simulated in-situ value and field test values retrieved from Region City (Wood, 2014). The simulated shear strength and field test converge quite well. For more details, see 2.5 Material models and Brinkgreve (2017b).



**Figure 3.16:** Initial mobilisation ratio of  $\tau_0/s_u^A$ , a comparison between simulation and lab test values.

### 3.5 Simulation of soil parameters

PLAXIS have a feature called Soil-Test which simulate theoretical laboratory tests for different material sets defined by the user. The available simulation options are triaxial, CRS, oedometer, DSS and General. In the last option, any random stress path can be simulated by presenting the right boundary conditions.

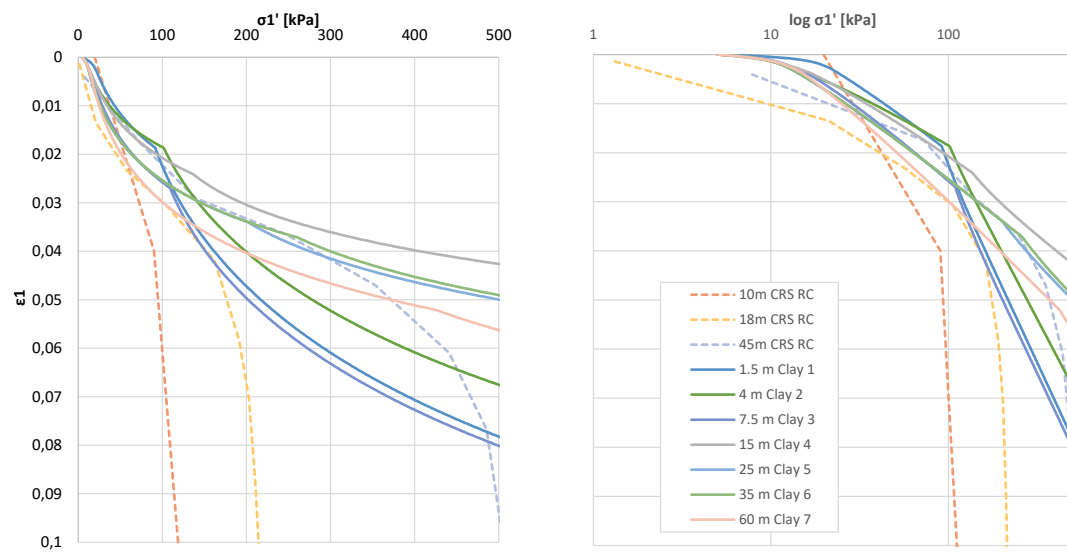
#### 3.5.1 CRS test

Figure 3.17 shows the simulated stress-strain curve of the HSs clays 1 to 7 conducted in PLAXIS Soil Test, according to the settings in Table 3.12, compared to real tests of field samples from Region City (Wood, 2014). Comments on the CRS test simulation:

- This set of parameters are not able to capture the real soil behaviour under CRS conditions.
- Only the initial part before the pre-consolidation pressure is captured to some extend.

**Table 3.12:** Input data CRS SoilTest.

| Type of test | Input       | Mob.Rel. Shear Strength | Initial       | $kN/m^2$ | Phase 1                       | Incre.                | %   |
|--------------|-------------|-------------------------|---------------|----------|-------------------------------|-----------------------|-----|
| Drained      | $\sigma_c'$ | No                      | $\sigma_{xx}$ | -5       | Duration: 1 day<br>Steps: 500 | $\delta\epsilon_{xx}$ | 0   |
|              |             |                         | $\sigma_{yy}$ | -5       |                               | $\delta\epsilon_{yy}$ | -10 |
|              |             |                         | $\sigma_{zz}$ | -5       |                               | $\delta\epsilon_{zz}$ | 0   |
|              |             |                         | $\tau_{xy}$   | 0        |                               | $\delta\gamma_{xy}$   | 0   |
|              |             |                         |               |          |                               |                       |     |



**Figure 3.17:** CRS plots of stress-strain and logarithmic stress-strain in the HSs-model.

### 3.5.2 Triaxial test

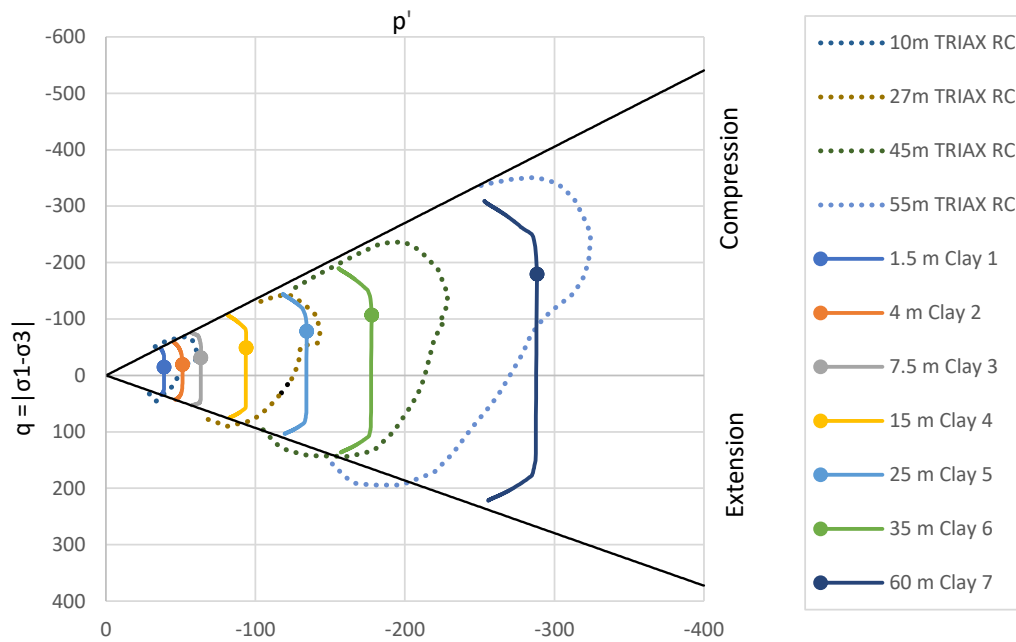
Figure 3.18 presents  $p'$ - $q$  stress space of simulated test using HSs. Table 3.13 presents the input parameters for clay 1 to 7 simulated in PLAXIS SoilTest and compared with lab tests from Region City (Wood, 2014). The lab test are retrieved from a effective stress path plot in triaxial space  $s'$ - $t$  and converted to  $p'$ - $q$  using equations in 3.2. Comments on  $p'$ - $q$ -plot in Figure 3.18:

- The presented MC-failure line is plotted with  $\phi = 32^\circ$ , sample clay 5 to 7 have  $\phi = 30.5^\circ$  and therefore do not intercept with the line.
- Partial anisotropy using the MC failure in HSs. However still same friction angle in compression and extension, where the path exceeds.

$$q = 2t, \quad p = \frac{3s' - t}{3} \quad (3.2)$$

**Table 3.13:** Input data Triaxial SoilTest.

| Type      | Direction       | Consolid.  | Input                                 | Units                  |
|-----------|-----------------|------------|---------------------------------------|------------------------|
| Undrained | Comp.<br>& Ext. | $K0 = 0.7$ | Initial eff. stress, $\sigma_v'$      | $\text{kN}/\text{m}^2$ |
|           |                 |            | Maximum strain, $\sigma_{yy}$         | %                      |
|           |                 |            | Steps: 500                            | -                      |
|           |                 |            | Vertical precons. stress, $\sigma_c'$ | $\text{kN}/\text{m}^2$ |
|           |                 |            | Mob. Rel. Shear strength: No          | -                      |

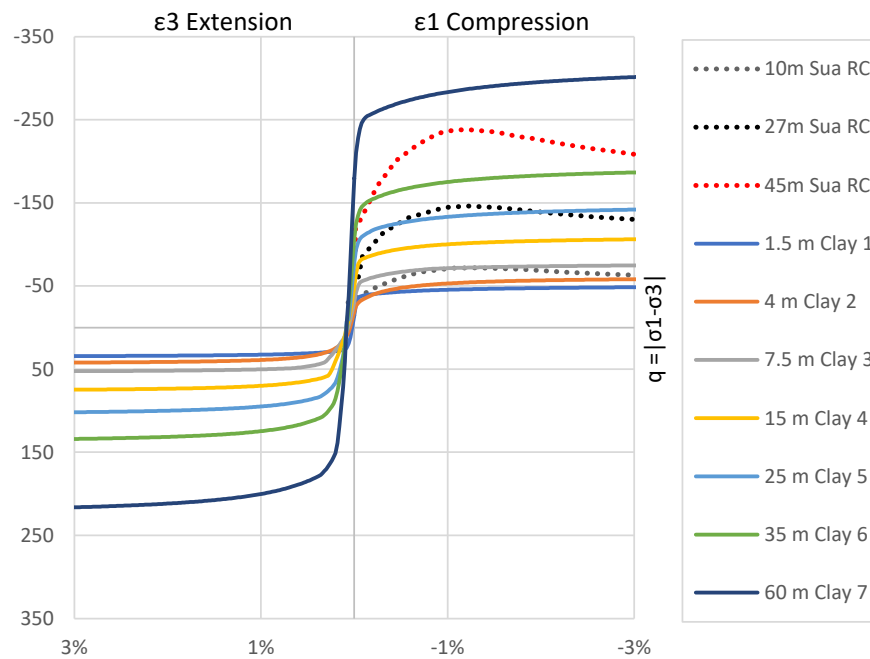


**Figure 3.18:** Comparison of  $p' - q$  stress space in a triaxial test in the HSs-model.

### 3. Case Study

The simulated major principal strain and deviatoric shear compared to Region City lab test is presented in Figure 3.19. Comments on the HSs-model strength parameters:

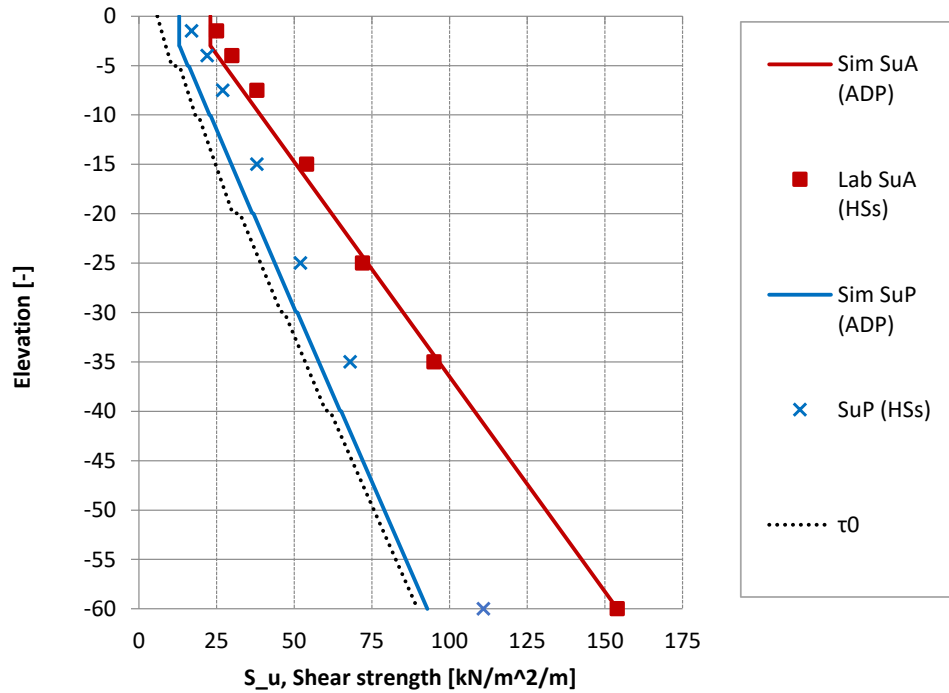
- The lab test from Region City only presents strain and deviatoric shear in compression.
- The HSs-model simulation appears to be stiffer at small strain.
- The simulation from HSs-model do not capture the clay softening behavior.



**Figure 3.19:** Comparison of major principal strain and deviatoric shear between simulation and lab test values in the HSs-model.

Figure 3.20 presents the shear strength  $s_u$  comparison between the ADP-model simulation and lab test values from Region City. Comments on the ADP-model strength parameters:

- The simulated values for shear strength i compression  $S_u^A$  fits very well, whilst extension  $S_u^P$  indicate a small overestimation in the HSs-model.



**Figure 3.20:** Comparison of shear strength  $S_u$  between simulation and lab test values in the ADP-model.



# 4

## Results

This chapter presents the results from 38 individual PLAXIS-calculations conducted for the material model comparison and parameter study. To investigate the result for the vast amount of data, only a few output variables have been chosen to visualize the effects.

### 4.1 Parameters

A total number of 18 variations combining the two material models and different parameters were chosen to be alternated for a sensitivity analysis, in order to investigate the effect on the LBT boundary value problem. These can be divided in 3 categories:

- Interface parameters for both material models.
- Stiffness parameters of the HSs-model.
- Stiffness and strength parameters of ADP-model.

For further information about the parameters, go back to the Literature Review chapter or see PLAXIS manual (Brinkgreve, 2017b).

#### 4.1.1 Interface parameters

$R_{inter}$ : Interface reduction factor.

$E'$ : Interface stiffness.

The elasto-plastic MC-model with undrained (A) is used to describe the behavior of interfaces for modelling the soil-structure interaction. The Coulomb shear stress criterion is used to separate elastic behaviour, where small displacements can occur within the interface, and plastic interface behaviour when permanent slip may occur (Brinkgreve, 2017a).

Note that a value of  $R_{inter}$  less than 1 reduces the strength  $c'$ ,  $\psi$  and  $\phi$  as well as the stiffness  $E'$  of the interface. Suggestions for  $R_{inter}$  interaction between clay and

concrete is 0.7-1 (Karstunen, 2016).

The parameter study investigates the effects of changing  $R_{inter}$  and  $E'$  between the diaphragm wall/adjacent soil (DW/Soil) and diaphragm wall/cross-wall (DW/CW), for both the HSs and ADP-models.

### 4.1.2 HSs stiffness parameters

$E_{50}^{ref}$ : Secant reference stiffness in standard drained triaxial test.

$E_{oed}^{ref}$ : Tangent reference stiffness for primary oedometer loading.

$E_{ur}^{ref}$ : Un-/reloading reference stiffness.

Due to limits in altering the parameters of the HSs-model in PLAXIS, some alterations had to be made in order to be able to conduct a parameter study. These are presented in the Sensitivity analysis. Note that it is the reference value at  $p_{ref} = 100kPa$  that is varied.

### 4.1.3 ADP stiffness and strength parameters

#### Stiffness

$G_{ur}/s_u^A$ : Ratio un-/reloading shear modules over undrained active shear strength.

$\gamma_f^C$ : Shear strain at failure in triaxial compression.

$\gamma_f^{DSS}$ : Shear strain at failure in direct simple shear.

$\gamma_f^E$ : Shear strain at failure in triaxial extension.

If the shear strength is increasing with depth the constant ratio for  $G_{ur}/s_u^A$  gives a shear stiffness increasing linearly with depth.

#### Strength

$s_u^P/s_u^A$ : Ratio of plain strain passive shear strength over plane strain active shear strength.

$s_u^{DSS}/s_u^A$  Ratio of direct simple shear strength over active shear strength.

## 4.2 Output variables

This section present the chosen output variables for comparison and evaluation of the parameter study. Appendix D presents more details about the extraction of the variables. All output variables are taken from phase 7, at full excavation before the bottom plate is constructed, since it is the most critical phase.

### **Deformation, $u_x$**

Plotting all the horizontal displacements in the diaphragm wall. This type of displacements is often monitored during the construction by surveyors or by installed inclinometers.

### **Bending moment, $M$**

The bending moment effecting the diaphragm wall.

### **Axial forces of strut and cross-wall truss, $N$**

The axial forces acting on the diaphragm wall from the strut and cross-wall. Calculation for these output variables have been presented in previous chapter Case Study and Appendix B and C.

### **Total normal stress due to earth pressure, $\sigma_N$**

Some abnormalities were observed in this output parameter, mostly on the passive side, which probably is due to the truss model for the CW at this zone.

### **Heave at the excavation bottom, $u_y$**

There is a zigzag pattern of the heave deformation, a model effect due to the cross-walls and the piles. The peak values represents the heave at the soil between the cross-walls. The friction on the cross-wall reduces the heave in the soil.

### **Settlements, $u_y$**

Settlements behind the diaphragm wall at ground surface.

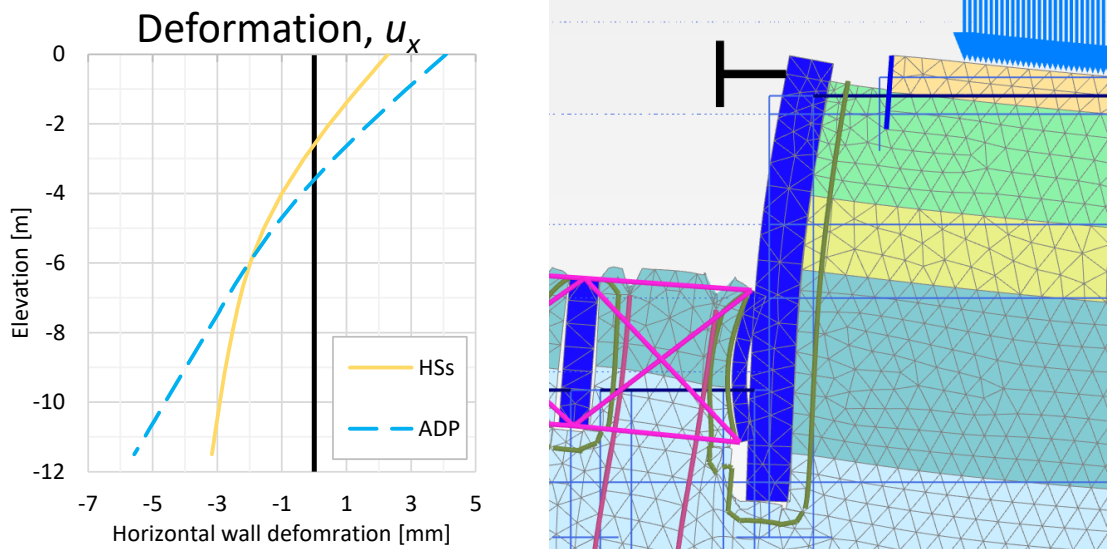
### 4.3 Base-model comparison and evaluation

This section illustrates and comment the differences found in comparing the results of the material models HSs and ADP. Step by step the input parameters have been evaluated by observations of the differences in the material models and other modelling consequences. The iteration of the base-model using the material models HSs and ADP is presented below as an example for the sensitivity analysis and present the major differences between the material models before altering the parameters. The whole comparison of the base-model is presented in Appendix E Complete Sensitivity Analysis.

#### Horizontal deformations in the diaphragm wall, $u_x$

- The ADP-model shows a relatively higher clockwise rotation of the wall compared to the HSs-model.
- The strut have greater counteracting effect on the diaphragm wall then the cross-wall. An error in equivalent length in the modelling might have contributed to this. It is normal that the wall tend to rotate at the bottom.

| Direction      | $u_x$ |      | $u_y$ |       |
|----------------|-------|------|-------|-------|
| Material model | HSs   | ADP  | HSs   | ADP   |
| Strut position | 2 mm  | 4 mm | 10 mm | 20 mm |

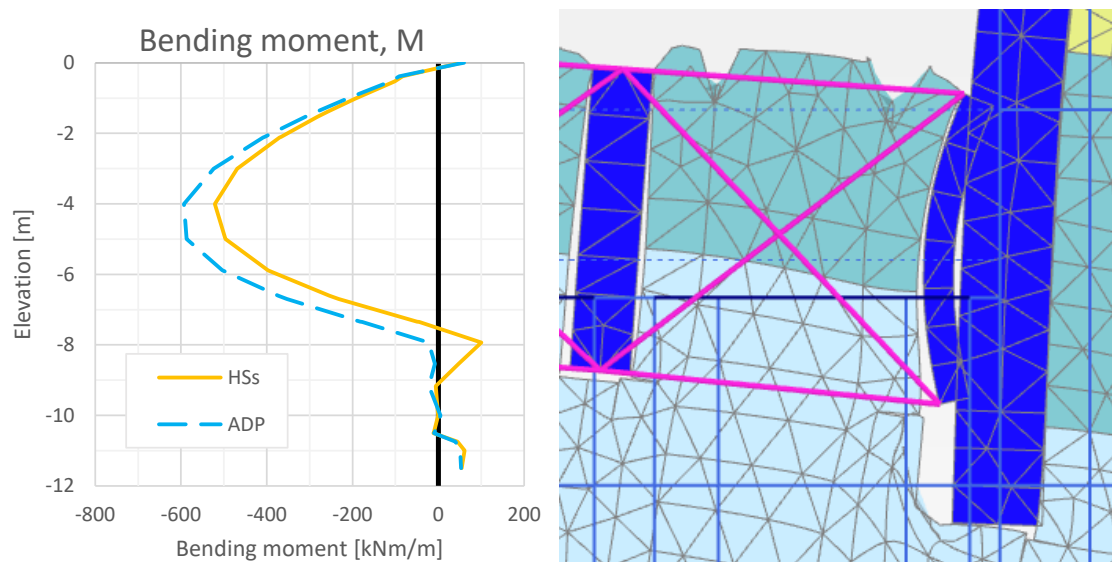


**Figure 4.1:** HSs/ADP comparison. Plot of  $u_x$  deformation and deformed mesh view scaled up 50 times.

## Bending moment in the diaphragm wall, $M$

- Truss acting more like a point-load rather than a distributed-load which can be seen in both M-diagram and deformed mesh view.
- Cross-wall slightly bent resulting in less contact in the interface to diaphragm wall.
- Bending moment approximately equal to 0 [kNm/m] in the middle of cross-wall.
- Maximum bending moment difference between HSs and ADP,  $\Delta M = 100$  [kNm/m].

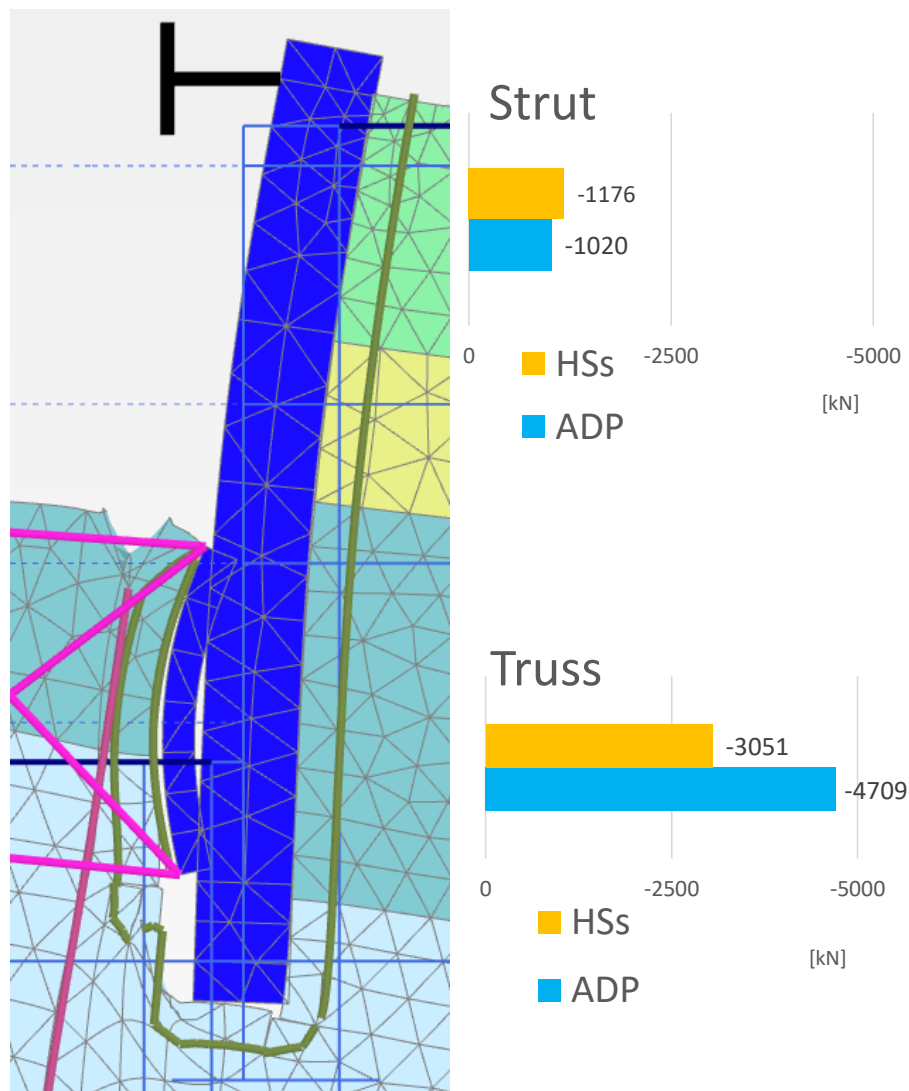
| Material model         | HSs         | ADP         |
|------------------------|-------------|-------------|
| Maximum bending moment | 520 [kNm/m] | 600 [kNm/m] |



**Figure 4.2:** HSs/ADP comparison. M-diagram and deformed mesh scaled up 50 times.

### Axial normal forces acting on strut and truss, $N$

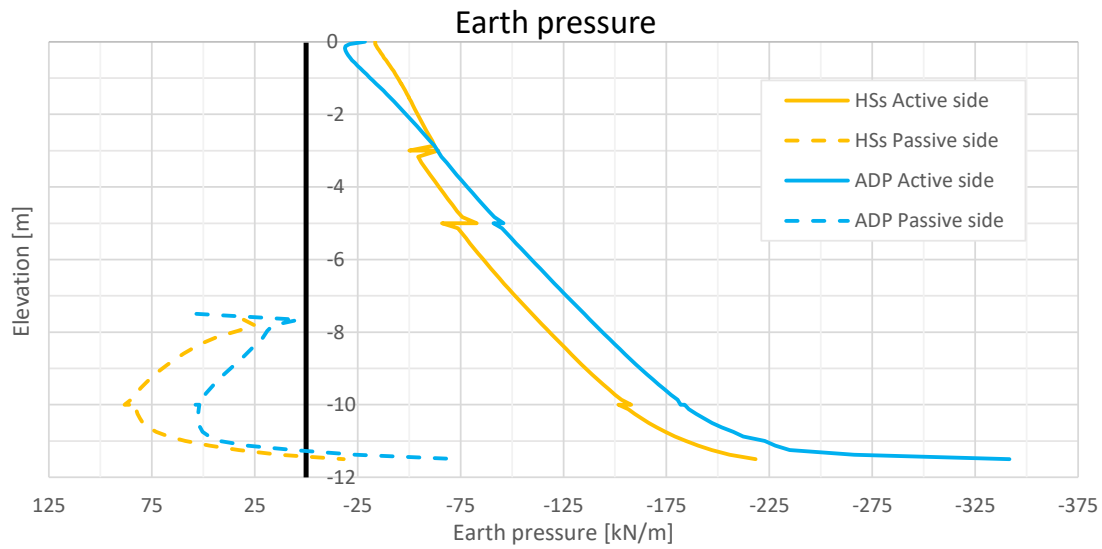
- The axial normal force of the truss is the sum of the resulting horizontal force of each individual bar. Significantly higher axial normal force in truss compared to strut.
- Due to wrong input value for the equivalent length of the strut, it most certainly have affected the axial force of the strut and truss in both the HSs and ADP.
- The axial normal force for HSs is slightly higher in the strut and significantly less in truss.



**Figure 4.3:** HSs/ADP comparison. Axial normal forces acting on strut and truss.

### Active/Passive earth pressure, $\sigma$

- HSs: A minor change in pressure between the clay layers.
- The earth pressure in the ADP-model initially decreases and during the first clay layer have at a lower value but then surpasses the HSs with a constant increase with depth.
- Most likely, the effects of the cross-wall implementation, shows some disruptions in pressure in the marked areas in the figure and is considered deceptive and therefore neglected.

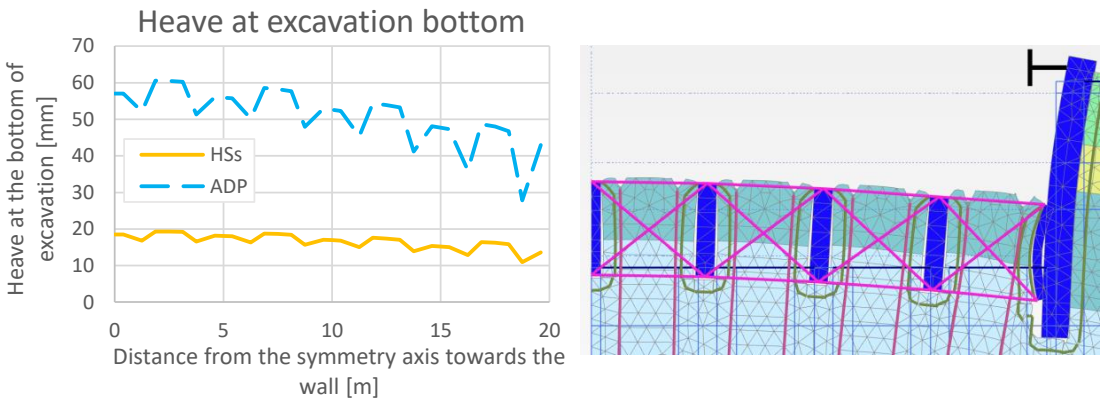


**Figure 4.4:** HSs/ADP comparison. Active/Passive earth pressure  $\sigma$ .

**Heave at excavation bottom,  $u_y$**

- The heave is higher in the middle of the excavation compared to the joint of DW/CW, especially in the ADP-model.

| Material model | HSs  | ADP  |
|----------------|------|------|
| Maximum heave  | 6 cm | 2 cm |

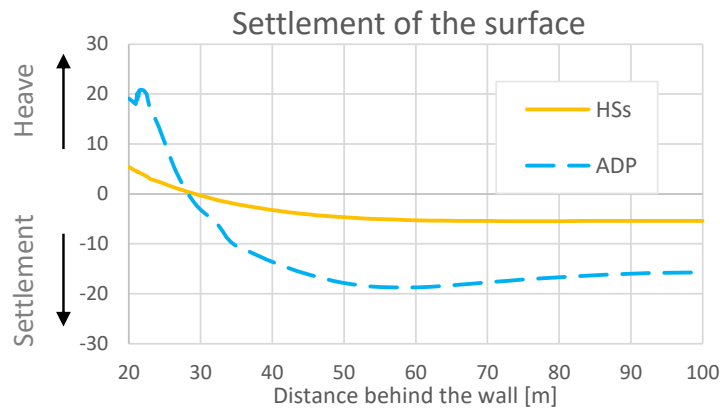


**Figure 4.5:** HSs/ADP comparison. Heave at excavation bottom.

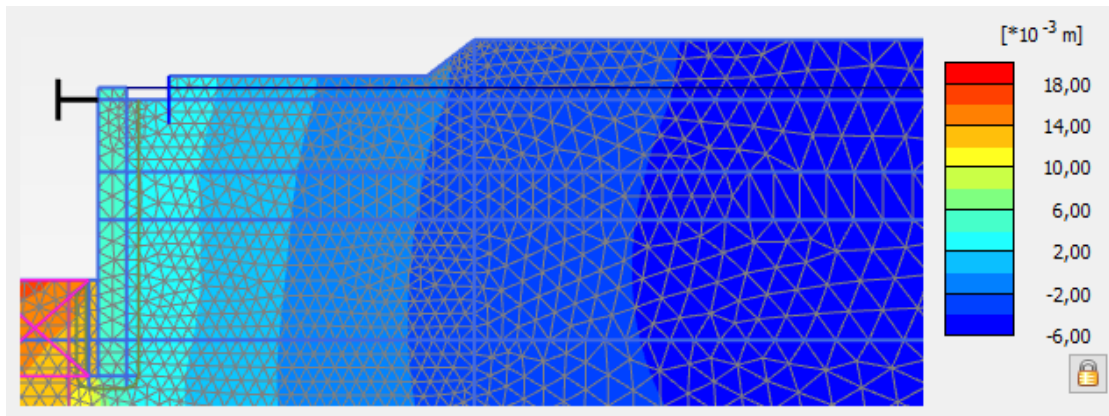
### Settlements behind the diaphragm wall, $u_y$

- Notice that the measurements start at  $x = 20\text{m}$  and therefore include the displacements of the diaphragm wall as well.
- The first 15 m behind the diaphragm wall is most likely effected by the excavation of the fill and the PLAXIS-model adaptation of the temporary sheet pile wall.
- Initially heave within the excavated fill layer and the cross over to settlements occur at the same place for both material models.
- ADP shows significant more heave and settlement than HSs.

| Material model     | HSs  | $(u_x)$  | ADP   | $(u_x)$        |
|--------------------|------|----------|-------|----------------|
| Maximum settlement | 5 mm | (< 70 m) | 19 mm | (peak at 60 m) |



**Figure 4.6:** HSs/ADP comparison. Settlements  $u_y$  behind the diaphragm wall.



**Figure 4.7:** Settlements shown in PLAXIS of the HSs-model.

### **Summary of HSs/ADP base-model comparison**

In almost all of the cases the ADP-model showed higher difference from the reference value than in the HSs-model, especially in heave at the excavation bottom.

The distribution of the axial load between the truss and strut is effected by the modelling mistake of the equivalent length of the strut. However, this mistake was present in all models which still make the analysis valid as the same error applies in all cases.

The truss is a good approximation to transfer the horizontal forces from the diaphragm wall. However the concentration effect at the truss joint between DW/CW is not realistic and need to be improved.

## 4.4 Parameter study and Sensitivity analysis

In order to get a comprehensive overview of all the output data of the parameter study, a matrix of the sensitivity analysis is presented in Figure 4.8. In general the differences shown from the parameter study are quite small, but the changes still indicate how the single parameter affects the output. The short for Min and Max, in Figure 4.8, indicate if the parameter have a reduced or increased value compared to the reference value. In order to get a better understanding of the influence of the parameter a percentage of the alternation is also presented, calculated as the difference of Min/Max compared to Ref divided by Ref. More details about the alternations of the parameters can be found in Appendix D "Full input and output data".

The colour grading of insignificant, minor and notable differences are a assessment of the parameters impact in relation to the reference and the other alternations. The complete sensitivity analysis is presented in Appendix E. From the notable differences displaced in Figure 4.8, a number of six calculated combinations of parameters and material models have been chosen for further investigations:

- 6: Interface between diaphragm wall and cross-wall  $R_{inter}$  (ADP).
- 7: Interface stiffness between diaphragm wall and cross-wall,  $E'$  (HSs).
- 8: Interface stiffness between diaphragm wall and cross-wall,  $E'$  (ADP).
- 12: New reference:  $E_{50} \cdot MIN/MAX$ ,  $E_{ur} = 6 \cdot E_{50}$ .  
(See 4.4.1 for further explanation)
- 13:  $G_{ur}/s_u^A$  Ratio un-/reloading shear modulus over undrained active shear strength.
- 16:  $y_f^{DSS}$  Shear strain at failure in direct simple shear.

### 4.4.1 Parameters adaption for the HSs stiffness parameters

As mentioned in the Literature Review about the HSs material model, the stiffness parameters  $E_{50}^{ref}$ ,  $E_{oed}^{ref}$  and  $E_{ur}^{ref}$  are dependent on each other and can not be altered separately. Therefore, the stiffness parameters in all clay layers of calculations number 9 to 12 had to be altered in stiffness sets with relation to each other. New start values had to be set as "New reference", where  $E_{ur}^{ref} = 2 \cdot E_{50}^{ref}$  and  $E_{oed}$  set to fulfill the  $K0_{nc}$ -value. In order to observe the impact of the stiffness parameters, the  $E_{ur}^{ref}$  in the new reference value were increased by multiplying with 2, 4 and 6. The new references set were then multiplied with a Min and Max value as done with other parameters. See Appendix D "Full HSs input data" where the reference value is marked green and then multiplied by 0,8 and 1,2.

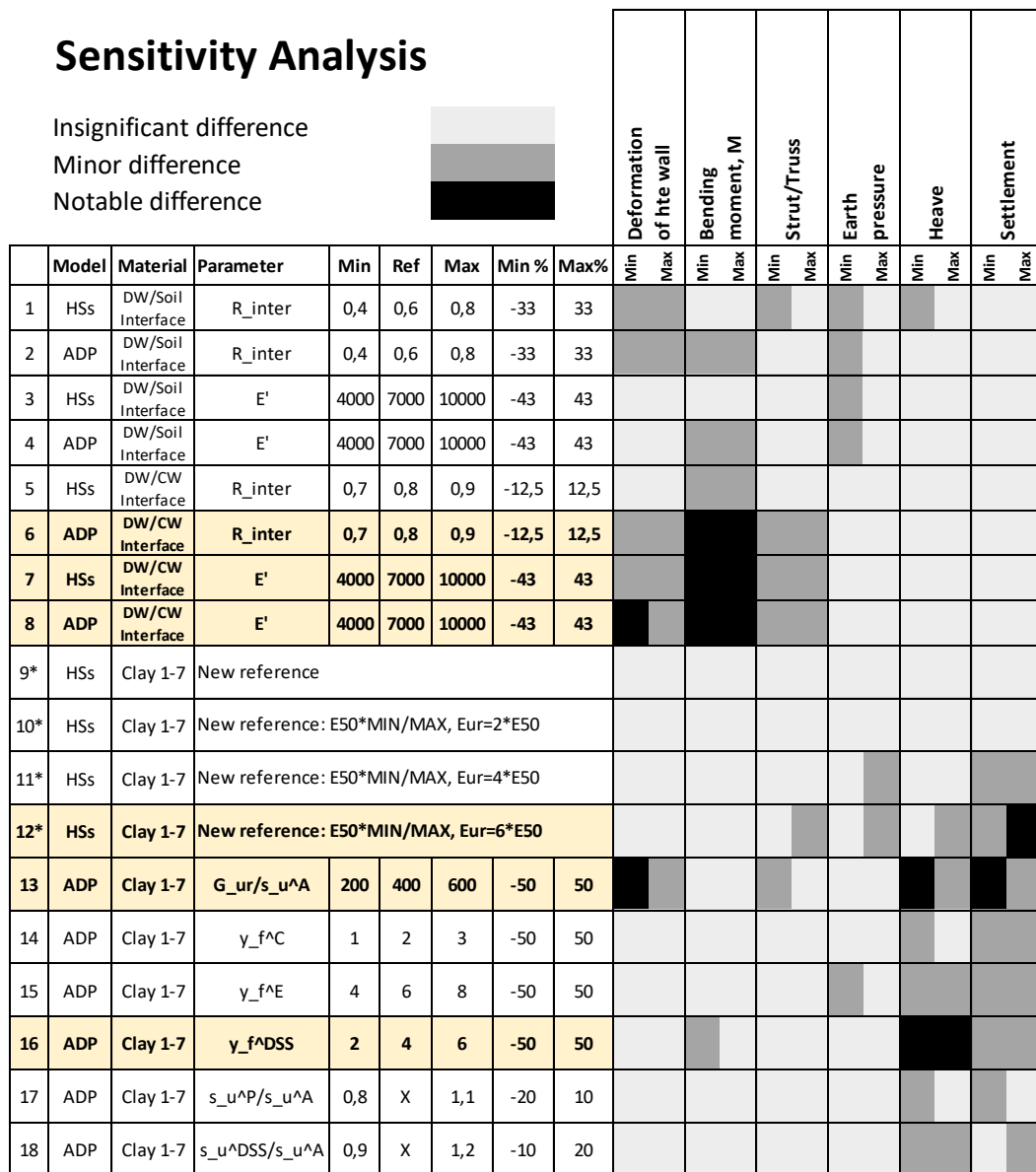


Figure 4.8: Matrix of the Sensitivity Analysis.

\* See previous explanation in subsection 4.4.1 and Appendix D for specific values.

#### 4.4.2 Further investigations of parameters

Following sections present the outputs from the highlighted calculations shown in Figure 4.8.

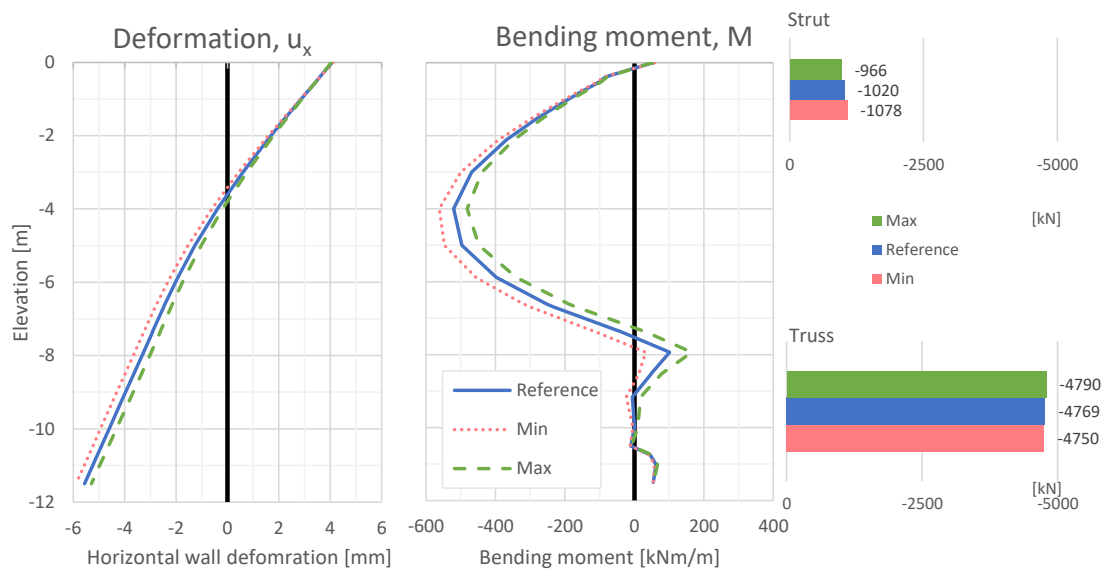
## 6: Interface between diaphragm wall and cross-wall $R_{inter}$ (ADP)

Table 4.1 show the parameter alternations and Figure 4.9 display the selected results. The following observations are made:

- A small alternation of max/min 12 %) in the reference value  $R_{inter}$  have a relatively high influence to the deformation and bending moment in comparison to the other observed relations between Max/min and Ref values.
- The effect of altering  $R_{inter}$  increase with depth in the horizontal deformations  $u_x$ , even though it is very small.
- Lowering the interface increase the bending moment and vice versa with a maximum difference of +/- 70 [kNm/m].

**Table 4.1:** Parameter settings for calculation number 6.

| Model | Material | Parameter   | Min | Ref | Max | Min % | Max % |
|-------|----------|-------------|-----|-----|-----|-------|-------|
| ADP   | DW/CW    | $R_{inter}$ | 0,7 | 0,8 | 0,9 | -12   | 12    |



**Figure 4.9:** Output parameters for calculation number 6. Presenting deformation, bending moment and axial forces.

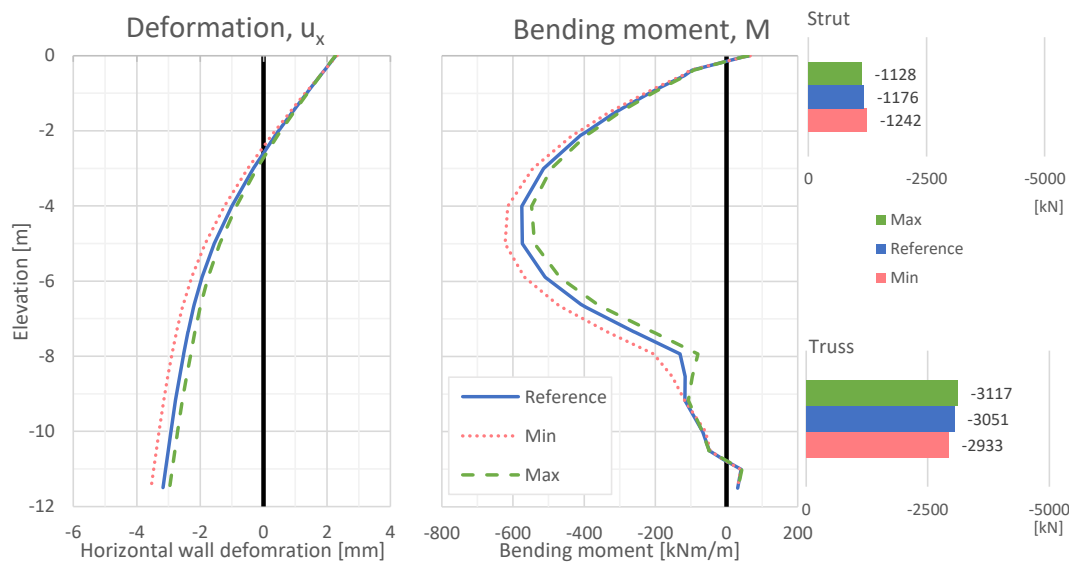
## 7: Interface stiffness between diaphragm wall and cross-wall, $E'$ (HSs)

Table 4.2 show the parameter alternations and Figure 4.10 display the selected results. The following observations are made:

- Deviation from the reference value in horizontal deformations  $u_x$  increase with depth.
- Maximum difference in horizontal deformations  $u_x$  while decreasing  $E' \rightarrow 0,4$  mm.
- Maximum difference in horizontal deformations  $u_x$  while increasing  $E' \rightarrow 0,2$  mm.
- Deviation from the reference value in bending moment  $M$  increase until it reach the excavation bottom.
- Maximum difference in bending moment  $M$  while decreasing  $E' \rightarrow 29 \text{ kNm/m}$ .
- Maximum difference in bending moment  $M$  while increasing  $E' \rightarrow 21 \text{ kNm/m}$ .

**Table 4.2:** Parameter settings for calculation number 7.

| Model | Material | Parameter | Min  | Ref  | Max   | Min % | Max % |
|-------|----------|-----------|------|------|-------|-------|-------|
| HSs   | DW/CW    | $E'$      | 4000 | 7000 | 10000 | -43   | 43    |



**Figure 4.10:** Output parameters for calculation number 7. Presenting deformation, bending moment and axial forces.

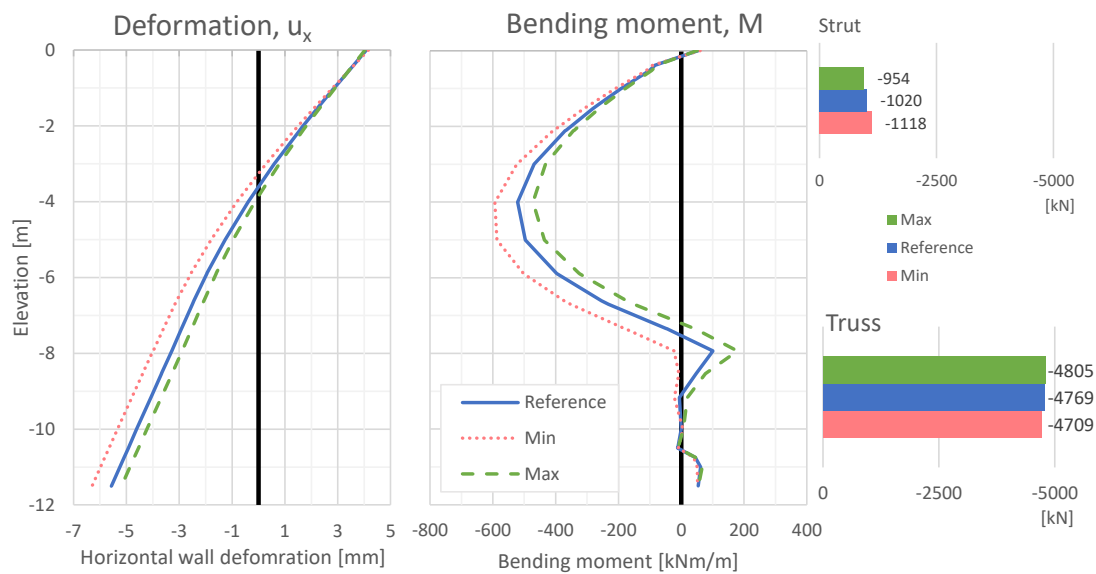
## 8: Interface stiffness between diaphragm wall and cross-wall, $E'$ (ADP)

Table 4.3 show the parameter alternations and Figure 4.11 display the selected results. The following observations are made:

- Very similar to calculation number 7, even though the material model is changed.
- Deviation from the reference value in horizontal deformations  $u_x$  increase with depth.

**Table 4.3:** Parameter settings for calculation number 8.

| Model | Material | Parameter | Min  | Ref  | Max   | Min % | Max % |
|-------|----------|-----------|------|------|-------|-------|-------|
| ADP   | DW/CW    | $E'$      | 4000 | 7000 | 10000 | -43   | 43    |



**Figure 4.11:** Output parameters for calculation number 8. Presenting deformation, bending moment and axial forces.

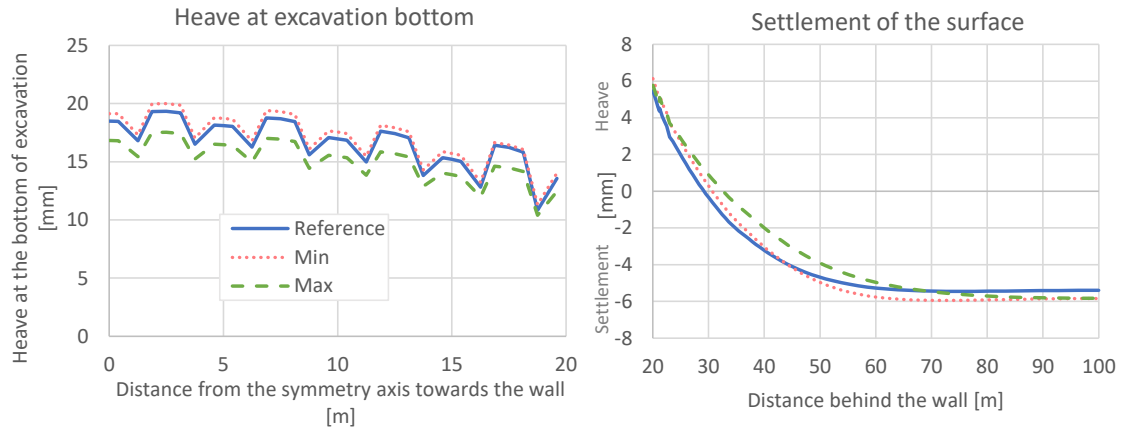
## 12: New reference: $E_{50} \cdot MIN/MAX$ , $E_{ur} = 6 \cdot E_{50}$

Table 4.4 show the parameter alternations and Figure 4.12 display the selected results. The following observations are made:

- Notice that this calculation use a new reference parameters in the base-model due to limitations in altering the stiffness parameters in the HSs, see explanation in 4.4.1 in initial part of this section.
- Intersection from heave to settlement changes.
- Relatively small impact even though being the most affect parameter of the HSs stiffness model.

**Table 4.4:** Parameter settings for calculation number 12. (Calculated with new reference values).

| Model | Material | Set of parameters                                 | Min/Max                      | $K0_{nc}$ -limit              | Factor                 |
|-------|----------|---------------------------------------------------|------------------------------|-------------------------------|------------------------|
| HSs   | Clay 1-7 | $E_{50}^{ref}$ , $E_{oed}^{ref}$ , $E_{ur}^{ref}$ | $E_{50}^{ref} \cdot 0,8/1,2$ | $E_{oed}^{ref} \cdot K0_{nc}$ | $E_{ur}^{ref} \cdot 6$ |



**Figure 4.12:** Output parameters for calculation number 12. Presenting heave at excavation and settlement behind the wall.

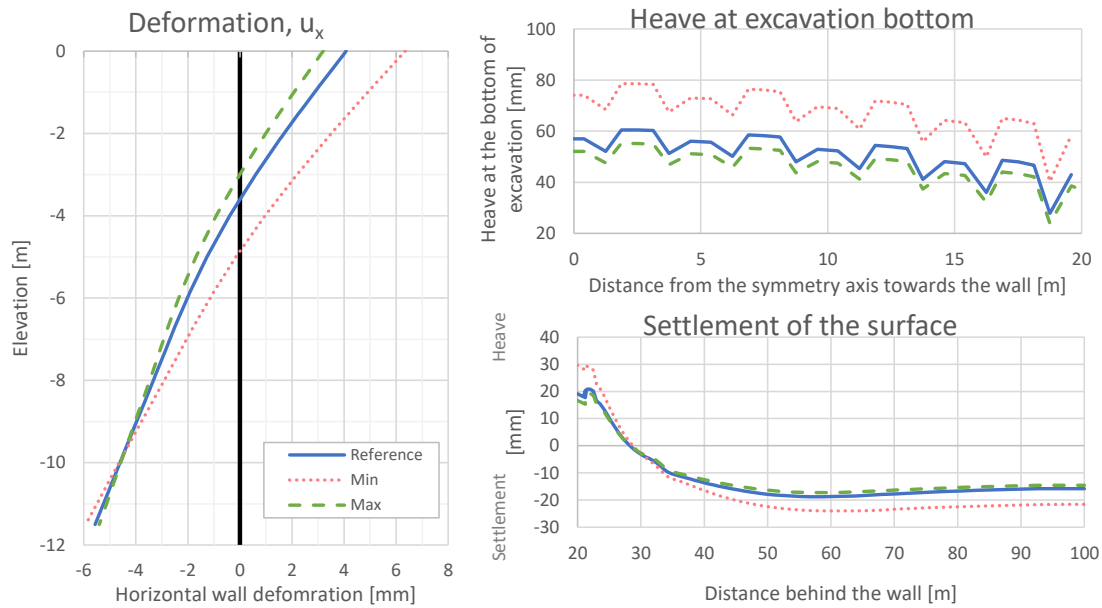
### 13: $G_{ur}/s_u^A$ Ratio un-/reloading shear modulus over undrained active shear strength

Table 4.5 show the parameter alternations and Figure 4.13 display the selected results. The following observations are made:

- Deviation from the reference value in horizontal deformations  $u_x$  decrease with depth. Cross-wall dominant below excavation level.
- $G_{ur}/s_u^A$  (200): Max difference in  $u_x = 2,3$  mm at top of the diaphragm wall and strut.
- $G_{ur}/s_u^A$  (600): Max difference in  $u_x = 0,8$  mm at top of the diaphragm wall and strut.
- The effect on heave at excavation bottom is significant higher in reducing the parameter compared to increasing. Heave  $u_y$  compared to reference value is +18 mm respectively -5 mm.
- The effect on settlement of the surface is notable higher in reducing the parameter compared to increasing.  $u_y = +1,5$  mm respectively -6 mm. Settlement peak at  $x = 60$  m.

**Table 4.5:** Parameter settings for calculation number 13.

| Model | Material | Parameter      | Min | Ref | Max | Min % | Max % |
|-------|----------|----------------|-----|-----|-----|-------|-------|
| ADP   | Clay 1-7 | $G_{ur}/s_u^A$ | 200 | 400 | 600 | -50   | 50    |



**Figure 4.13:** Output parameters for calculation number 13. Presenting deformation, heave at excavation and settlement behind the wall.

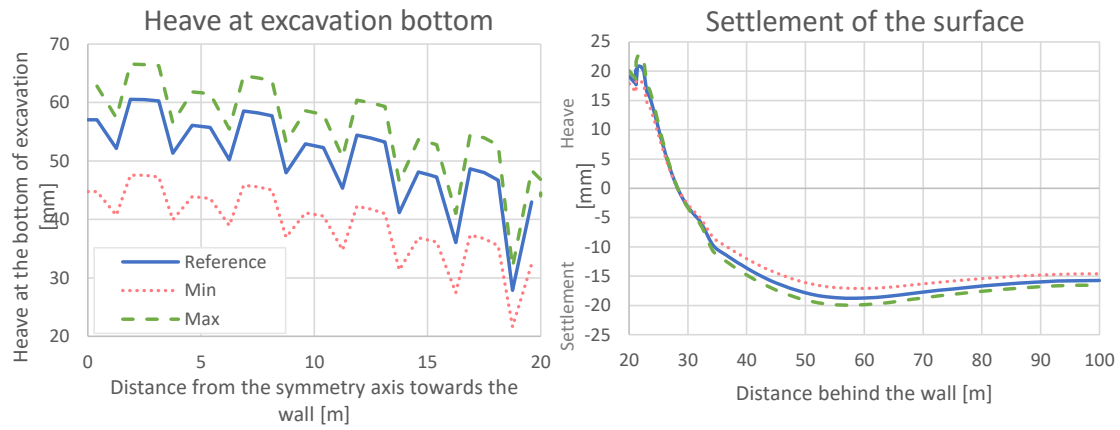
### 16: $\gamma_f^{DSS}$ Shear strain at failure in direct simple shear

Table 4.6 show the parameter alternations and Figure 4.14 display the selected results. The following observations are made:

- For heave, reducing the ratio of  $s_u^{DSS}/s_u^A$  by only -10 % have greater impact than increasing it by 20 %.

| Model | Material | Parameter        | Min | Ref | Max | Min % | Max % |
|-------|----------|------------------|-----|-----|-----|-------|-------|
| ADP   | Clay 1-7 | $\gamma_f^{DSS}$ | 2   | 4   | 6   | -50   | 50    |

**Table 4.6:** Parameter settings for calculation number 16.



**Figure 4.14:** Output parameters for calculation number 16. Presenting heave at excavation and settlement behind the wall.

## Summary of results for parameter study

The impact of the parameter alternations showed to be considerable smaller than expected.

### Interfaces

The results regarding the interfaces only showed relevant changes in deformations and bending moment for the interaction between the diaphragm walls and the cross-walls.

Calculating with different material models showed to have very little effect. The ADP-models showed a significantly larger effect due to the fact it has a larger impact in general, stated in the comparisons of HSs and ADP.

$E'$  had a larger impact than  $R_{inter}$  since it was possible for a bigger deviation from the reference value. Changing  $R_{inter}$  also effects  $E'$  but not as much.

### HSs

Since it was hard to alter the stiffness parameters  $E_{50}^{ref}$ ,  $E_{oed}^{ref}$  and  $E_{ur}^{ref}$  separately in PLAXIS the result needed to be interpreted considering the change in the base-model.

The increase of stiffness in this parameter study showed considerable small changes in general, only a minor influence of heave and settlement could be noticed for the highest alteration.

### ADP

The shear strain in direct shear  $\gamma_u^{DSS}$  had the biggest influence of the shear strain parameters, remarkably mainly in heave and settlements and almost nothing in the other outputs.

The parameters with greatest impact in the ADP model were the ratio between un/reloading shear modulus over undrained active shear strength  $G_{ur}/s_u^A$ . Reducing this parameter showed a notable deformation by rotating the diaphragm wall, the top outwards and the bottom inwards from the excavation, increasing settlements and heave within the excavation.



# 5

## Discussion

### General

Writing a master thesis is very much a living process where the purpose and focus often changes along the way as the initial circumstances shift. The aim for this master thesis eventually became to model the Lilla Bommen tunnel in order to do a back calculation since it already have been built and supposedly would have a lot of measurement data from the construction phase. However, it showed to be quite hard and time consuming to find relevant data to validate the modelled section and therefore the thesis once again had to shift into comparing the material models with a sensitivity analysis and validate them the best way possible.

### Diaphragm and cross-walls

As mentioned in the introduction, the construction method with diaphragm an cross-walls is widely used and well tested internationally; also with similar circumstances in soft clays. In Sweden this construction method have not been commonly used since there have been quite few deep excavations in urban environments, and also due to high economical costs as a consequence of high demanding regulations. Today the regulations have adapted and this method will probably be more commonly used in the future, given the growth of urban areas.

### Soil parameters

In order to retrieve sufficient input data for the two different material models, two other investigations of soil parameters were merged from nearby locations. This is not considered to have any significant impact on the result since the conditions are more or less the same within this small area. The data used to validate the simulated soil test is only retrieved from one of these areas.

### Modelling

The location for the modelled section, near Thorsten Henriksson pedestrian bridge, was not located in the same area as in previously reports. The reason for this was to avoid some unfavorable circumstances such as old foundations from harbour

docks and nearby bedrocks, however this made it hard to find relevant data for back calculation and validation.

Another important issue to address is the fact that a model is often just a set of simplifications used to describe a complex reality in the best way possible. In this case, modelling a 3-dimensional solution of using a cross-wall in a 2-dimensional model, have significant effect on the result and must be considered. The connection between the truss bars and the cross-wall seems to have a bending effect of the cross-wall adjacent to the diaphragm wall. The friction between these two may therefore be reduced due to less slip-surface, which probably results in a increased upward movement of the cross-walls. The bending moment diagram also indicate less contact between the diaphragm and the cross-wall since it acts like point loads rather than distributed. Furthermore, proper calibration of the DW-CW is necessary in order to account for the separation distance in the out-of-plane.

The strut may also have a bit misleading effect on the lateral deformation since a small error was discovered in the base-model. The equivalent length was set as 1 m instead of 20 m which is the width of the modelled half of the whole section and therefore not able to deform properly (i.e. stiffer behaviour).

The use of different material models in the clay showed no distinction in the model for the interface simulations since these were modelled using Mohr-coulomb and did not needed to be calculated separately.

Due to limitations in PLAXIS a few alternations had to be made for some of the calculations to be successful. Initially the base-models had to add a small sheet pile wall, instead of a slope, to handle an unrealistic soil collapse in the fill layer. The stiffness parameters  $E_{50}^{ref}$ ,  $E_{eod}^{ref}$  and  $E_{ur}^{ref}$  in the HSs-model also encountered some limitations in the parameter study since the PLAXIS-program did not allow them to differ within certain span (related to  $K_0$  and each other) and were adjusted according the recommendations from the PLAXIS-program.

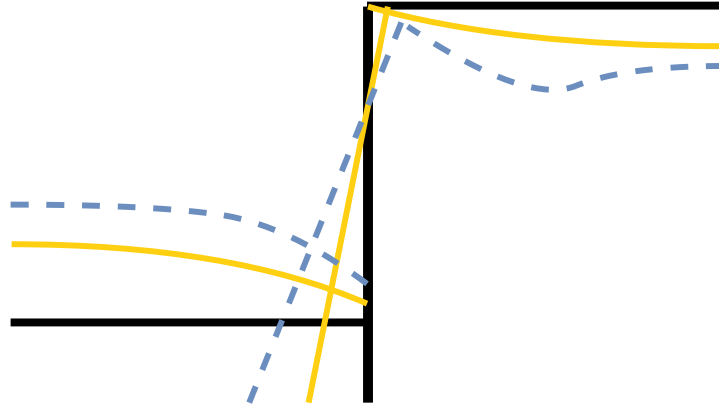
### Sensitivity analysis

As expected the biggest differences were found between the material models since they are fundamental different in several ways. Nevertheless, based on the excavation size the deformations were very small. The alternations of the material model parameters showed small changes in magnitude but interesting changes in trend.

The HSs-model showed smaller influence than the ADP-model in almost all cases, except for the earth pressure on the passive side at the cross-wall. This is probably due to the fact that the axial force from the truss is higher in ADP and therefore have an easing effect on the earth pressure.

A combination of the lateral deformation, settlement and heave illustrated in Figure 5.1 clearly indicates a higher rotational deformation from active to passive side in ADP compared to HSs. This is most likely due to the consideration of the small-

strain shear modulus and higher stiffness in the passive zone in the HSs model.



**Figure 5.1:** The trend of the displacements in HSs (yellow) and ADP (blue)

Generally  $R_{inter}$  is very hard to estimate in reality and should be a critical parameter to study. For the interfaces, altering the stiffness parameter  $E'$  showed greater influence than  $R_{inter}$ , mostly in the bending moment and the lateral deformation for the lower part of the diaphragm wall. Changing  $R_{inter}$  affects  $E'$  as well but could not be altered as much in the parameter study since the changes were based on a specific ratio.

The result from the HSs parameters of  $E_{50}^{ref}$ ,  $E_{eod}^{ref}$  and  $E_{ur}^{ref}$  were difficult to evaluate separably in the parameter study. After the adjustment to fit PLAXIS they did not show any significant difference, even though the reference value were increased by 600 %. The change noticed however were a small reducing effect on the heave and settlement.

Regarding the parameters of ADP the ratio of un-/reloading shear modules over undrained active shear strength  $G_{ur}/s_u^A$  and the shear strain at failure in direct simple shear  $\gamma_f^{DSS}$  showed some interesting observations. Reducing  $G_{ur}/s_u^A$  tended to increase the lateral displacements behind the DW as well as for the settlement and heave. This is similar with the overall displacements previously mentioned and illustrated in figure 5.1, but instead the lateral movement takes place in the upper part of the diaphragm wall. Reducing  $\gamma_f^{DSS}$  also showed more displacements, mainly in heave but no laterally, which is interesting considering it was reduced by 10% and increased with 20%.



# 6

## Conclusion

The use of numerical models for deep excavation in soft soils proves to be very beneficial and useful in order to comprehend the complex challenges in modelling the reality. However, numerical models required proper calibration and understanding of the used soil models and boundary conditions.

The combination of diaphragm and cross-walls are internationally used with a lot of positive experiences, especially in reducing the heave and ground settlement for deep excavations. In the case of Lilla Bommen, the same results were observed in the models herein and the real construction. The complexities of this solution can be simplified and modelled in a 2D and catch the effect of both the counteracting force by lateral cross-wall and friction between them and the heaving soil. However, further investigations needed to look at the interface between the cross-walls and diaphragm walls.

The ADP-model showed larger displacements than in the HSs- model. However, both showed very small movements in relation to the excavation width and depth. ADP is easy to implement for analyzing undrained shear strength in clay while HSs can be more accurate in excavations since it consider the small strain behavior but requires more thorough calibration. It was hard to perform a sensitivity analysis on the stiffness parameters of the HSs-model since there is a limit in PLAXIS to vary them separately. Nevertheless, the current study of these parameters did not show any significant changes in the results.

For the HSs-model, the parameters that had the most impact in the sensitivity analysis were  $E_{50}$  and  $E_{ur}$ , specially for the settlement behind the diaphragm wall. It is important to highlight that the HSs-model overestimate the passive stiffness and strength of the clay with the current parameters compared to the ADP-model.

For the ADP model the ratio of un-/reloading shear modules over active shear strength  $G_{ur}/s_u^A$  and the direct shear strain  $\gamma_f^{DSS}$  showed most notable effect of the evaluated parameters, specially for the heave at the excavation bottom.

In addition to the soil model parameters, the interface parameters between the diaphragm wall and cross-wall showed significant effect in the results. The most important parameter in this case was  $E'$ .

### Limitations and recommended further investigations

In relation to the limited time of a master thesis it was considered to be enough to compare the material models and do a parameter study. The focus was to investigate the material models behaviour in a deep excavation in soft clay case rather than a specific location in Lilla Bommen. Furthermore the model was based on the blueprints and soil conditions of a existing object which were successfully constructed and therefore considered to be realistic.

The following list presents some interesting aspects and parameters recommended for further studies:

- Interface between diaphragm and cross-wall. Using other material models more refined than the Mohr-Coulomb model.
- Times effects (e.g. creep). This thesis was only based on the construction phase (short-term) with the diaphragm walls as temporary elements.
- A parameter study of the small strain part of the HSs-model,  $G_0$  and  $\gamma_{0.7}$  and the  $G_{ur}$  in the ADP-model.
- Compare the conversion of the 3D-problem to a 2D-model with a model using PLAXIS 3D.

# Bibliography

- Alén, C., Lindvall, A., Johansson, M., Magnusson, J. and Norén, C. (2006), Slitsmurar som permanenta konstruktioner, Technical report, Svenska Byggbranschens utvecklingsfond (SBUF), Stockholm.
- Axelsson, G. (2016), ‘Design of piles - swedish practice’, *ISSMGE - ETC 3International Symposium on Design of Piles in Europe, Leuven, Belgium, 28-29 April* pp. 1–17. International Society for Soil Mechanics and Geotechnical Engineering.
- Brinkgreve, R. (2017a), *PLAXIS 2D Reference Manual 2016*, PLAXIS Company, Plaxis bv P.O. Box 572, 2600 AN DELFT, Netherlands.
- Brinkgreve, R. (2017b), *PLAXIS Material Models Manual 2016*, PLAXIS Company, Plaxis bv P.O. Box 572, 2600 AN DELFT, Netherlands.
- Ergun, M. U. (2008), ‘Deep excavations’, *Electronic Journal of Geotechnical Engineering* pp. 1–34. Middle East Technical University.
- Eriksson, P., Jendeby, L., Olsson, T. and Svensson, T. (2004), ‘Kohesionspålar’, *Pålkommisionen Rapport 100* . Linköping, Sweden.
- Grimstad, G., Andresen, L. and Jostad, H. P. (2012), ‘Ngi-adp: Anisotropic shear strength model for clay’, *International journal for numerical and analytical methods in geomechanics* **36**(4), 483–497.
- Hsieh, H.-S., Lu, Y.-C. and Lin, T.-M. (2008), ‘Effects of joint details on the behavior of cross walls’, *Journal of GeoEngineering* **3**(2), 55–60.
- Karlsrud, K. (1983), ‘Performance and design of slurry walls in soft clay’, *Norwegian Geotechnical Institute Publication* . 149.
- Karlsrud, K. and Andresen, L. (2008), ‘Design and performance of deep excavations in soft clays’, *6th International Conference on Case Histories in Geotechnical Engineering, Arlington, VA, August 11-16 2008* pp. 1–26. Missouri University of Science and Technology.
- Karlsrud, K., Engelstad, Ø., Wunsch, R. and Svärd, D. (2006), ‘Diaphragm walls with cross-walls used to prevent bottom heave in soft clay for lot 2 of lilla bommen tunnel in gothenburg’, *Geotechnical Aspects of Underground in Soft Ground - Bakker et al (eds)* . Taylor and Francis Group.

- Karstunen, M. (2016), 'Numerical modelling: Parameters for hsmode1 and hssmall model', Lecture slides. Course BMT041 Infrastructural Geo-Engineering 2016, Chalmers Technical University, Göteborg.
- Keller (2017), 'Diaphragm walls and barrettes', digital image.  
**URL:** <http://kellergrundlaggning.se/documents/Files/26-01E.pdf>
- Knappett, J. and Craig, R. (2012), *Craigs soil mechanics, 8th ed*, Spon Press Taylor and Francis, Abingdon, Oxon.
- Kullingsjö, A. (2007), *Effects of deep excavations in soft clay on the immediate surroundings-Analysis of the possibility to predict deformations and reactions against the retaining system*, Doktorsavhandlingar vid Chalmers tekniska högskola. Ny serie, no: 2683, Institutionen för bygg- och miljöteknik, Geologi och geoteknik, Chalmers tekniska högskola,, Göteborg.
- Lager, T. and Persson, T. (2005), Omgivningspåverkan vid installation av slitsmurar - jämförelse mellan beräknade och uppmätta markrörelser, Master's thesis, Institutionen för bygg- och miljöteknik, Geologi och geoteknik, Chalmers tekniska högskola, Göteborg.
- Li, M.-G., Chen, J.-J., Xu, A.-J., Xia, X.-H. and Wang, J.-H. (2014), 'Case study of innovative top-down construction method with channel-type excavation', *Journal of Construction Engineering and Management* **140**(5), 05014003. American Society of Civil Engineers.
- Long, M. (2001), 'Database for retaining wall and ground movements due to deep excavations', *Journal of Geotechnical and Geoenvironmental Engineering* **127**(3), 203–224. American Society of Civil Engineers.
- MetroArkitekter (2018), 'Flygfoto över området kring station centralen. bilden visar stationens läge och placering av plattformar och entréer.', digital image.  
**URL:** <https://bit.ly/2VljuDZ>
- OpenStreetMaps (2015), 'Maps of central gothenburg in sweden, including layer of västlänken train tunnel', digital image.  
**URL:** <https://bit.ly/2XgCYXP>
- Ou, C.-Y., Hsieh, P.-G. and Lin, Y.-L. (2010), 'Performance of excavations with cross walls', *Journal of Geotechnical and Geoenvironmental Engineering* **137**(1), 94–104.
- Puller, M. (2003), *Deep excavations: a practical manual*, 2 edn, Thomas Telford publishing, London.
- RailSystem (2015), 'Cut and cover', digital image.  
**URL:** <http://www.railsystem.net/cut-and-cover/>
- Rude, A. (1972), 'Anleggsarbeid, eidsvolls plass', digital image.  
**URL:** <https://bit.ly/2V2HQCj>
- Rude, A. (1975), 'Fellestunnelen bygges under studenterlunden', digital image.  
**URL:** <https://bit.ly/2VMdvFk>

- Sällfors, G. (2013), *Geoteknik, Jordmateriallära och Jordmekanik*, Cremona, Göteborg.
- Trafikverket (2013), Pm f 05-009, geotekniska materialparametrar station centralen, Technical Report TRV 2013/92336, Trafikverket, Kruthusgatan 17 40533, Göteborg.
- Trafikverket (2016), Ansökan om tillstånd enligt miljöbalken för anläggandet av västlänken och olskroken planskildhet, teknisk beskrivning, Technical Report TRV 2016/3151, Trafikverket, Kruthusgatan 17 40533, Göteborg.
- Wood, D. M. (2004), *Geotechnical modelling*, Spon Press, London.
- Wood, T. (2014), Regioncity, phase 3 - site characterization and sensitivity analysis, Technical Report 5023021, Chalmers Technical University, Göteborg.
- Wood, T. (2017), Design base geoteknik, Technical Report TRV 2015/74805, Trafikverket Västlänken E02 Centralen, Göteborg.
- Wood, T. and Karstunen, M. (2017), 'Modelling the creep of deep foundations in soft gothenburg clays', *European Journal of Environmental and Civil Engineering* pp. 1–19.
- Wu, S.-H., Ching, J. and Ou, C.-Y. (2014), 'Probabilistic observational method for estimating wall displacements in excavations', *Canadian Geotechnical Journal* **51**(10), 1111–1122.



# Appendices



# A

## Drawings of Lilla Bommen tunnel

Väg 45, Götatunneln Lilla Bommen, L2

Sammanställning I

Sammanställning II

Sammanställning III

Sammanställning IV

Slitsmur, N och S väggen, mått





ALLMÄNNA ANVISNINGAR SE RITNING 3 00 K 20 02.  
ANVISNINGAR I ÖVRIGT SE RITNING 3 00 K 20 03



0 1561:01 xm/0 1561:02 xy

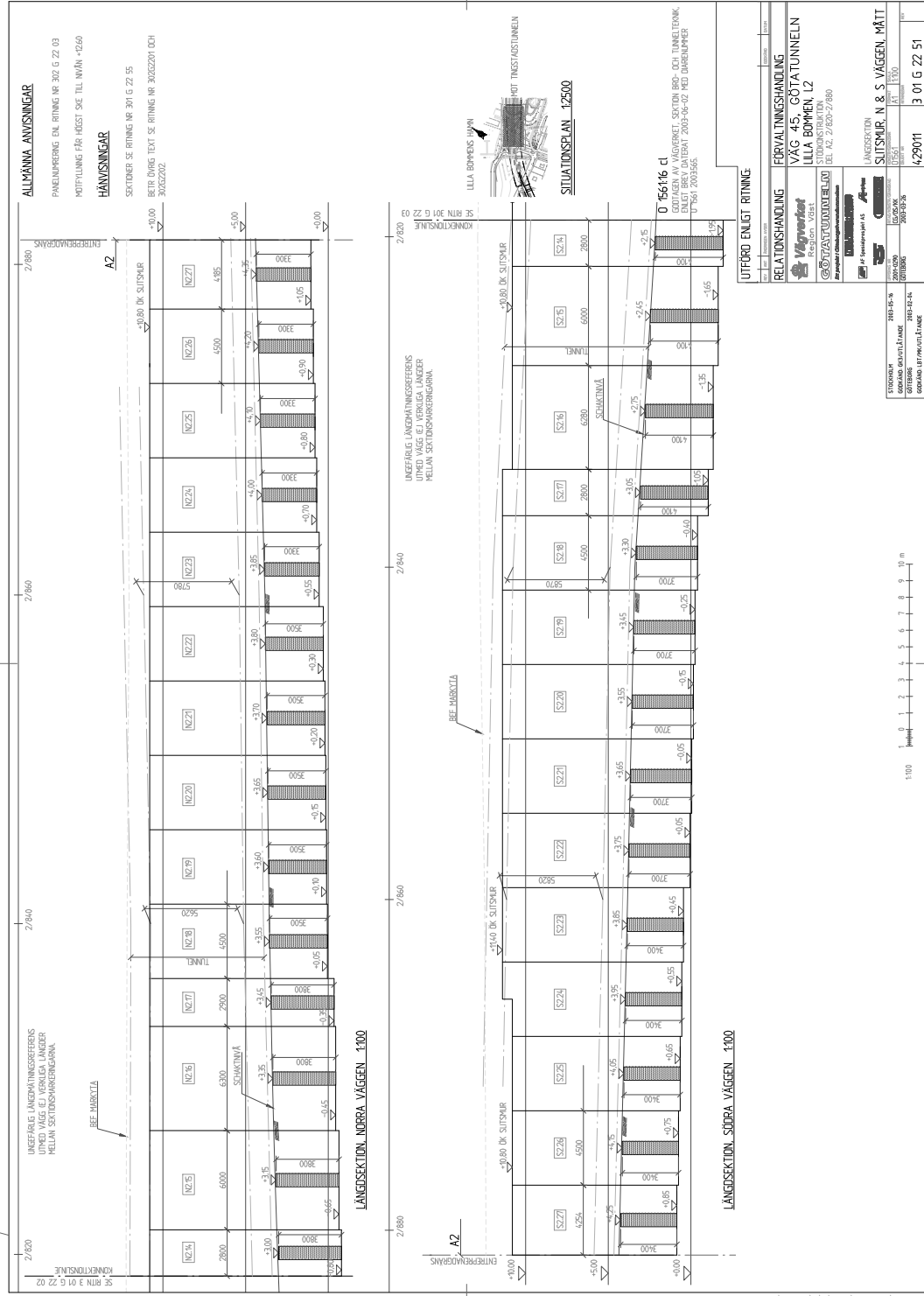
GODTAGEN AV VÄGVERKET, SEKTION BRO- OCH TUNNELTEKNIK,  
ENLIGT BREV DATERAT 2004-04-13 MED DIARIENUMMER O 15612

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| A | B | C | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U | V | W | X | Y | Z | AA | AB | AC | AD | AE | AF | AG | AH | AI | AJ | AK | AL | AM | AN | AO | AP | AQ | AR | AS | AT | AU | AV | AW | AX | AY | AZ | BA | BB | BC | BD | BE | BF | BG | BH | BI | BJ | BK | BL | BM | BN | BO | BP | BQ | BR | BS | BT | BU | BV | BW | BX | BY | BZ | CA | CB | CC | CD | CE | CF | CG | CH | CI | CJ | CK | CL | CM | CN | CO | CP | CQ | CR | CS | CT | CU | CV | CW | CX | CY | CZ | DA | DB | DC | DD | DE | DF | DG | DH | DI | DJ | DK | DL | DM | DN | DO | DP | DQ | DR | DS | DT | DU | DV | DW | DX | DY | DZ | EA | EB | EC | ED | EE | EF | EG | EH | EI | EJ | EK | EL | EM | EN | EO | EP | EQ | ER | ES | ET | EU | EV | EW | EX | EY | EZ | FA | FB | FC | FD | FE | FF | FG | FH | FI | FJ | FK | FL | FM | FN | FO | FP | FQ | FR | FS | FT | FU | FV | FW | FX | FY | FZ | GA | GB | GC | GD | GE | GF | GG | GH | GI | GJ | GK | GL | GM | GN | GO | GP | GQ | GR | GS | GT | GU | GV | GW | GX | GY | GZ | HA | HB | HC | HD | HE | HF | HG | HH | HI | HJ | HK | HL | HM | HN | HO | HP | HQ | HR | HS | HT | HU | HV | HW | HX | HY | HZ | IA | IB | IC | ID | IE | IF | IG | IH | II | IJ | IK | IL | IM | IN | IO | IP | IQ | IR | IS | IT | IU | IV | IW | IX | IY | IZ | JA | JB | JC | JD | JE | JF | JG | JH | JI | JJ | JK | JL | JM | JN | JO | JP | JQ | JR | JS | JT | JU | JV | JW | JX | JY | JZ | KA | KB | KC | KD | KE | KF | KG | KH | KI | KJ | KL | KM | KN | KO | KP | KQ | KR | KS | KT | KU | KV | KW | KX | KY | KZ | LA | LB | LC | LD | LE | LF | LG | LH | LI | LJ | LK | LL | LM | LN | LO | LP | LQ | LR | LS | LT | LU | LV | LW | LX | LY | LZ | MA | MB | MC | MD | ME | MF | MG | MH | MI | MJ | MK | ML | MM | MN | MO | MP | MQ | MR | MS | MT | MU | MV | MW | MX | MY | MZ | NA | NB | NC | ND | NE | NF | NG | NH | NI | NJ | NK | NL | NM | NN | NO | NP | NQ | NR | NS | NT | NU | NV | NW | NX | NY | NZ | OA | OB | OC | OD | OE | OF | OG | OH | OI | OJ | OK | OL | OM | ON | OO | OP | OQ | OR | OS | OT | OU | OV | OW | OX | OY | OZ | PA | PB | PC | PD | PE | PF | PG | PH | PI | PJ | PK | PL | PM | PN | PO | PP | PQ | PR | PS | PT | PU | PV | PW | PX | PY | PZ | QA | QB | QC | QD | QE | QF | QG | QH | QI | QJ | QK | QL | QM | QN | QO | QP | QQ | QR | QS | QT | QU | QV | QW | QX | QY | QZ | RA | RB | RC | RD | RE | RF | RG | RH | RI | RJ | RK | RL | RM | RN | RO | RP | RQ | RR | RS | RT | RU | RV | RW | RX | RY | RZ | SA | SB | SC | SD | SE | SF | SG | SH | SI | SJ | SK | SL | SM | SN | SO | SP | SQ | SR | SS | ST | SU | SV | SW | SX | SY | SZ | TA | TB | TC | TD | TE | TF | TG | TH | TI | TJ | TK | TL | TM | TN | TO | TP | TQ | TR | TS | TT | TU | TV | TW | TX | TY | TZ | UA | UB | UC | UD | UE | UF | UG | UH | UI | UJ | UK | UL | UM | UN | UO | UP | UQ | UR | US | UT | UU | UV | UW | UX | UY | UZ | VA | VB | VC | VD | VE | VF | VG | VH | VI | VJ | VK | VL | VM | VN | VO | VP | VQ | VR | VS | VT | VU | VV | VW | VX | VY | VZ | WA | WB | WC | WD | WE | WF | WG | WH | WI | WJ | WK | WL | WM | WN | WO | WP | WQ | WR | WS | WT | WU | WV | WW | WX | WY | WZ | XA | XB | XC | XD | XE | XF | XG | XH | XI | XJ | XK | XL | XM | XN | XO | XP | XQ | XR | XS | XT | XU | XV | XW | XX | XY | XZ | YA | YB | YC | YD | YE | YF | YG | YH | YI | YJ | YK | YL | YM | YN | YO | YP | YQ | YR | YS | YT | YU | YV | YW | YX | YZ | ZA | ZB | ZC | ZD | ZE | ZF | ZG | ZH | ZI | ZJ | ZK | ZL | ZM | ZN | ZO | ZP | ZQ | ZR | ZS | ZT | ZU | ZV | ZW | ZX | ZY | ZZ |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|

HANDLINGEN ÄR UPPRÄTTAD ENLIGT KVALITETSSYSTEM FÖR  
RENERITSEN AB, UTGÅVA 6 DATERAT 2003-03-31.

GODKÄND AV LBT KB ENLIGT BREV DATERAT: 2004-03-22





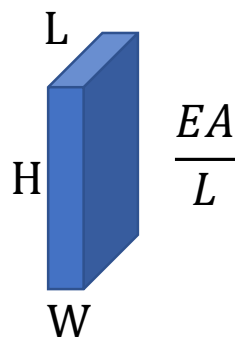
XREF#: 20010290\PROJ\3\G\MODEL\G3002205 05-11-30 10:30  
L: 20010290\PROJ\3\G\MODEL\G3002204 05-11-30 10:36

PL0TTAD: 05 12 14 1752 Fil: L:\20010290\Proy\3\G\RIDEN\30162251.dwg

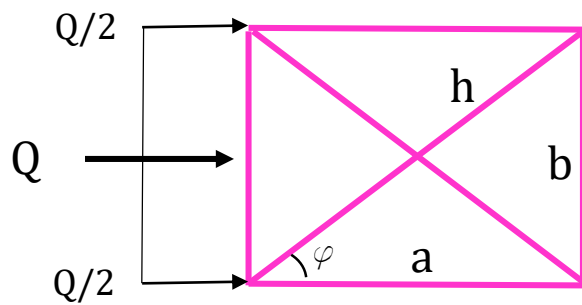
# B

## Converting stiffness of cross-wall to truss

The following equations are only valid for obtaining an equivalent axial stiffness for the cross-walls without any bending moment considered. This is an ideal case for a first order estimation of the truss. However, in reality the cross-walls will experience bending moments and the factor needs to be calibrated with a structural analysis.



Cross-wall



Truss

### Truss

|           |                   |     |   |
|-----------|-------------------|-----|---|
| a         | Adjacent cathetus | 5   | m |
| b         | Opposite cathetus | 4   | m |
| h         | Hypotenuse        | 6,4 | m |
| $\varphi$ | Angle             |     |   |
| Q         | Force             |     |   |

### Cross-wall

|       |                              |                   |    |
|-------|------------------------------|-------------------|----|
| $E_c$ | Young's modulus fro concrete | $3,10 \cdot 10^7$ | kN |
| H     | Height of cross-wall         | 0,8               | m  |
| W     | Width of cross-wall          | 4                 | m  |

**Equilibrium:**

$$\frac{EA_1}{L_1} = \frac{EA_2}{L_2}$$

$$L_2 = \frac{L_1}{\cos\varphi}$$

$$\frac{EA_1}{L_1} = \cos\varphi \frac{EA_2}{L_1}$$

$$A_1 = \cos\varphi A_2$$

**The inner elements are therefore equal to:**

$$\frac{EA_1}{L_1} = \frac{E_s A_2}{\cos\varphi}$$

**Equilibrium to make the 4 steel bars in the truss equal to the cross-wall:**

$$\frac{E_c A}{L_1} = 2 \left( \frac{E_s A_1}{L_1} \right) + 2 \left( \frac{E_s A_1}{\cos\varphi L_1} \right)$$

$$E_c A = 2E_s A_1 \left( 1 + \frac{1}{\cos\varphi} \right)$$

**Insert variables and sort out steel truss  $E_s A_1$ :**

$$\cos\varphi = \cos \frac{a}{b} = 0.78 \quad \rightarrow \quad \varphi = 38.7^\circ$$

$$A = W \cdot H = 3,2 m^2$$

$$E_c A = 3,10 \cdot 10^7 \cdot 3,2 = 9,92 \cdot 10^7 kN$$

$$E_s A_1 = \frac{9,92 \cdot 10^7}{2 \cdot \left( 1 + \frac{1}{\cos 38,7^\circ} \right)} = \underline{2,17 \cdot 10^7 kN}$$

# C

## Calculations

### Calculation of Basal heave stability

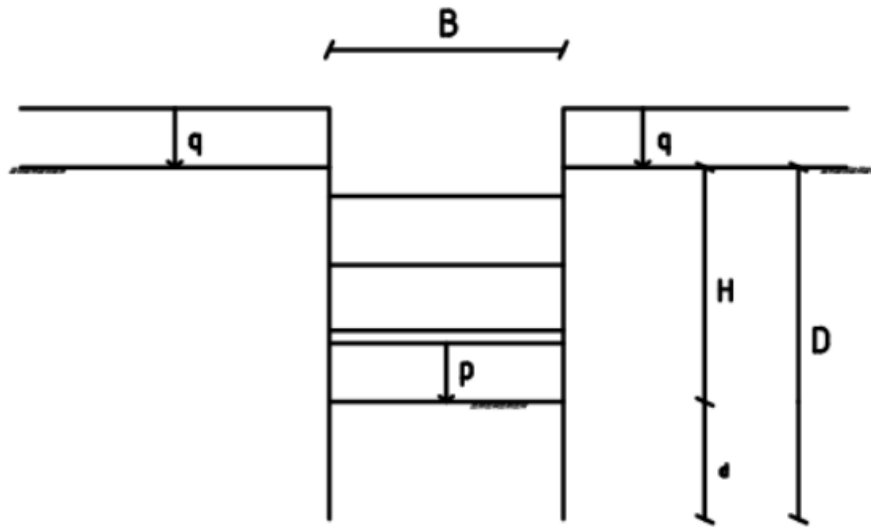
Calculation of Basal heave stability  $F_c$  according to Eq C.1, adapted from (Sällfors, 2013):

$$F_c = N_c \cdot \frac{c_u}{\gamma \cdot H + q - p} \quad (\text{C.1})$$

|          |                                            |
|----------|--------------------------------------------|
| $N_c$    | Stability factor                           |
| $c_u$    | Undrained shear strength                   |
| $\gamma$ | Unit weight of soil                        |
| H        | Distance from surface to excavation bottom |
| q        | External load                              |
| p        | Load at excavation bottom                  |

#### Which shear strength $c_u$ should be used?

The shear strength  $c_u$  is a mean value of the shear strength at the excavation bottom  $c_u(H)$  and the shear strength at a distance of  $d + \frac{2 \cdot B}{3}$  from the excavation bottom  $c_u(H + d)$ . If  $\frac{2 \cdot B}{3} > d$ , the mean value is calculated between  $c_u(H)$  and the shear strength at  $2d$  from excavation bottom  $c_u(H + 2d)$ . See explanation of variables in Figure C.1.



**Figure C.1:** Illustration of variables for calculation of basal heave stability, adapted from (Sällfors, 2013).

|   |                                                             |       |
|---|-------------------------------------------------------------|-------|
| B | Width of excavation                                         | 40 m  |
| H | Distance from surface to excavation bottom                  | 7,5 m |
| d | Distance from excavation bottom to toe of support structure | 4 m   |

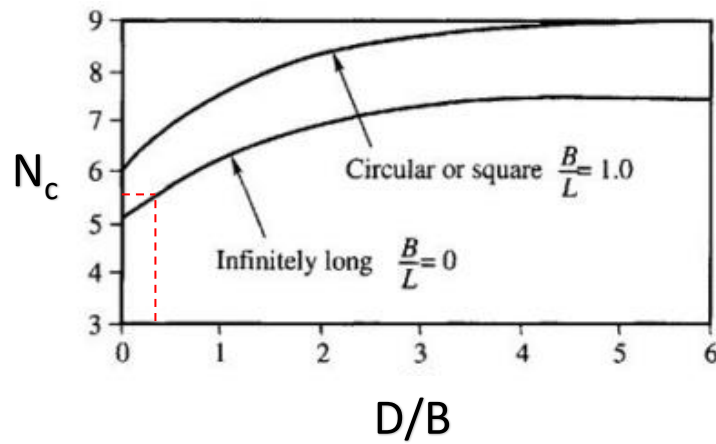
$$\frac{2 \cdot B}{3} > d \rightarrow 2d$$

$$\frac{2 \cdot 40}{3} = 26,7 > 4 \rightarrow 8m$$

$$c_u = \frac{c_u(H) + c_u(H + 2d)}{2} = \frac{c_u(-7,5m) + c_u(-15,5m)}{2} = \frac{18,1 + 31,1}{2} = 24,6 kN/m^2$$

**Stability factor  $N_c$ ?**

$N_c$  is determined by diagram in Figure C.2.



**Figure C.2:** Stability factor for braced excavations, adapted from (Kullingsjö, 2007).

|   |                                          |      |
|---|------------------------------------------|------|
| L | Length of excavation, 0 if its elongated | 0    |
| D | Length of support structure              | 12 m |

$$\frac{B}{L} = \frac{40}{0} = 0 \rightarrow \text{Infinitely long}$$

$$\frac{D}{B} = \frac{12}{40} = 0,3$$

$$N_c \rightarrow 5,5$$

|          |                                            |                      |
|----------|--------------------------------------------|----------------------|
| $\gamma$ | Unit weight of soil                        | 16 kN/m <sup>2</sup> |
| H        | Distance from surface to excavation bottom | 7,5 m                |
| q        | External load                              | 10 kPa               |
| p        | Load at excavation bottom                  | 0 kPa                |

$$F_c = N_c \cdot \frac{c_u}{\gamma \cdot H + q - p} = 5,5 \cdot \frac{24,6}{16 \cdot 7,5 + 10 - 0} = \underline{1,04}$$

## Calculation of Axial skin friction

Calculation of skin according to Eq C.2 (Eriksson et al., 2004):

$$T_{skin} = A_{Mantelsurface} \cdot c_u \cdot Factor \quad (C.2)$$

|                |                                           |             |
|----------------|-------------------------------------------|-------------|
| $W$            | Width of pile                             | 0,275 $m$   |
| $A$            | Mantle surface/m for square = $4 \cdot W$ | 1,1 $m^2/m$ |
| $c_{u,top}$    | Undrained shear strength at -7,5 m        | 22 $kN/m^2$ |
| $c_{u,bottom}$ | Undrained shear strength at -27,5 m       | 54 $kN/m^2$ |
| $Factor$       | Retrieved from Eriksson et al. (2004)     | 0.7         |

$$T_{skin,start,max} = \underline{17 \text{ } kN/m} \quad T_{skin,end,max} = \underline{42 \text{ } kN/m}$$

## Calculation of Base resistance

|            |                           |                         |
|------------|---------------------------|-------------------------|
| $c_u(z)$   | Shear strength at -27,5 m | 54 $kN/m^2$             |
| $A_{base}$ | Area at the base          | 0,27 <sup>2</sup> $m^2$ |
| $L$        | Length of pile            | 9 $m$                   |

$$F_{max} = c_u(z) \cdot L \cdot A_{base}^2 = 54 \cdot 9 \cdot 0,27^2 = \underline{36 \text{ } kN}$$

## Calculation Strut stiffness

|             |                       |                                   |
|-------------|-----------------------|-----------------------------------|
| $E_{strut}$ | E-modulus for steel   | $2,10 \cdot 10^8 \text{ } kN/m^2$ |
| $D$         | Diameter strut        | 0,75 $m$                          |
| $t$         | Thickness of steel    | 0,02 $m$                          |
| $A_{strut}$ | $D \cdot \pi \cdot t$ | 0,0459 $m^2$                      |

$$EA_{strut} = E_{strut} * A_{strut} = \underline{9,639 \cdot 10^6 \text{ } kN}$$



## D

Input and output data for  
material models

## Full HSs input data

| Hardening Soil small                          |                 |         |         |         |         |         |         |         |                   |
|-----------------------------------------------|-----------------|---------|---------|---------|---------|---------|---------|---------|-------------------|
| Retrieved from: Triax, Region City, Tara Wood |                 |         |         |         |         |         |         |         |                   |
|                                               | Identification: | Clay 1  | Clay 2  | Clay 3  | Clay 4  | Clay 5  | Clay 6  | Clay 7  | Units             |
| Material set                                  | Material model  | HSs     | HSs     | HSs     | HSs     | HSs     | HSs     | HSs     | -                 |
|                                               | Drain type      | Undr(A) | Undr(A) | Undr(A) | Undr(A) | Undr(A) | Undr(A) | Undr(A) | -                 |
| General properties                            | y_unsat         | 16,5    | 15,5    | 15,7    | 16,3    | 16,2    | 16,4    | 16,5    | kN/m <sup>3</sup> |
|                                               | y_sat           | 16,5    | 15,5    | 15,7    | 16,3    | 16,2    | 16,4    | 16,5    | kN/m <sup>3</sup> |
| Advanced                                      | Dilat cut-off   | No      | No      | No      | No      | No      | No      | No      | -                 |
|                                               | e_init          | 0,5     | 0,5     | 0,5     | 0,5     | 0,5     | 0,5     | 0,5     | -                 |
|                                               | e_min           | Auto    | Auto    | Auto    | Auto    | Auto    | Auto    | Auto    | -                 |
|                                               | e_max           | Auto    | Auto    | Auto    | Auto    | Auto    | Auto    | Auto    | -                 |
| Stiffness                                     |                 |         |         |         |         |         |         |         |                   |
| Origin                                        | E_50^ref        | 7000    | 7000    | 7000    | 15400   | 13100   | 13100   | 10500   | kN/m <sup>2</sup> |
|                                               | E_oed^ref       | 3002    | 3400    | 3068    | 8300    | 6600    | 6600    | 5285    | kN/m <sup>2</sup> |
|                                               | E_ur^ref        | 27000   | 33700   | 24250   | 30800   | 26200   | 26200   | 21000   | kN/m <sup>2</sup> |
| Eoed = E50, Eur=2*E50, E50                    |                 |         |         |         |         |         |         |         |                   |
| "Reference"                                   | E_50^ref        | 7000    | 7000    | 7000    | 15400   | 13100   | 13100   | 10500   | kN/m <sup>2</sup> |
|                                               | E_oed^ref       | 3781    | 2718    | 3781    | 8319    | 6593    | 6593    | 5285    | kN/m <sup>2</sup> |
|                                               | E_ur^ref        | 14000   | 14000   | 14000   | 30800   | 26200   | 26200   | 21000   | kN/m <sup>2</sup> |
|                                               |                 | 0,540   | 0,388   | 0,540   | 0,540   | 0,503   | 0,503   | 0,503   |                   |
| Eoed = E50, Eur=2*E50, min(E50x0.8)           |                 |         |         |         |         |         |         |         |                   |
| "Eur=2*E50_MIN"                               | E_50^ref        | 5600    | 5600    | 5600    | 12320   | 10480   | 10480   | 8400    | kN/m <sup>2</sup> |
|                                               | E_oed^ref       | 3025    | 2175    | 3025    | 6655    | 5275    | 5275    | 4228    | kN/m <sup>2</sup> |
|                                               | E_ur^ref        | 11200   | 11200   | 11200   | 24640   | 20960   | 20960   | 16800   | kN/m <sup>2</sup> |
|                                               |                 | 0,540   | 0,388   | 0,540   | 0,540   | 0,503   | 0,503   | 0,503   |                   |
| Eoed = E50, Eur=2*E50, max(E50x1.2)           |                 |         |         |         |         |         |         |         |                   |
| "Eur=2*E50_MAX"                               | E_50^ref        | 8400    | 8400    | 8400    | 18480   | 15720   | 15720   | 12600   | kN/m <sup>2</sup> |
|                                               | E_oed^ref       | 4538    | 3263    | 4538    | 9983    | 7913    | 7913    | 6342    | kN/m <sup>2</sup> |
|                                               | E_ur^ref        | 16800   | 16800   | 16800   | 36960   | 31440   | 31440   | 25200   | kN/m <sup>2</sup> |
|                                               |                 | 0,540   | 0,388   | 0,540   | 0,540   | 0,503   | 0,503   | 0,503   |                   |
| Eoed = E50, Eur=4*E50, min(E50x0.8)           |                 |         |         |         |         |         |         |         |                   |
| "Eur=4*E50_MIN"                               | E_50^ref        | 5600    | 5600    | 5600    | 12320   | 10480   | 10480   | 8400    | kN/m <sup>2</sup> |
|                                               | E_oed^ref       | 3025    | 2175    | 3025    | 6655    | 5275    | 5275    | 4228    | kN/m <sup>2</sup> |
|                                               | E_ur^ref        | 22400   | 22400   | 22400   | 49280   | 41920   | 41920   | 33600   | kN/m <sup>2</sup> |
|                                               |                 | 0,540   | 0,388   | 0,540   | 0,540   | 0,503   | 0,503   | 0,503   |                   |
| Eoed = E50, Eur=4*E50, max(E50x1.2)           |                 |         |         |         |         |         |         |         |                   |
| "Eur=4*E50_MAX"                               | E_50^ref        | 8400    | 8400    | 8400    | 18480   | 15720   | 15720   | 12600   | kN/m <sup>2</sup> |
|                                               | E_oed^ref       | 4538    | 3263    | 4538    | 9983    | 7913    | 7913    | 6342    | kN/m <sup>2</sup> |
|                                               | E_ur^ref        | 33600   | 33600   | 33600   | 73920   | 62880   | 62880   | 50400   | kN/m <sup>2</sup> |
|                                               |                 | 0,540   | 0,388   | 0,540   | 0,540   | 0,503   | 0,503   | 0,503   |                   |
| Eoed = E50, Eur=6*E50, min(E50x0.8)           |                 |         |         |         |         |         |         |         |                   |
| "Eur=6*E50_MIN"                               | E_50^ref        | 5600    | 5600    | 5600    | 12320   | 10480   | 10480   | 8400    | kN/m <sup>2</sup> |
|                                               | E_oed^ref       | 3025    | 2175    | 3025    | 6655    | 5275    | 5275    | 4228    | kN/m <sup>2</sup> |
|                                               | E_ur^ref        | 33600   | 33600   | 33600   | 73920   | 62880   | 62880   | 50400   | kN/m <sup>2</sup> |
|                                               |                 | 0,540   | 0,388   | 0,540   | 0,540   | 0,503   | 0,503   | 0,503   |                   |
| Eoed = E50, Eur=6*E50, max(E50x1.2)           |                 |         |         |         |         |         |         |         |                   |
| "Eur=6*E50_MAX"                               | E_50^ref        |         | 8400    | 8400    | 18480   | 15720   | 15720   | 12600   | kN/m <sup>2</sup> |
|                                               | E_oed^ref       | 0       | 3263    | 4538    | 9983    | 7913    | 7913    | 6342    | kN/m <sup>2</sup> |
|                                               | E_ur^ref        | 0       | 50400   | 50400   | 110880  | 94320   | 94320   | 75600   | kN/m <sup>2</sup> |
|                                               |                 | 0,540   | 0,388   | 0,540   | 0,540   | 0,503   | 0,503   | 0,503   |                   |
| power (m)                                     |                 |         |         |         |         |         |         |         |                   |
|                                               |                 | 1       | 1       | 1       | 1       | 1       | 1       | 1       |                   |

## D. Input and output data for material models

|                                 |                  |           |           |           |           |           |           |           |                   |
|---------------------------------|------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-------------------|
| <b>Alternatives</b>             | Use alt          | No        | No        | No        | No        | No        | No        | No        |                   |
|                                 | C_c              | Auto      | Auto      | Auto      | Auto      | Auto      | Auto      | Auto      |                   |
|                                 | C_s              | Auto      | Auto      | Auto      | Auto      | Auto      | Auto      | Auto      |                   |
|                                 | e_init           | Done      | Done      | Done      | Done      | Done      | Done      | Done      |                   |
| <b>Strength</b>                 | c'_ref           | 1         | 1         | 1         | 1         | 0         | 0         | 0         | kN/m <sup>2</sup> |
|                                 | phi'             | 32        | 32        | 32        | 32        | 30,5      | 30,5      | 30,5      | °                 |
|                                 | psi'             | 0         | 0         | 0         | 0         | 0         | 0         | 0         | °                 |
| <b>Small strain</b>             | y_0.7            | 0,0004    | 0,0004    | 0,0004    | 0,0004    | 0,0006    | 0,0008    | 0,0009    |                   |
|                                 | G_0^ref          | 77480     | 39700     | 40210     | 39230     | 40960     | 36060     | 37460     | kN/m <sup>2</sup> |
| <b>Advanced</b>                 | Default values   | No        | No        | No        | No        | No        | No        | No        |                   |
| <b>Stiffnes</b>                 | v'_ur            | 0,15      | 0,15      | 0,15      | 0,15      | 0,15      | 0,15      | 0,15      |                   |
|                                 | p_ref            | 100       | 100       | 100       | 100       | 100       | 100       | 100       |                   |
|                                 | K_0^nc           | 0,53      | 0,47      | 0,53      | 0,53      | 0,53      | 0,53      | 0,53      |                   |
| <b>Strength</b>                 | c'_inc           | 0         | 0         | 0         | 0         | 0         | 0         | 0         |                   |
|                                 | y_ref            | 0         | 0         | 0         | 0         | 0         | 0         | 0         |                   |
|                                 | R_f              | 0,9       | 0,9       | 0,9       | 0,9       | 0,9       | 0,9       | 0,9       |                   |
|                                 | Tension cut-off  | Yes       | Yes       | Yes       | Yes       | Yes       | Yes       | Yes       |                   |
|                                 | Tensile str      | 0         | 0         | 0         | 0         | 0         | 0         | 0         |                   |
|                                 |                  |           |           |           |           |           |           |           |                   |
| <b>GW model</b>                 | Data set         | Std       | Std       | Std       | Std       | Std       | Std       | Std       |                   |
| <b>Soil</b>                     | Type             | Very fine | Very fine | Very fine | Very fine | Very fine | Very fine | Very fine |                   |
|                                 | < 2 microm       | Auto      | Auto      | Auto      | Auto      | Auto      | Auto      | Auto      | %                 |
|                                 | 2-50             | Auto      | Auto      | Auto      | Auto      | Auto      | Auto      | Auto      | %                 |
|                                 | 50-2 millim      | Auto      | Auto      | Auto      | Auto      | Auto      | Auto      | Auto      | %                 |
| <b>Flow parameters</b>          | Default values   | No        | No        | No        | No        | No        | No        | No        |                   |
|                                 | k_x              | 1         | 0,00004   | 0,00002   | 4,00E-05  | 3,00E-05  | 5,00E-05  | 7,00E-05  | m/day             |
|                                 | k_y              | 1         | 0,00004   | 0,00002   | 4,00E-05  | 3,00E-05  | 5,00E-05  | 7,00E-05  | m/day             |
|                                 | dilatancy_unsat  | 0         | 0         | 0         | 10000     | 10000     | 10000     | 10000     | m                 |
|                                 | e_init           | Done      | Done      | Done      | Done      | Done      | Done      | Done      |                   |
|                                 | S_s              | Auto      | Auto      | Auto      | Auto      | Auto      | Auto      | Auto      | 1/m               |
| <b>Change of permeab</b>        | c_k              | 1,00E+15  | 1,00E+15  | 1,00E+15  | 1,00E+15  | 1,00E+15  | 1,00E+15  | 1,00E+15  |                   |
|                                 |                  |           |           |           |           |           |           |           |                   |
| <b>Interface</b>                | Strength         | Rigid     | Rigid     | Rigid     | Rigid     | Rigid     | Rigid     | Rigid     |                   |
|                                 | R_inter          | Auto      | Auto      | Auto      | Auto      | Auto      | Auto      | Auto      |                   |
|                                 | Con. gap closure | Yes       | Yes       | Yes       | Yes       | Yes       | Yes       | Yes       |                   |
| <b>Real interface thickness</b> | delta_inter      | Auto      | Auto      | Auto      | Auto      | Auto      | Auto      | Auto      |                   |
|                                 |                  |           |           |           |           |           |           |           |                   |
| <b>K0 settings</b>              | K_0 determ.      | Manual    | Manual    | Manual    | Manual    | Manual    | Manual    | Manual    |                   |
|                                 | K_0,x = K_0,z    | Yes       | Yes       | Yes       | Yes       | Yes       | Yes       | Yes       |                   |
|                                 | K_0,x            | 0,7       | 0,7       | 0,63      | 0,61      | 0,58      | 0,57      | 0,56      |                   |
|                                 | K_0,z            | Auto      | Auto      | Auto      | Auto      | Auto      | Auto      | Auto      |                   |
| <b>Over-consolidation</b>       | OCR              | 2         | 1,65      | 1         | 1         | 1         | 1,14      | 1,14      |                   |
|                                 | POP              | 0         | 0         | 32        | 32        | 32        | 0         | 0         |                   |

## Full ADP input data

| Hardening Soil small                      |                 |                               |         |         |         |         |         |         |                   |
|-------------------------------------------|-----------------|-------------------------------|---------|---------|---------|---------|---------|---------|-------------------|
| Retrieved from:                           |                 | Triax, Region City, Tara Wood |         |         |         |         |         |         |                   |
|                                           | Identification: | Clay 1                        | Clay 2  | Clay 3  | Clay 4  | Clay 5  | Clay 6  | Clay 7  | Units             |
| Material set                              | Material model  | HSs                           | HSs     | HSs     | HSs     | HSs     | HSs     | HSs     | -                 |
|                                           | Drain type      | Undr(A)                       | Undr(A) | Undr(A) | Undr(A) | Undr(A) | Undr(A) | Undr(A) | -                 |
| General properties                        | y_unsat         | 16,5                          | 15,5    | 15,7    | 16,3    | 16,2    | 16,4    | 16,5    | kN/m <sup>3</sup> |
|                                           | y_sat           | 16,5                          | 15,5    | 15,7    | 16,3    | 16,2    | 16,4    | 16,5    | kN/m <sup>3</sup> |
| Advanced                                  | Dilat cut-off   | No                            | No      | No      | No      | No      | No      | No      | -                 |
|                                           | e_init          | 0,5                           | 0,5     | 0,5     | 0,5     | 0,5     | 0,5     | 0,5     | -                 |
|                                           | e_min           | Auto                          | Auto    | Auto    | Auto    | Auto    | Auto    | Auto    | -                 |
|                                           | e_max           | Auto                          | Auto    | Auto    | Auto    | Auto    | Auto    | Auto    | -                 |
| Stiffness                                 |                 |                               |         |         |         |         |         |         |                   |
| Origin                                    | E_50^ref        | 7000                          | 7000    | 7000    | 15400   | 13100   | 13100   | 10500   | kN/m <sup>2</sup> |
|                                           | E_oed^ref       | 3002                          | 3400    | 3068    | 8300    | 6600    | 6600    | 5285    | kN/m <sup>2</sup> |
|                                           | E_ur^ref        | 27000                         | 33700   | 24250   | 30800   | 26200   | 26200   | 21000   | kN/m <sup>2</sup> |
| Eoed = E50, Eur=2*E50, E50                |                 |                               |         |         |         |         |         |         |                   |
| "Reference"                               | E_50^ref        | 7000                          | 7000    | 7000    | 15400   | 13100   | 13100   | 10500   | kN/m <sup>2</sup> |
|                                           | E_oed^ref       | 3781                          | 2718    | 3781    | 8319    | 6593    | 6593    | 5285    | kN/m <sup>2</sup> |
|                                           | E_ur^ref        | 14000                         | 14000   | 14000   | 30800   | 26200   | 26200   | 21000   | kN/m <sup>2</sup> |
| 0,540 0,388 0,540 0,540 0,503 0,503 0,503 |                 |                               |         |         |         |         |         |         |                   |
| Eoed = E50, Eur=2*E50, min(E50x0.8)       |                 |                               |         |         |         |         |         |         |                   |
| "Eur=2*E50_MIN"                           | E_50^ref        | 5600                          | 5600    | 5600    | 12320   | 10480   | 10480   | 8400    | kN/m <sup>2</sup> |
|                                           | E_oed^ref       | 3025                          | 2175    | 3025    | 6655    | 5275    | 5275    | 4228    | kN/m <sup>2</sup> |
|                                           | E_ur^ref        | 11200                         | 11200   | 11200   | 24640   | 20960   | 20960   | 16800   | kN/m <sup>2</sup> |
| 0,540 0,388 0,540 0,540 0,503 0,503 0,503 |                 |                               |         |         |         |         |         |         |                   |
| Eoed = E50, Eur=2*E50, max(E50x1.2)       |                 |                               |         |         |         |         |         |         |                   |
| "Eur=2*E50_MAX"                           | E_50^ref        | 8400                          | 8400    | 8400    | 18480   | 15720   | 15720   | 12600   | kN/m <sup>2</sup> |
|                                           | E_oed^ref       | 4538                          | 3263    | 4538    | 9983    | 7913    | 7913    | 6342    | kN/m <sup>2</sup> |
|                                           | E_ur^ref        | 16800                         | 16800   | 16800   | 36960   | 31440   | 31440   | 25200   | kN/m <sup>2</sup> |
| 0,540 0,388 0,540 0,540 0,503 0,503 0,503 |                 |                               |         |         |         |         |         |         |                   |
| Eoed = E50, Eur=4*E50, min(E50x0.8)       |                 |                               |         |         |         |         |         |         |                   |
| "Eur=4*E50_MIN"                           | E_50^ref        | 5600                          | 5600    | 5600    | 12320   | 10480   | 10480   | 8400    | kN/m <sup>2</sup> |
|                                           | E_oed^ref       | 3025                          | 2175    | 3025    | 6655    | 5275    | 5275    | 4228    | kN/m <sup>2</sup> |
|                                           | E_ur^ref        | 22400                         | 22400   | 22400   | 49280   | 41920   | 41920   | 33600   | kN/m <sup>2</sup> |
| 0,540 0,388 0,540 0,540 0,503 0,503 0,503 |                 |                               |         |         |         |         |         |         |                   |
| Eoed = E50, Eur=4*E50, max(E50x1.2)       |                 |                               |         |         |         |         |         |         |                   |
| "Eur=4*E50_MAX"                           | E_50^ref        | 8400                          | 8400    | 8400    | 18480   | 15720   | 15720   | 12600   | kN/m <sup>2</sup> |
|                                           | E_oed^ref       | 4538                          | 3263    | 4538    | 9983    | 7913    | 7913    | 6342    | kN/m <sup>2</sup> |
|                                           | E_ur^ref        | 33600                         | 33600   | 33600   | 73920   | 62880   | 62880   | 50400   | kN/m <sup>2</sup> |
| 0,540 0,388 0,540 0,540 0,503 0,503 0,503 |                 |                               |         |         |         |         |         |         |                   |
| Eoed = E50, Eur=6*E50, min(E50x0.8)       |                 |                               |         |         |         |         |         |         |                   |
| "Eur=6*E50_MIN"                           | E_50^ref        | 5600                          | 5600    | 5600    | 12320   | 10480   | 10480   | 8400    | kN/m <sup>2</sup> |
|                                           | E_oed^ref       | 3025                          | 2175    | 3025    | 6655    | 5275    | 5275    | 4228    | kN/m <sup>2</sup> |
|                                           | E_ur^ref        | 33600                         | 33600   | 33600   | 73920   | 62880   | 62880   | 50400   | kN/m <sup>2</sup> |
| 0,540 0,388 0,540 0,540 0,503 0,503 0,503 |                 |                               |         |         |         |         |         |         |                   |
| Eoed = E50, Eur=6*E50, max(E50x1.2)       |                 |                               |         |         |         |         |         |         |                   |
| "Eur=6*E50_MAX"                           | E_50^ref        |                               | 8400    | 8400    | 18480   | 15720   | 15720   | 12600   | kN/m <sup>2</sup> |
|                                           | E_oed^ref       | 0                             | 3263    | 4538    | 9983    | 7913    | 7913    | 6342    | kN/m <sup>2</sup> |
|                                           | E_ur^ref        | 0                             | 50400   | 50400   | 110880  | 94320   | 94320   | 75600   | kN/m <sup>2</sup> |
| 0,540 0,388 0,540 0,540 0,503 0,503 0,503 |                 |                               |         |         |         |         |         |         |                   |
| power (m)                                 |                 |                               |         |         |         |         |         |         |                   |
| 1 1 1 1 1 1 1 1                           |                 |                               |         |         |         |         |         |         |                   |

## D. Input and output data for material models

| Hardening Soil small                                                                   |                                 |                               |         |         |         |         |         |         |                   |
|----------------------------------------------------------------------------------------|---------------------------------|-------------------------------|---------|---------|---------|---------|---------|---------|-------------------|
| Retrieved from:                                                                        |                                 | Triax, Region City, Tara Wood |         |         |         |         |         |         |                   |
| Identification:                                                                        |                                 | Clay 1                        | Clay 2  | Clay 3  | Clay 4  | Clay 5  | Clay 6  | Clay 7  | Units             |
| Material set                                                                           | Material model                  | HSs                           | HSs     | HSs     | HSs     | HSs     | HSs     | HSs     | -                 |
|                                                                                        | Drain type                      | Undr(A)                       | Undr(A) | Undr(A) | Undr(A) | Undr(A) | Undr(A) | Undr(A) | -                 |
| General properties                                                                     | y <sub>unsat</sub>              | 16,5                          | 15,5    | 15,7    | 16,3    | 16,2    | 16,4    | 16,5    | kN/m <sup>3</sup> |
|                                                                                        | y <sub>sat</sub>                | 16,5                          | 15,5    | 15,7    | 16,3    | 16,2    | 16,4    | 16,5    | kN/m <sup>3</sup> |
| Advanced                                                                               | Dilat cut-off                   | No                            | No      | No      | No      | No      | No      | No      | -                 |
|                                                                                        | e <sub>init</sub>               | 0,5                           | 0,5     | 0,5     | 0,5     | 0,5     | 0,5     | 0,5     | -                 |
|                                                                                        | e <sub>min</sub>                | Auto                          | Auto    | Auto    | Auto    | Auto    | Auto    | Auto    | -                 |
|                                                                                        | e <sub>max</sub>                | Auto                          | Auto    | Auto    | Auto    | Auto    | Auto    | Auto    | -                 |
| Stiffness                                                                              |                                 |                               |         |         |         |         |         |         |                   |
| Origin                                                                                 | E <sub>50</sub> <sup>ref</sup>  | 7000                          | 7000    | 7000    | 15400   | 13100   | 13100   | 10500   | kN/m <sup>2</sup> |
|                                                                                        | E <sub>oed</sub> <sup>ref</sup> | 3002                          | 3400    | 3068    | 8300    | 6600    | 6600    | 5285    | kN/m <sup>2</sup> |
|                                                                                        | E <sub>ur</sub> <sup>ref</sup>  | 27000                         | 33700   | 24250   | 30800   | 26200   | 26200   | 21000   | kN/m <sup>2</sup> |
| E <sub>oed</sub> = E <sub>50</sub> , Eur=2*E <sub>50</sub> , E <sub>50</sub>           |                                 |                               |         |         |         |         |         |         |                   |
| "Reference"                                                                            | E <sub>50</sub> <sup>ref</sup>  | 7000                          | 7000    | 7000    | 15400   | 13100   | 13100   | 10500   | kN/m <sup>2</sup> |
|                                                                                        | E <sub>oed</sub> <sup>ref</sup> | 3781                          | 2718    | 3781    | 8319    | 6593    | 6593    | 5285    | kN/m <sup>2</sup> |
|                                                                                        | E <sub>ur</sub> <sup>ref</sup>  | 14000                         | 14000   | 14000   | 30800   | 26200   | 26200   | 21000   | kN/m <sup>2</sup> |
|                                                                                        |                                 | 0,540                         | 0,388   | 0,540   | 0,540   | 0,503   | 0,503   | 0,503   |                   |
| E <sub>oed</sub> = E <sub>50</sub> , Eur=2*E <sub>50</sub> , min(E <sub>50</sub> x0.8) |                                 |                               |         |         |         |         |         |         |                   |
| "Eur=2*E <sub>50</sub> _MIN"                                                           | E <sub>50</sub> <sup>ref</sup>  | 5600                          | 5600    | 5600    | 12320   | 10480   | 10480   | 8400    | kN/m <sup>2</sup> |
|                                                                                        | E <sub>oed</sub> <sup>ref</sup> | 3025                          | 2175    | 3025    | 6655    | 5275    | 5275    | 4228    | kN/m <sup>2</sup> |
|                                                                                        | E <sub>ur</sub> <sup>ref</sup>  | 11200                         | 11200   | 11200   | 24640   | 20960   | 20960   | 16800   | kN/m <sup>2</sup> |
|                                                                                        |                                 | 0,540                         | 0,388   | 0,540   | 0,540   | 0,503   | 0,503   | 0,503   |                   |
| E <sub>oed</sub> = E <sub>50</sub> , Eur=2*E <sub>50</sub> , max(E <sub>50</sub> x1.2) |                                 |                               |         |         |         |         |         |         |                   |
| "Eur=2*E <sub>50</sub> _MAX"                                                           | E <sub>50</sub> <sup>ref</sup>  | 8400                          | 8400    | 8400    | 18480   | 15720   | 15720   | 12600   | kN/m <sup>2</sup> |
|                                                                                        | E <sub>oed</sub> <sup>ref</sup> | 4538                          | 3263    | 4538    | 9983    | 7913    | 7913    | 6342    | kN/m <sup>2</sup> |
|                                                                                        | E <sub>ur</sub> <sup>ref</sup>  | 16800                         | 16800   | 16800   | 36960   | 31440   | 31440   | 25200   | kN/m <sup>2</sup> |
|                                                                                        |                                 | 0,540                         | 0,388   | 0,540   | 0,540   | 0,503   | 0,503   | 0,503   |                   |
| E <sub>oed</sub> = E <sub>50</sub> , Eur=4*E <sub>50</sub> , min(E <sub>50</sub> x0.8) |                                 |                               |         |         |         |         |         |         |                   |
| "Eur=4*E <sub>50</sub> _MIN"                                                           | E <sub>50</sub> <sup>ref</sup>  | 5600                          | 5600    | 5600    | 12320   | 10480   | 10480   | 8400    | kN/m <sup>2</sup> |
|                                                                                        | E <sub>oed</sub> <sup>ref</sup> | 3025                          | 2175    | 3025    | 6655    | 5275    | 5275    | 4228    | kN/m <sup>2</sup> |
|                                                                                        | E <sub>ur</sub> <sup>ref</sup>  | 22400                         | 22400   | 22400   | 49280   | 41920   | 41920   | 33600   | kN/m <sup>2</sup> |
|                                                                                        |                                 | 0,540                         | 0,388   | 0,540   | 0,540   | 0,503   | 0,503   | 0,503   |                   |
| E <sub>oed</sub> = E <sub>50</sub> , Eur=4*E <sub>50</sub> , max(E <sub>50</sub> x1.2) |                                 |                               |         |         |         |         |         |         |                   |
| "Eur=4*E <sub>50</sub> _MAX"                                                           | E <sub>50</sub> <sup>ref</sup>  | 8400                          | 8400    | 8400    | 18480   | 15720   | 15720   | 12600   | kN/m <sup>2</sup> |
|                                                                                        | E <sub>oed</sub> <sup>ref</sup> | 4538                          | 3263    | 4538    | 9983    | 7913    | 7913    | 6342    | kN/m <sup>2</sup> |
|                                                                                        | E <sub>ur</sub> <sup>ref</sup>  | 33600                         | 33600   | 33600   | 73920   | 62880   | 62880   | 50400   | kN/m <sup>2</sup> |
|                                                                                        |                                 | 0,540                         | 0,388   | 0,540   | 0,540   | 0,503   | 0,503   | 0,503   |                   |
| E <sub>oed</sub> = E <sub>50</sub> , Eur=6*E <sub>50</sub> , min(E <sub>50</sub> x0.8) |                                 |                               |         |         |         |         |         |         |                   |
| "Eur=6*E <sub>50</sub> _MIN"                                                           | E <sub>50</sub> <sup>ref</sup>  | 5600                          | 5600    | 5600    | 12320   | 10480   | 10480   | 8400    | kN/m <sup>2</sup> |
|                                                                                        | E <sub>oed</sub> <sup>ref</sup> | 3025                          | 2175    | 3025    | 6655    | 5275    | 5275    | 4228    | kN/m <sup>2</sup> |
|                                                                                        | E <sub>ur</sub> <sup>ref</sup>  | 33600                         | 33600   | 33600   | 73920   | 62880   | 62880   | 50400   | kN/m <sup>2</sup> |
|                                                                                        |                                 | 0,540                         | 0,388   | 0,540   | 0,540   | 0,503   | 0,503   | 0,503   |                   |
| E <sub>oed</sub> = E <sub>50</sub> , Eur=6*E <sub>50</sub> , max(E <sub>50</sub> x1.2) |                                 |                               |         |         |         |         |         |         |                   |
| "Eur=6*E <sub>50</sub> _MAX"                                                           | E <sub>50</sub> <sup>ref</sup>  |                               | 8400    | 8400    | 18480   | 15720   | 15720   | 12600   | kN/m <sup>2</sup> |
|                                                                                        | E <sub>oed</sub> <sup>ref</sup> | 0                             | 3263    | 4538    | 9983    | 7913    | 7913    | 6342    | kN/m <sup>2</sup> |
|                                                                                        | E <sub>ur</sub> <sup>ref</sup>  | 0                             | 50400   | 50400   | 110880  | 94320   | 94320   | 75600   | kN/m <sup>2</sup> |
|                                                                                        |                                 | 0,540                         | 0,388   | 0,540   | 0,540   | 0,503   | 0,503   | 0,503   |                   |
| power (m)                                                                              |                                 |                               |         |         |         |         |         |         |                   |
|                                                                                        |                                 | 1                             | 1       | 1       | 1       | 1       | 1       | 1       |                   |

## Output parameter

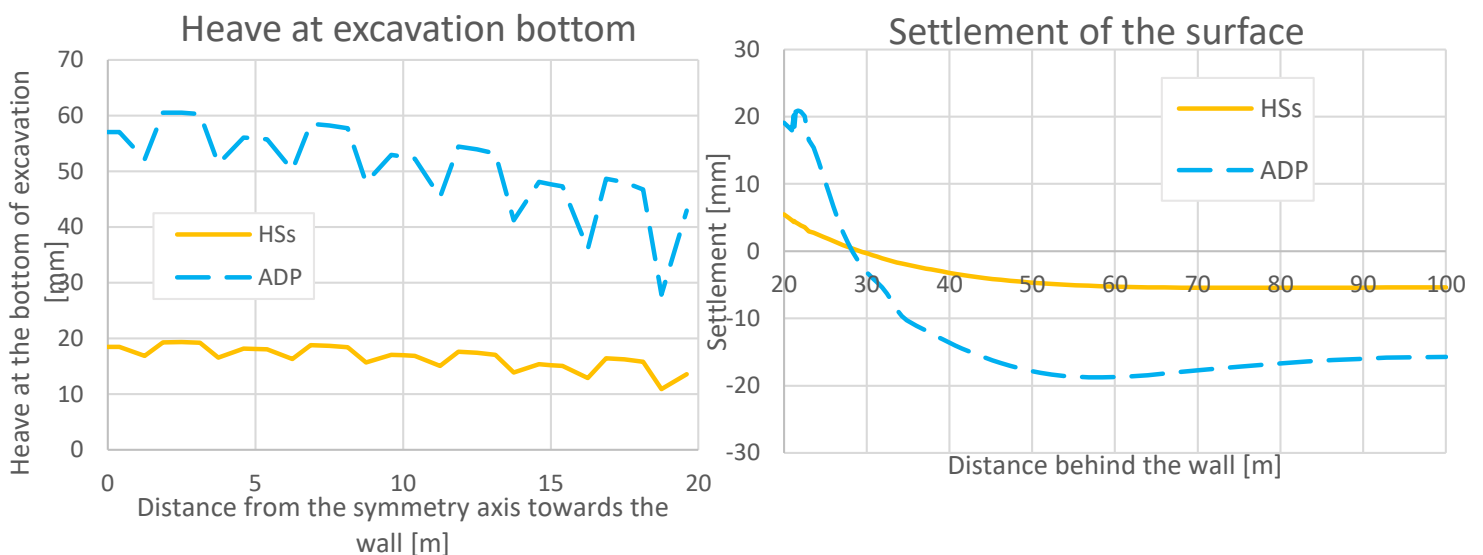
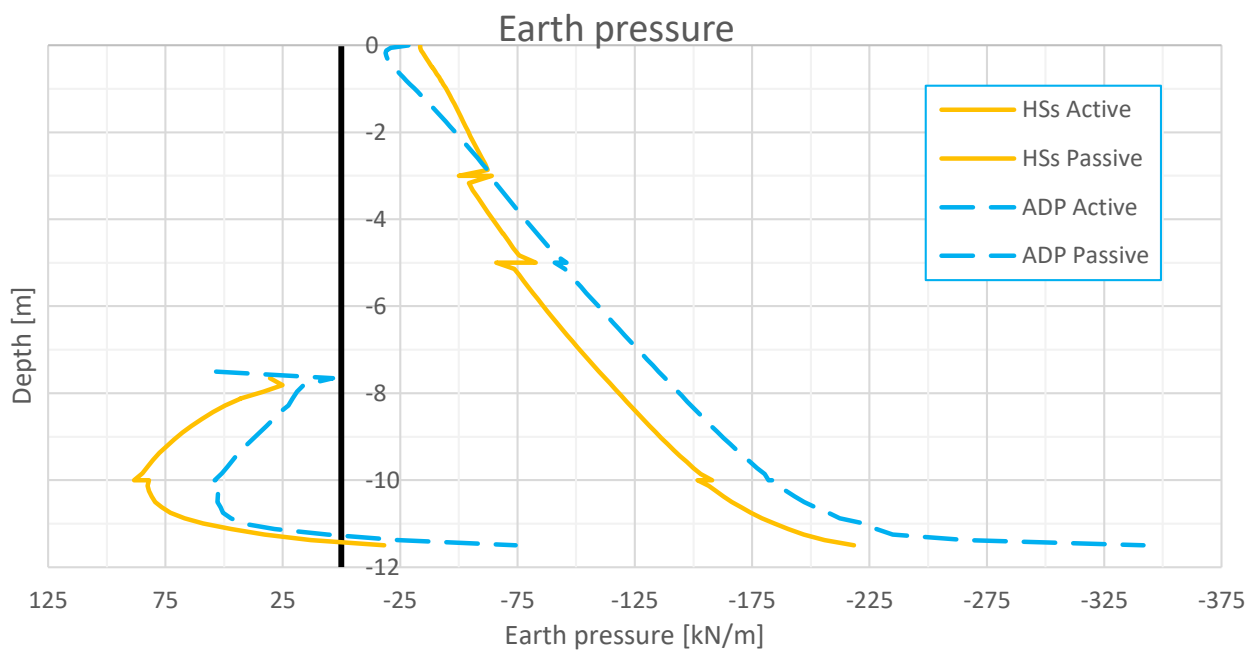
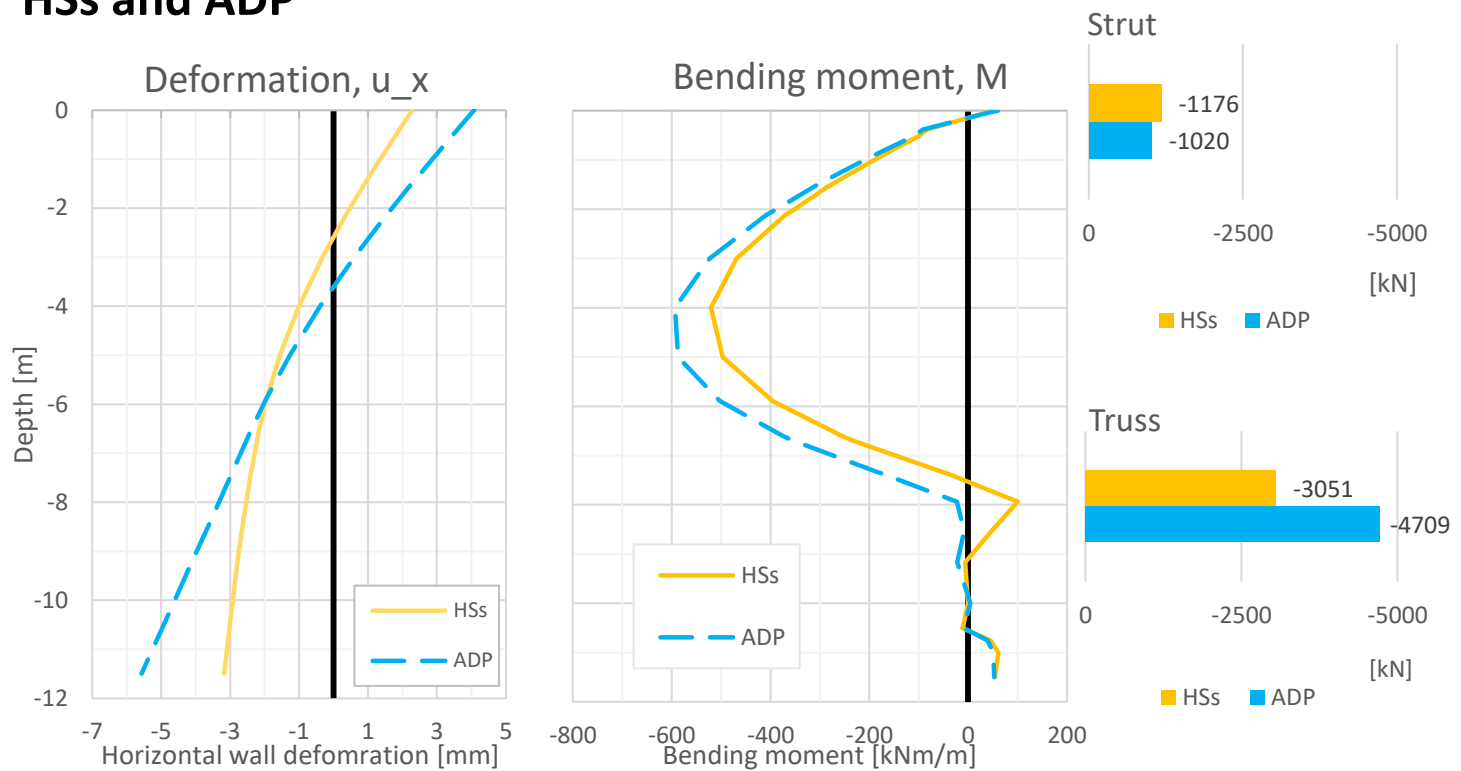
Extraction of output variables.

| Diagram                              | Phase       | Tool                             | Location                           | Output                              |
|--------------------------------------|-------------|----------------------------------|------------------------------------|-------------------------------------|
| Deformation of the wall              | Exc (-7,5m) | Cross section                    | A (20.6, 0)<br>B (20.6, -11.5)     | Total disp u_x                      |
| Earth pressure                       | Exc (-7,5m) | Select interface                 | left/right side<br>interface of DW | Total normal stresses               |
| Bending moment                       | Exc (-7,5m) | Structural forces,<br>Cross line | A (20.6, 0)<br>B (20.6, -11.5)     | Automatic bending<br>moment         |
| Heave of the bottom<br>of excavation | Exc (-7,5m) | Cross section                    | A (0.0, -7.5)<br>B (20.0, -7.5)    | Total disp u_y                      |
| Settlement of<br>the surface         | Exc (-7,5m) | Cross section                    | A (20, 0)<br>B (100, 0)            | Total disp u_y                      |
| Truss/Strut                          | Exc (-7,5m) | Click on strut/truss             | NodeToNode 1_1,<br>16_1, 8_1, 9_1  | Sum of N,<br>(negative=compression) |

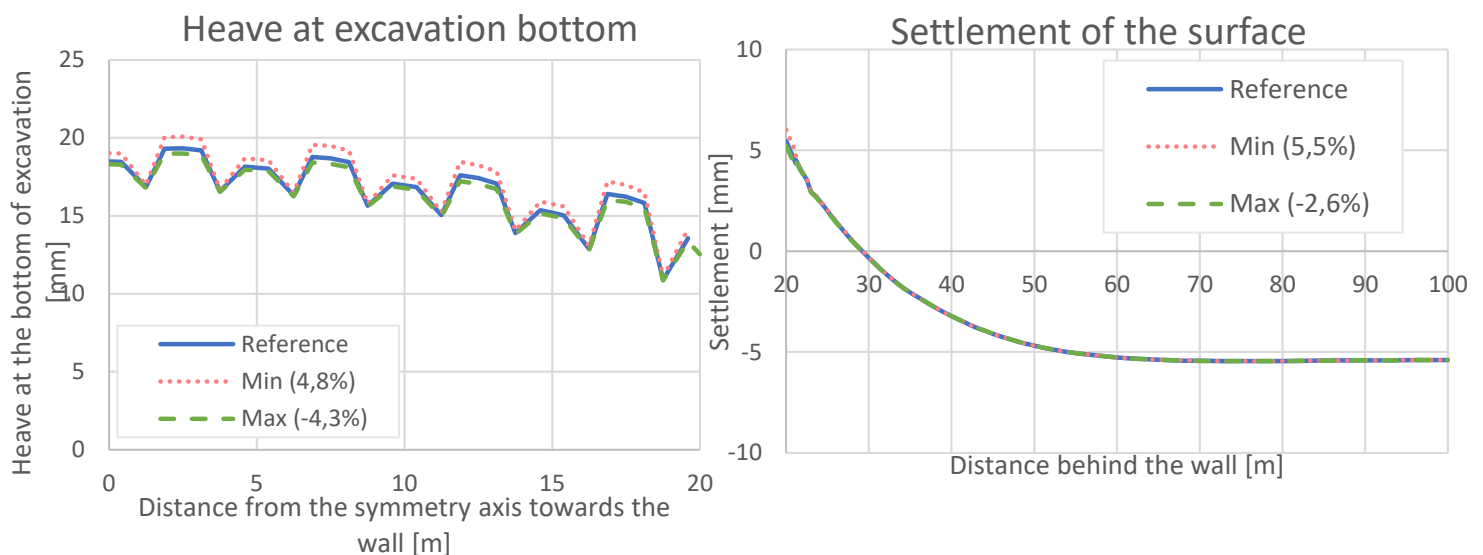
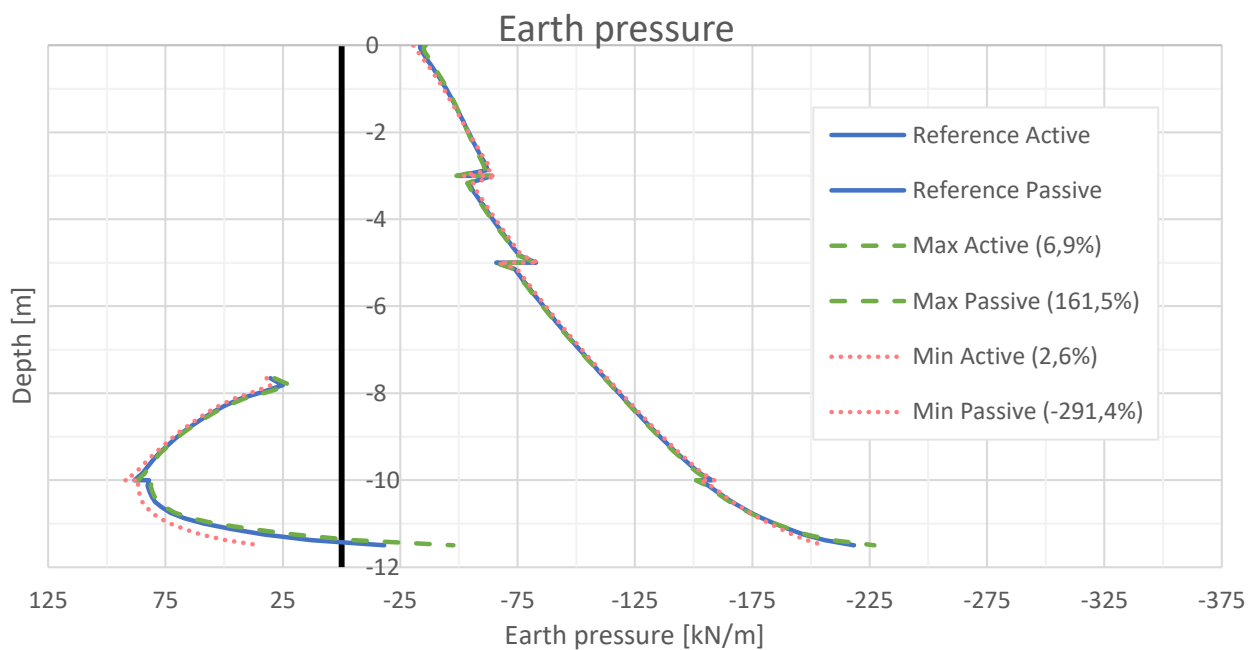
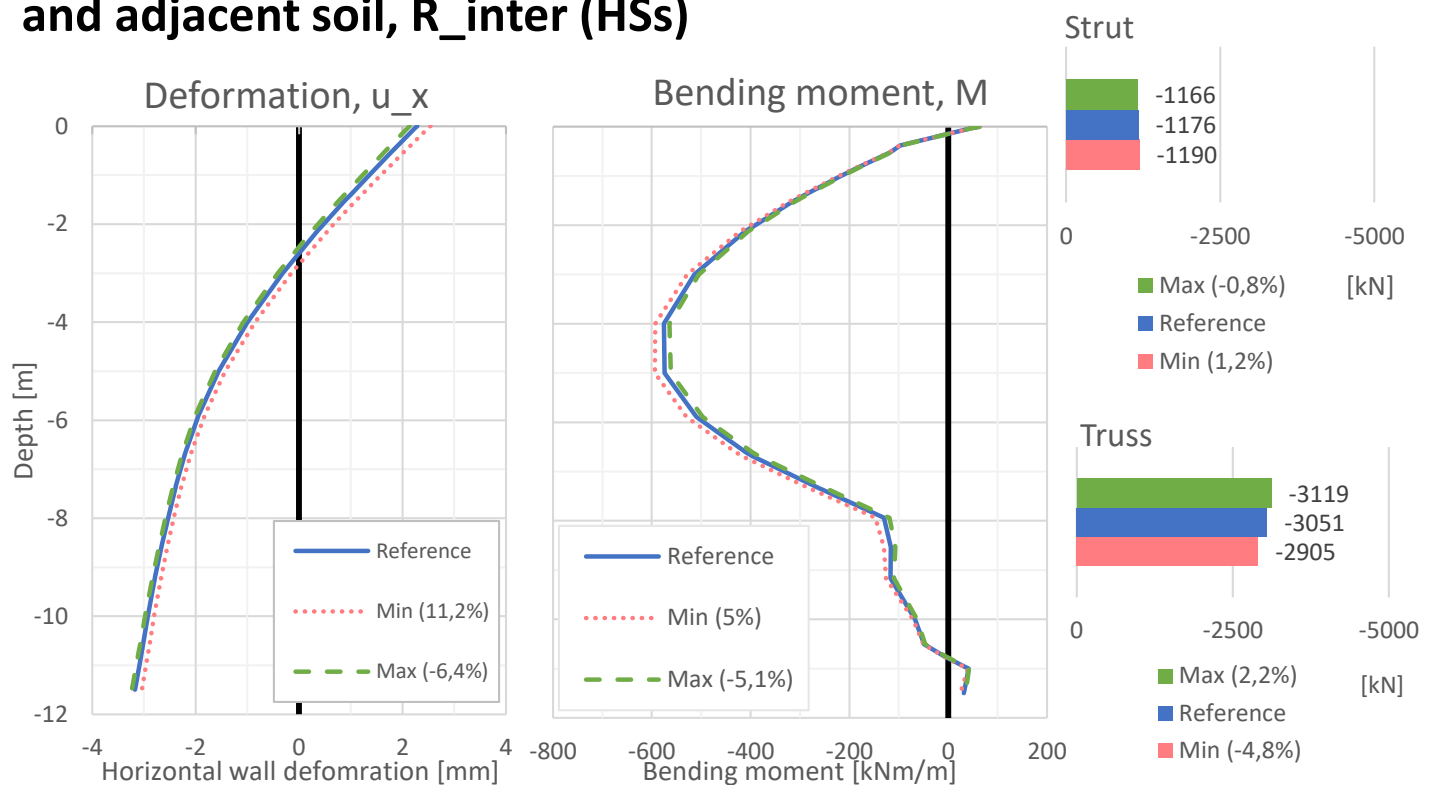
# E

## Complete Sensitivity Analysis

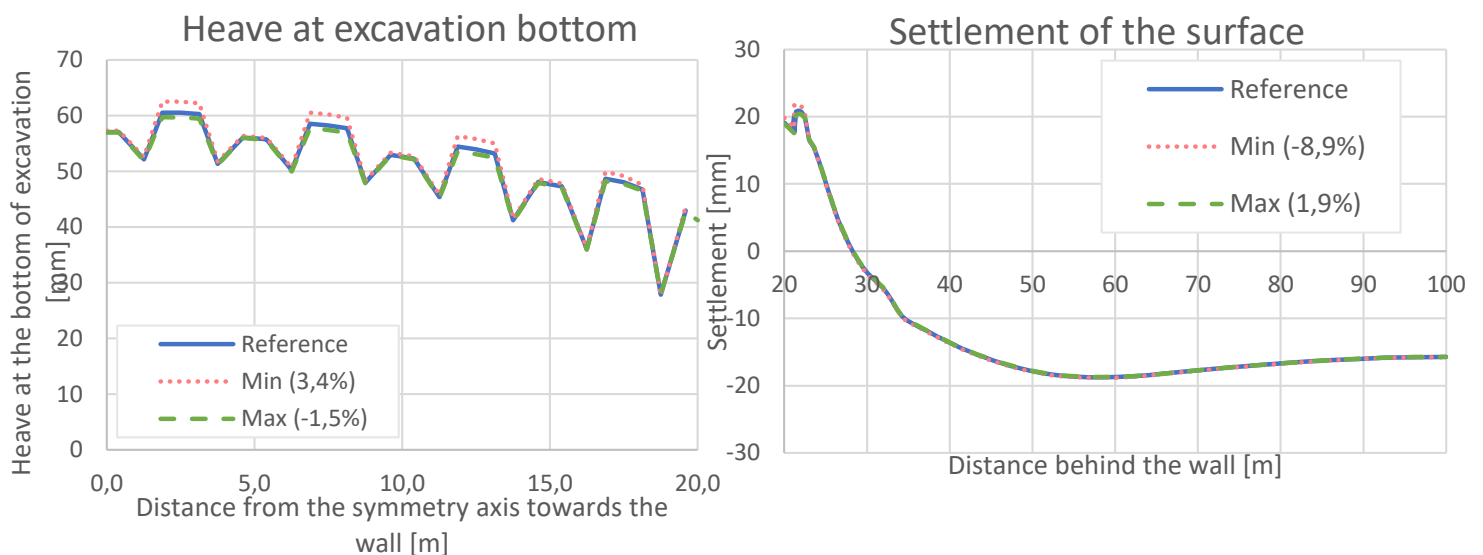
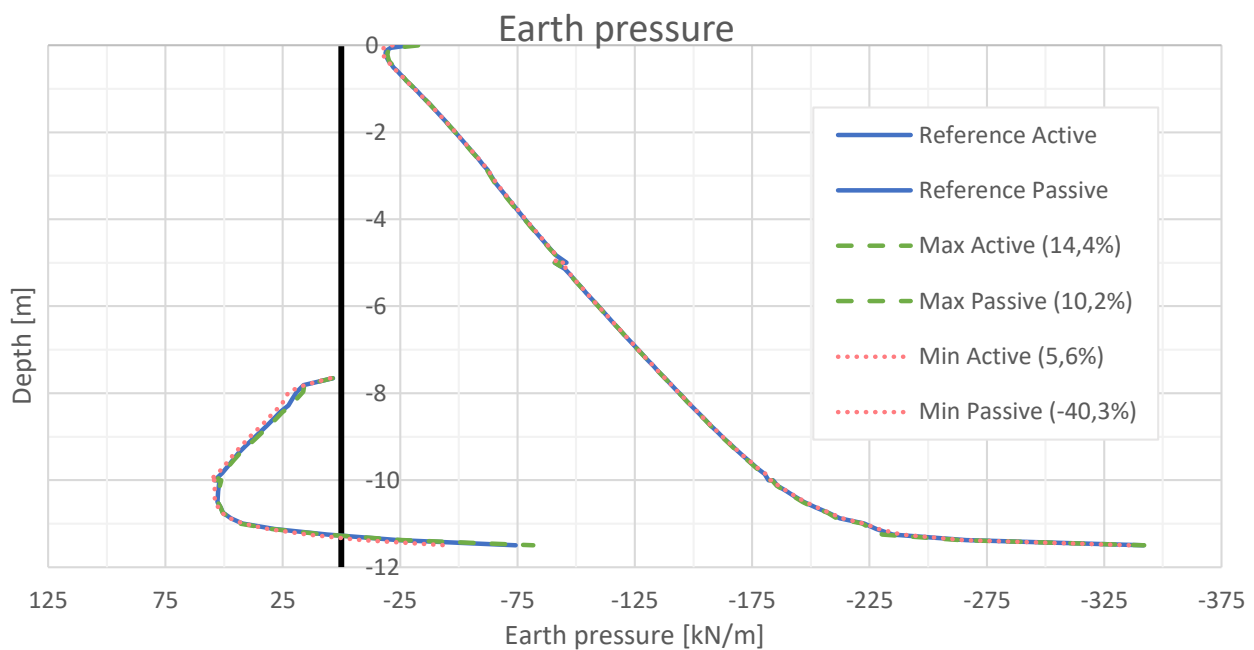
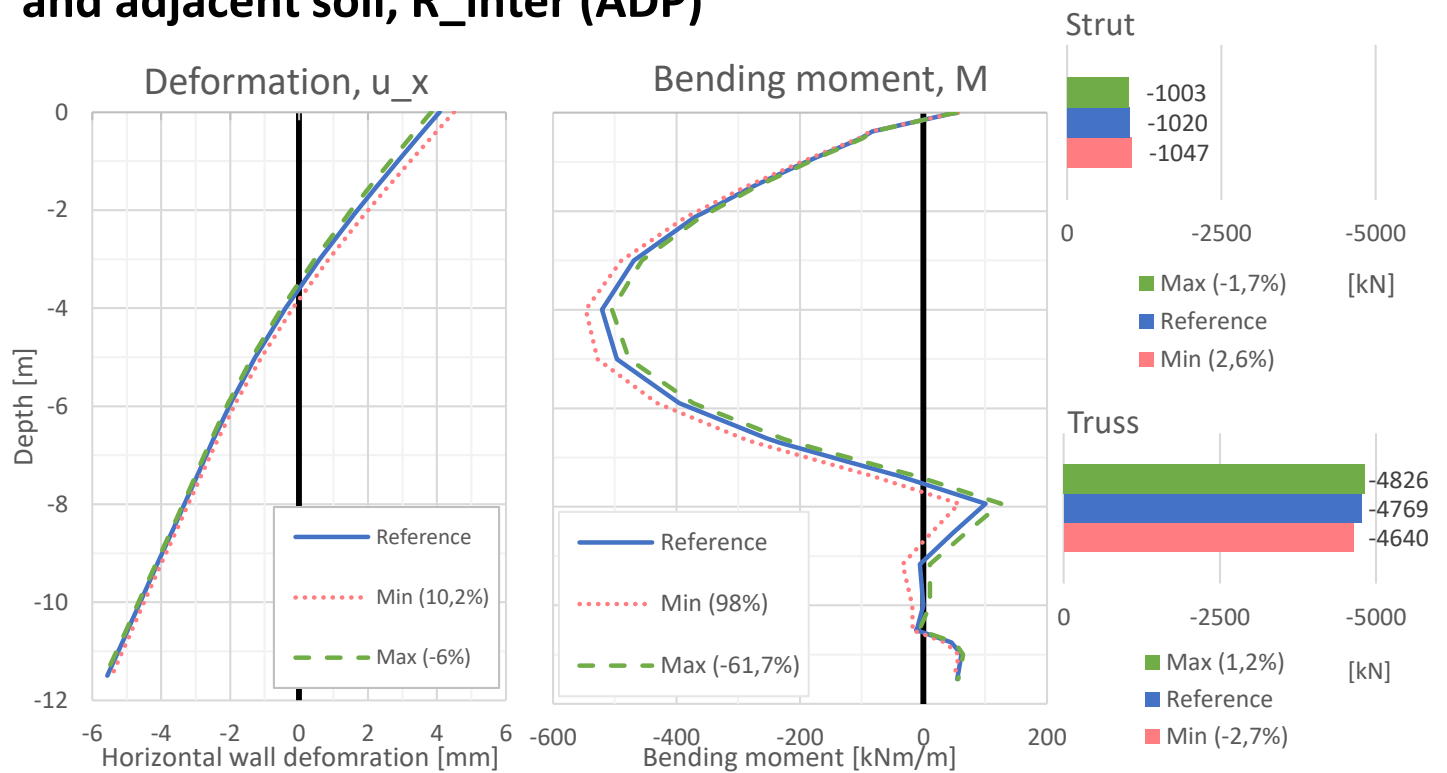
# #0 Comparison HSs and ADP



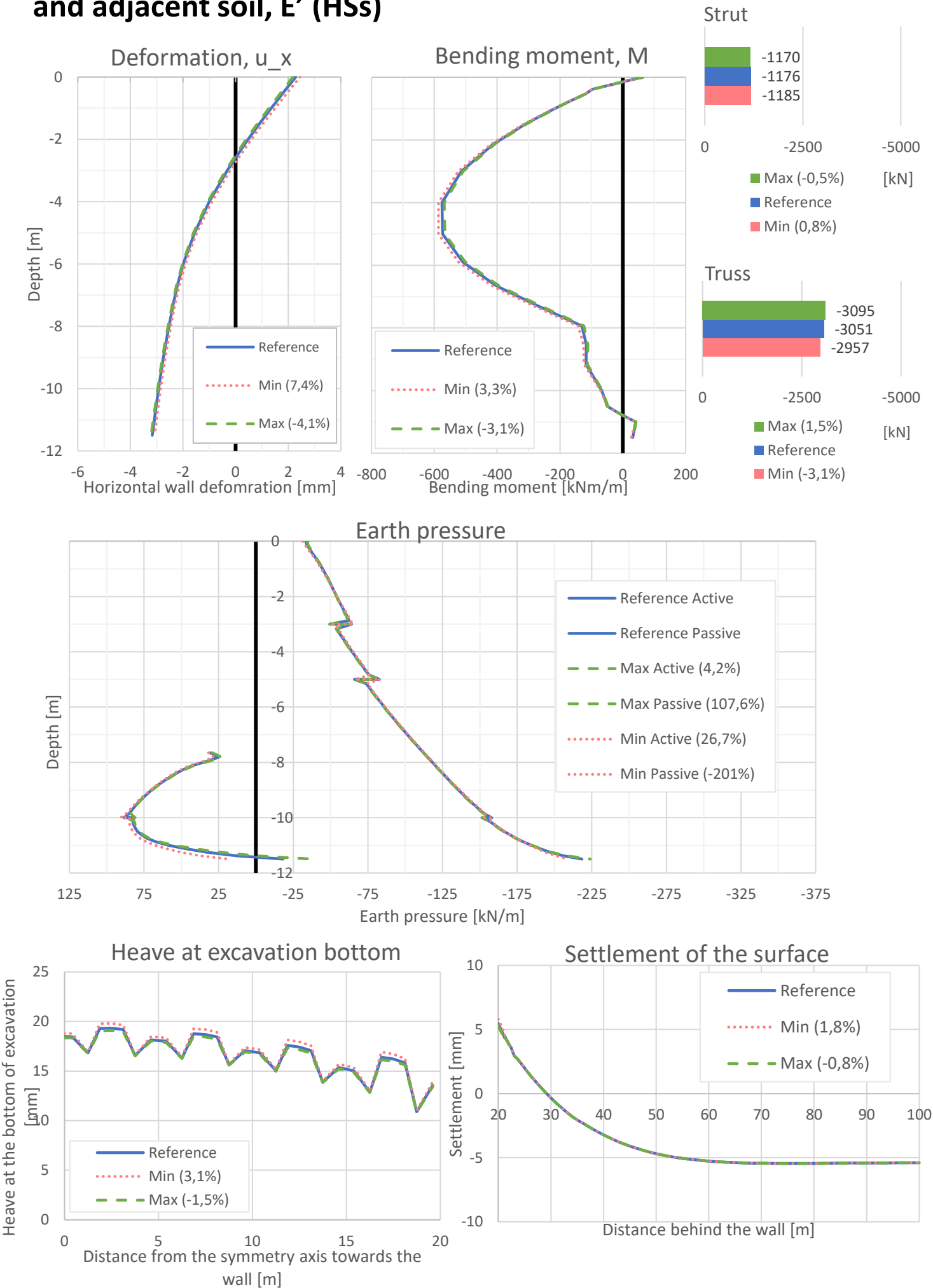
# #1 Interface between diaphragm wall and adjacent soil, R\_inter (HSs)



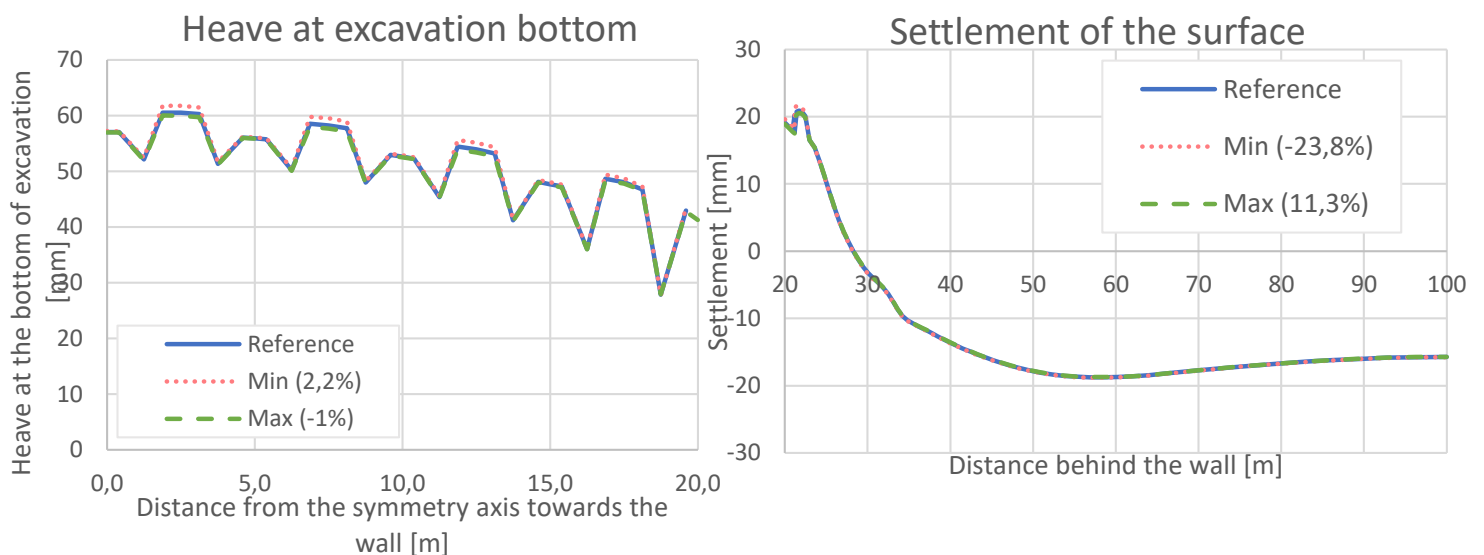
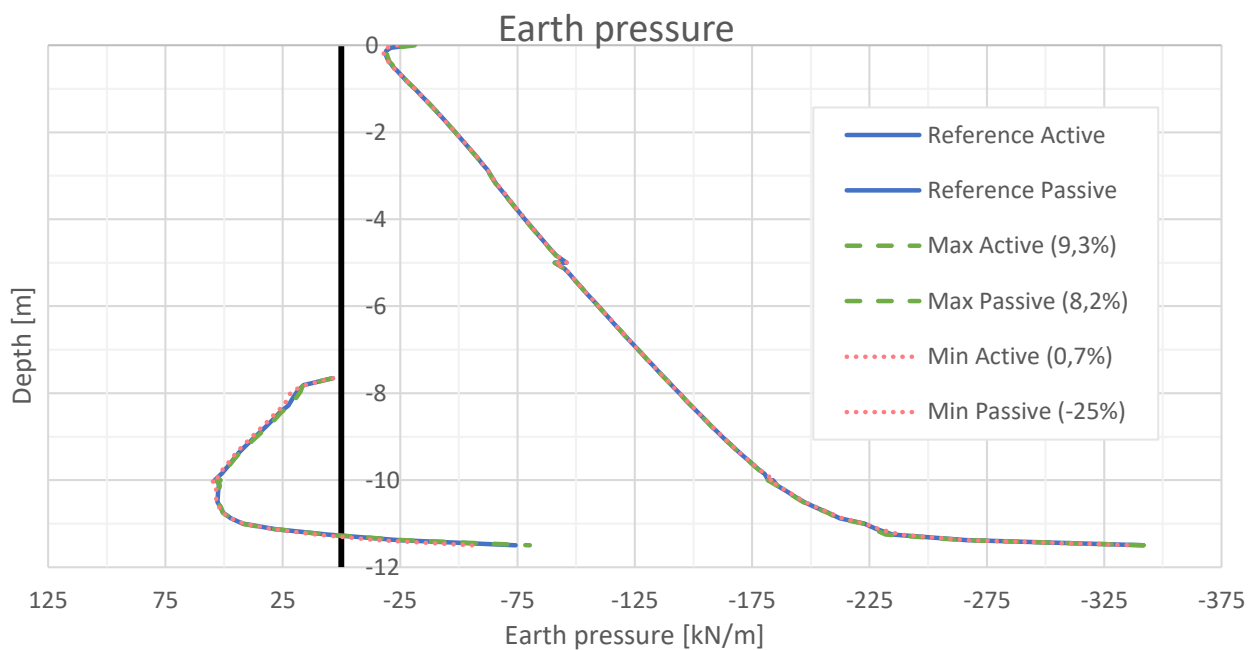
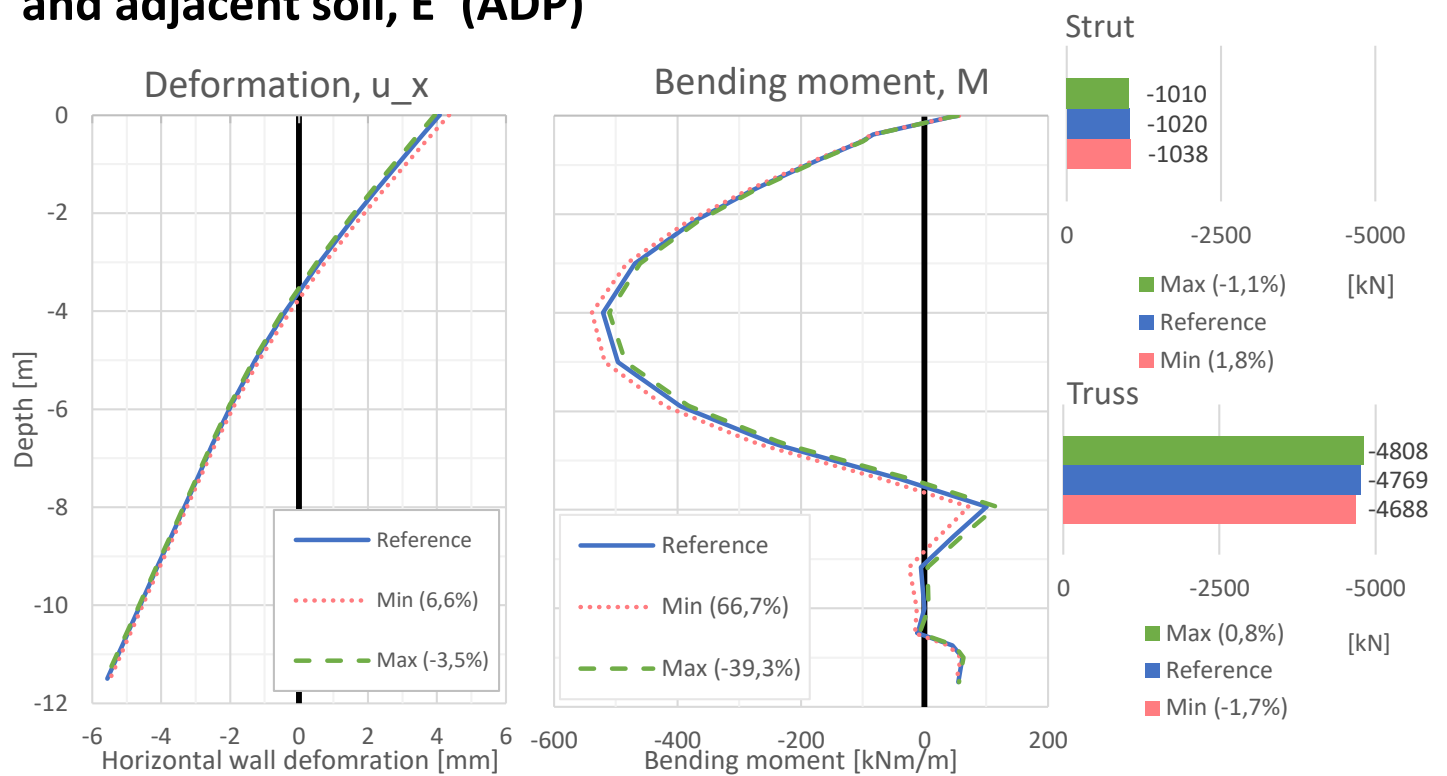
## #2 Interface between diaphragm wall and adjacent soil, R\_inter (ADP)



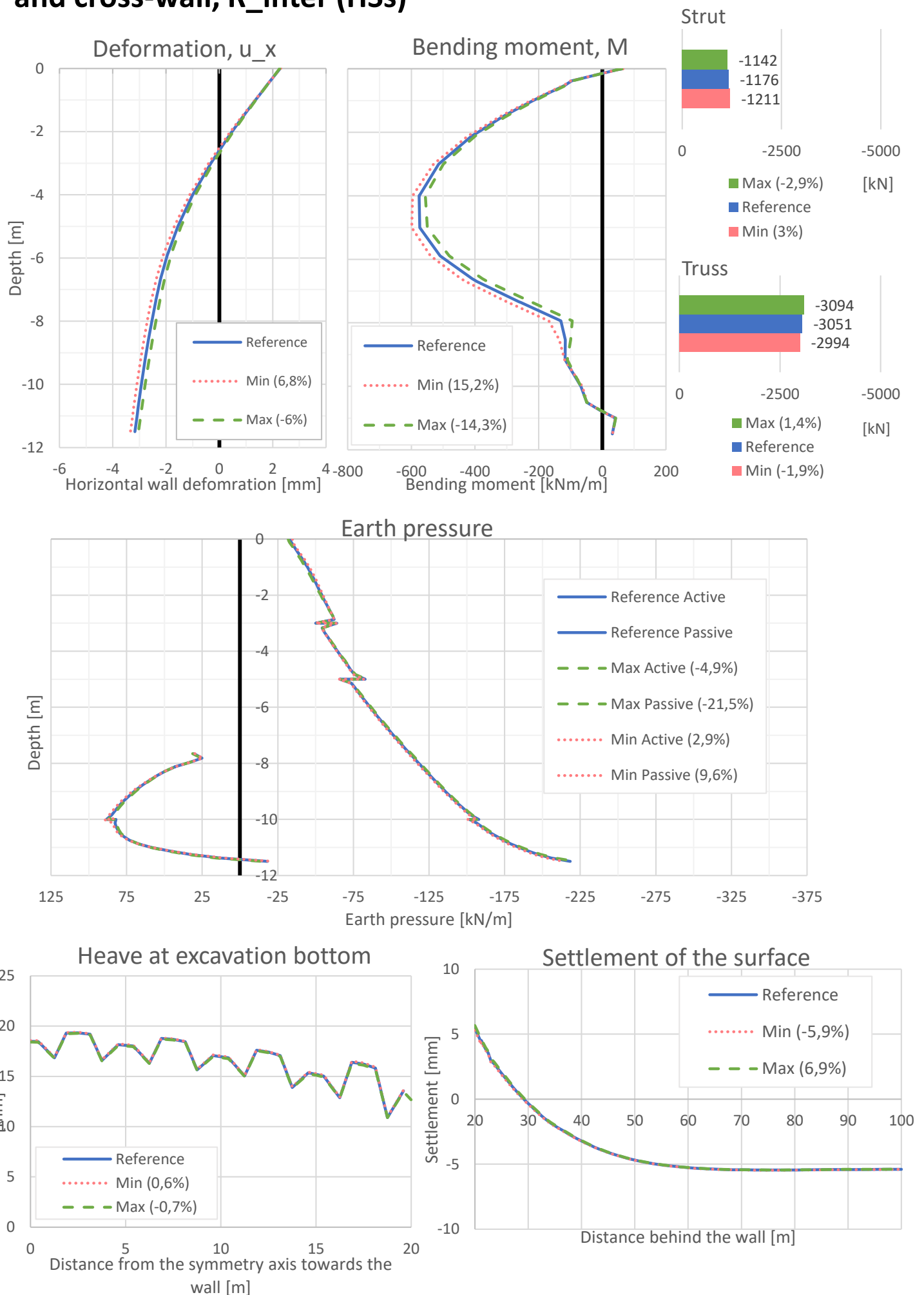
### #3 Interface stiffness between diaphragm wall and adjacent soil, $E'$ (HSs)



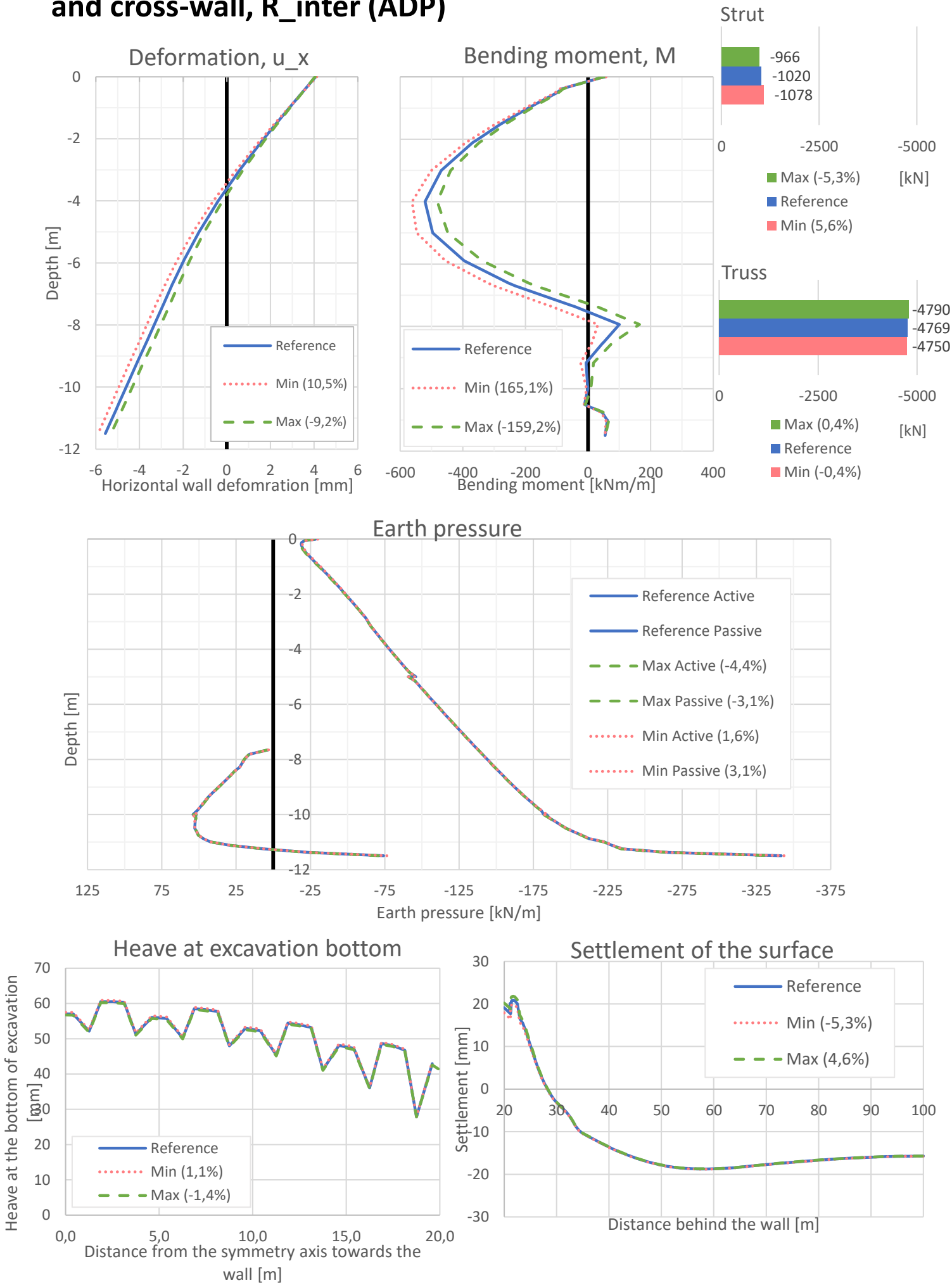
# #4 Interface stiffness between diaphragm wall and adjacent soil, $E'$ (ADP)



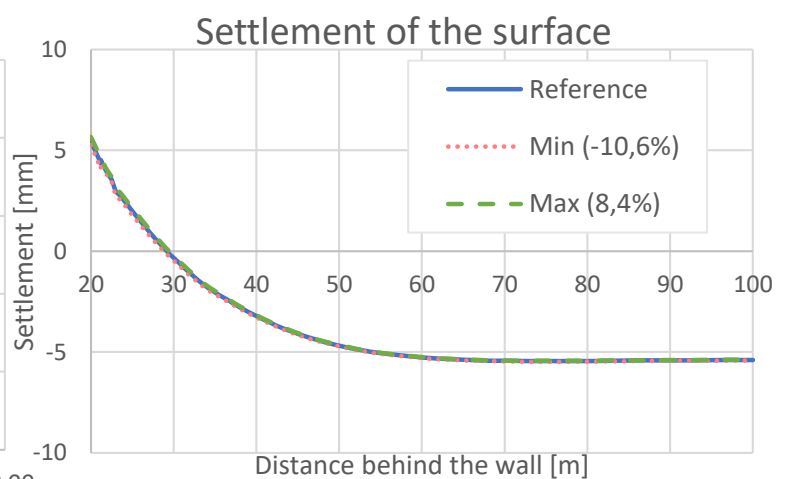
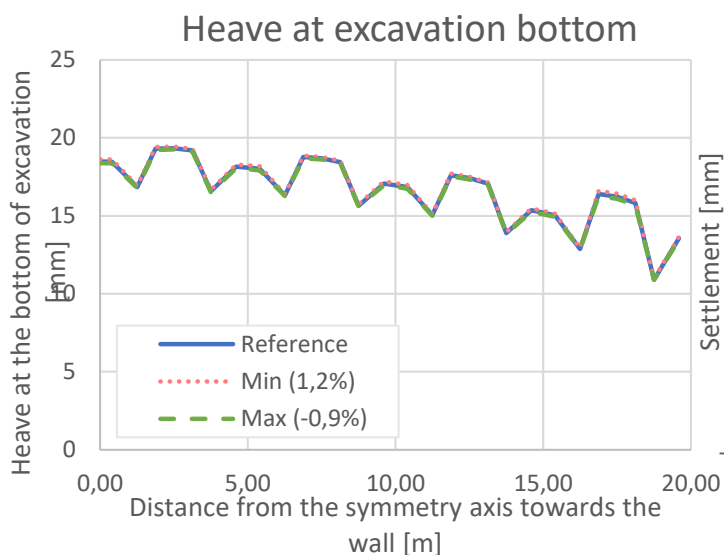
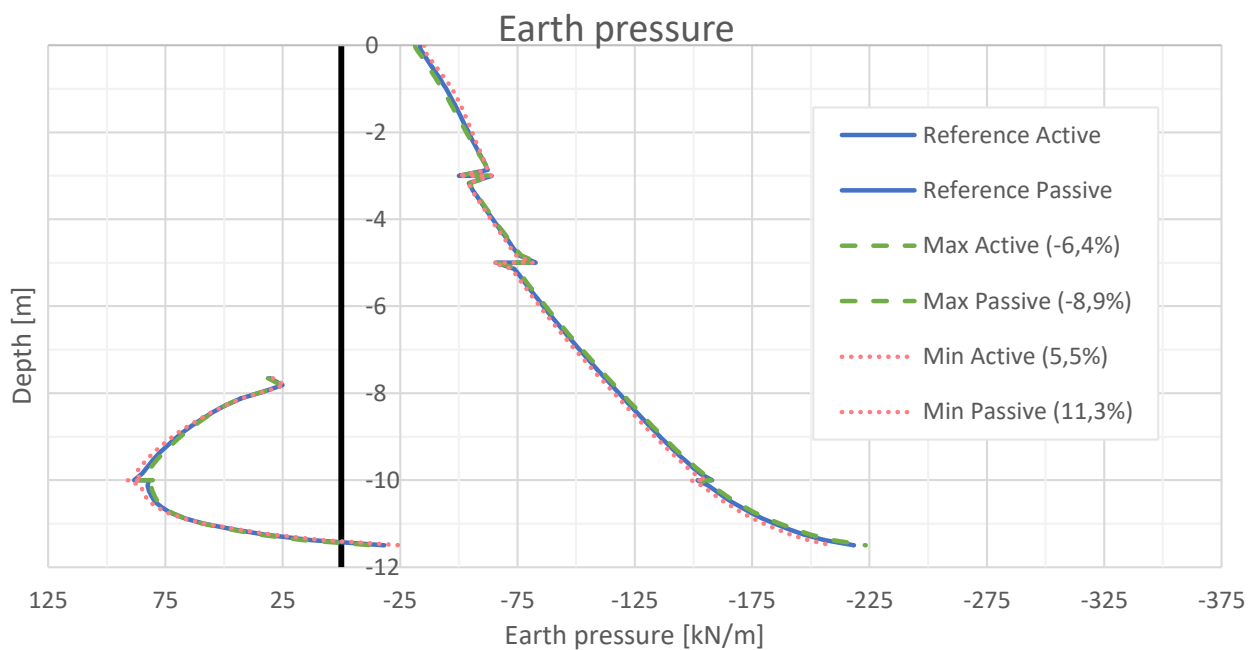
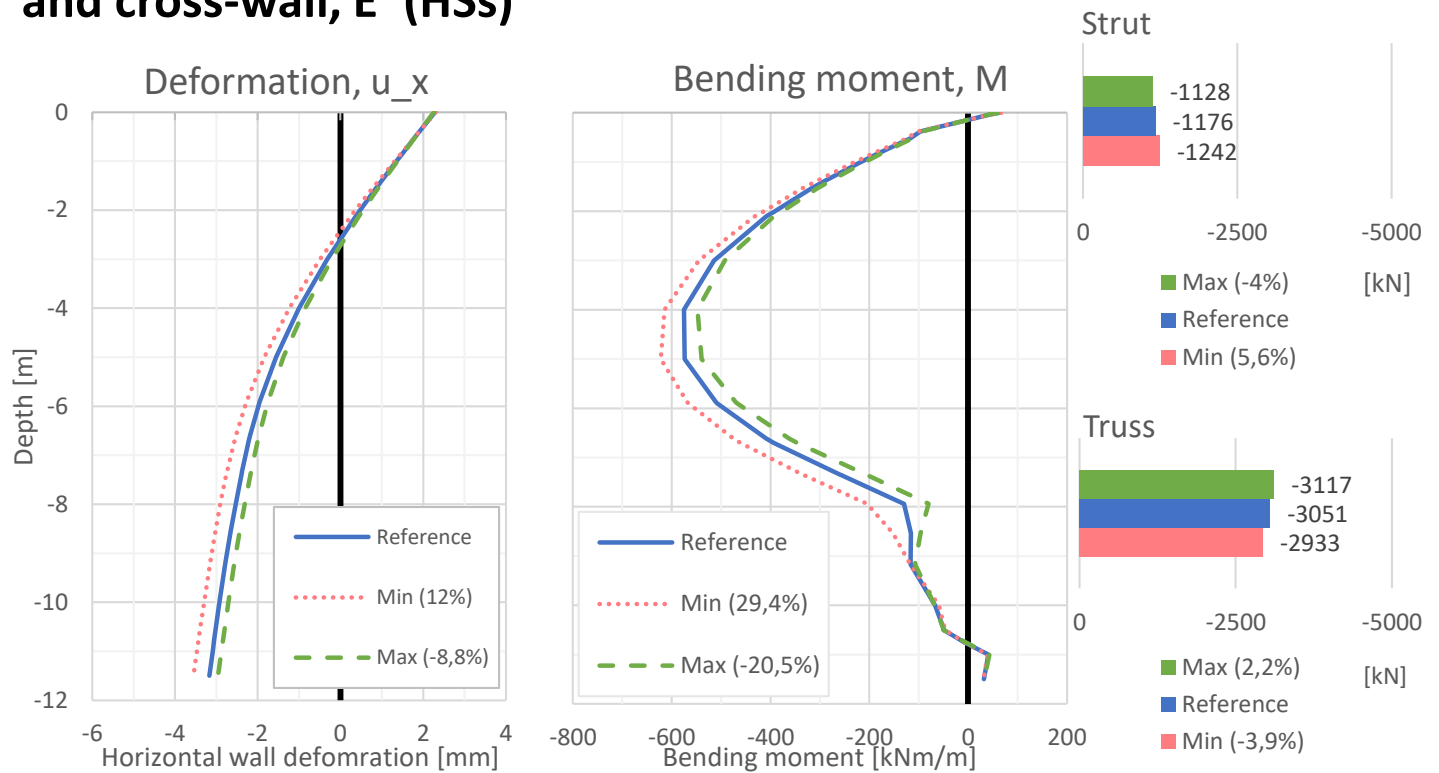
# #5 Interface between diaphragm wall and cross-wall, R\_inter (HSs)



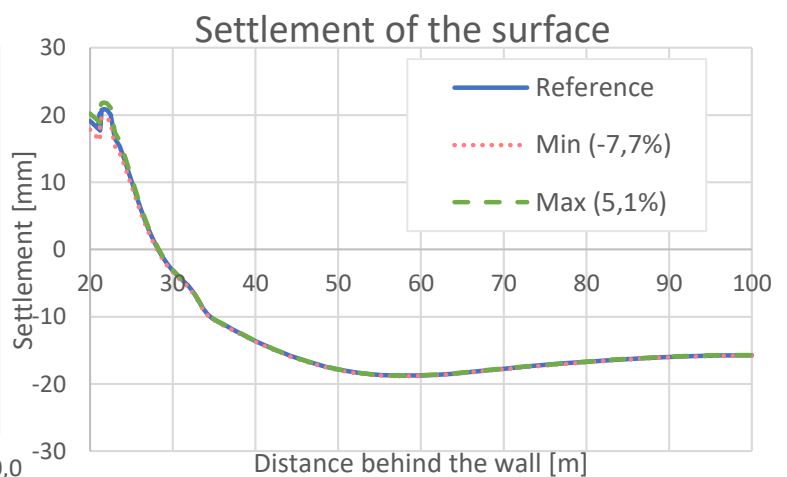
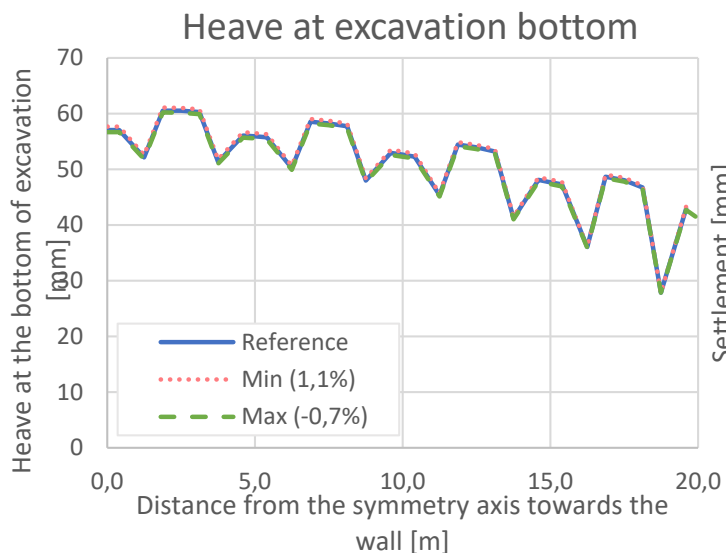
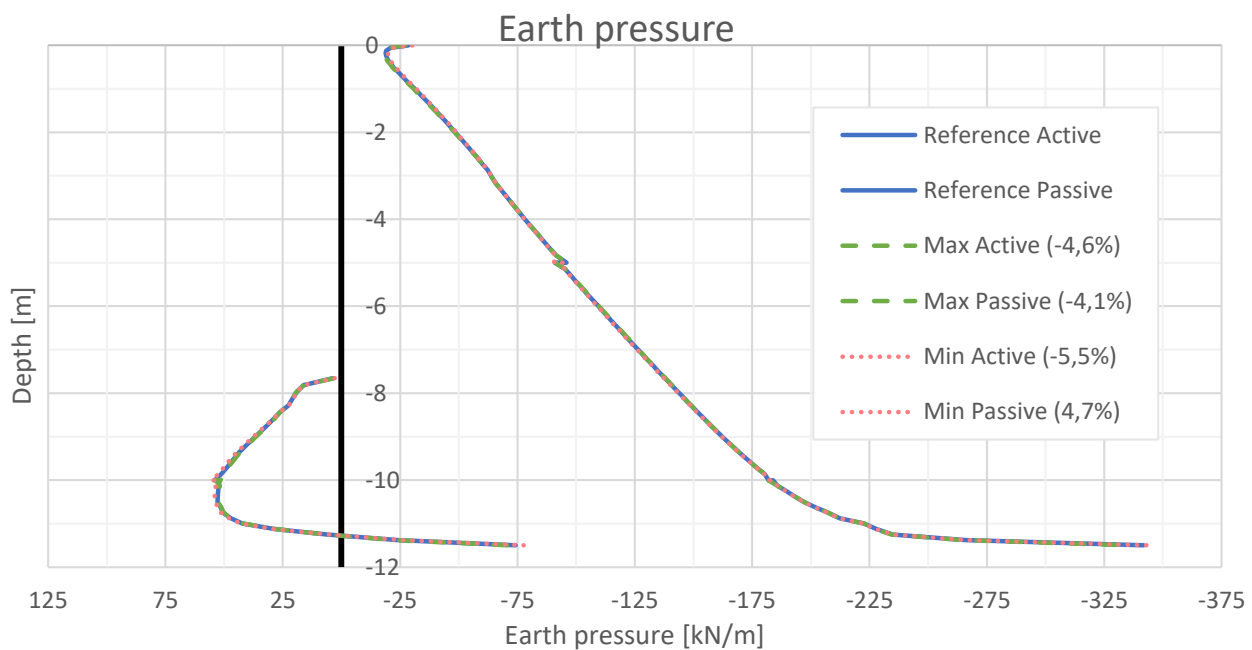
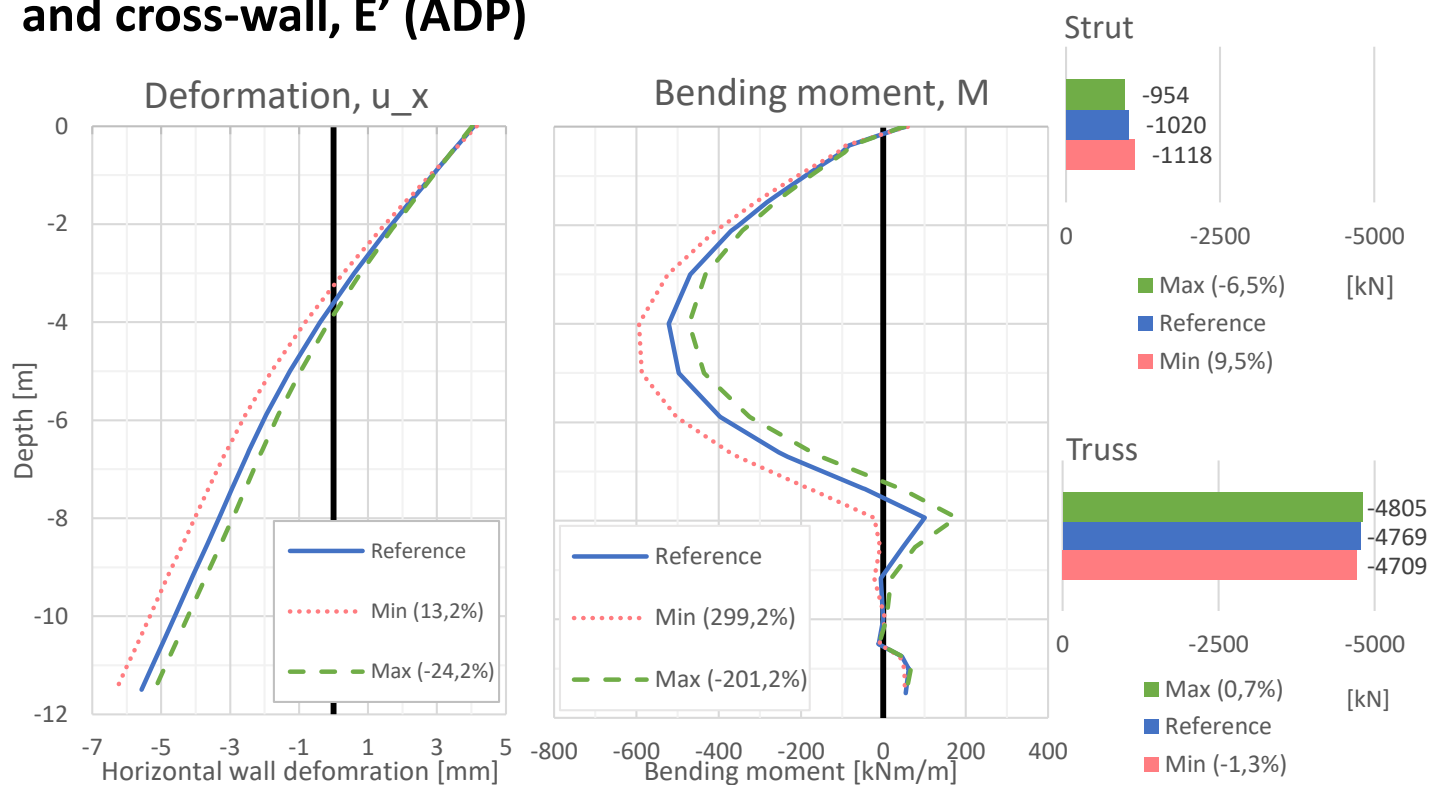
# #6 Interface between diaphragm wall and cross-wall, R\_inter (ADP)



# #7 Interface stiffness between diaphragm wall and cross-wall, $E'$ (HSs)



# #8 Interface stiffness between diaphragm wall and cross-wall, $E'$ (ADP)

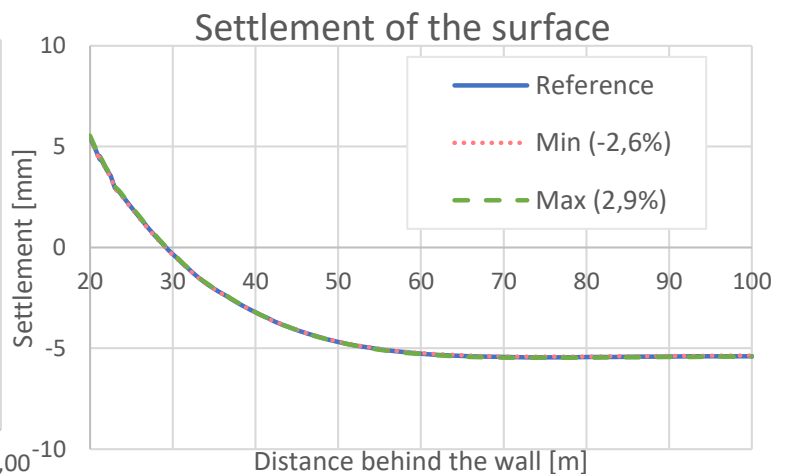
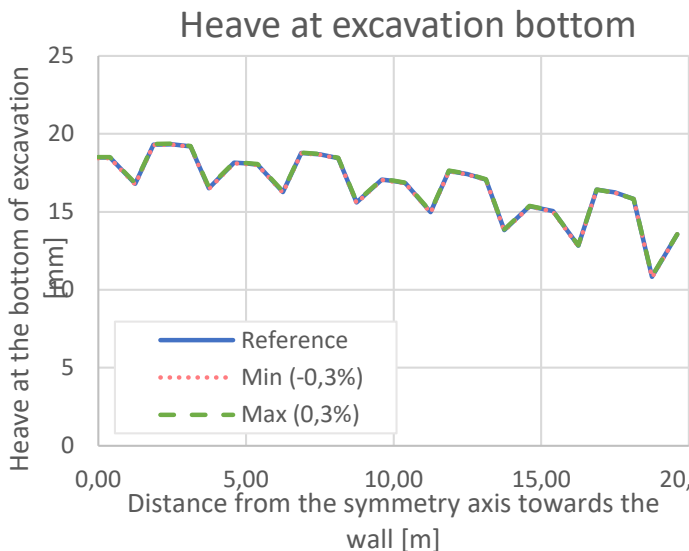
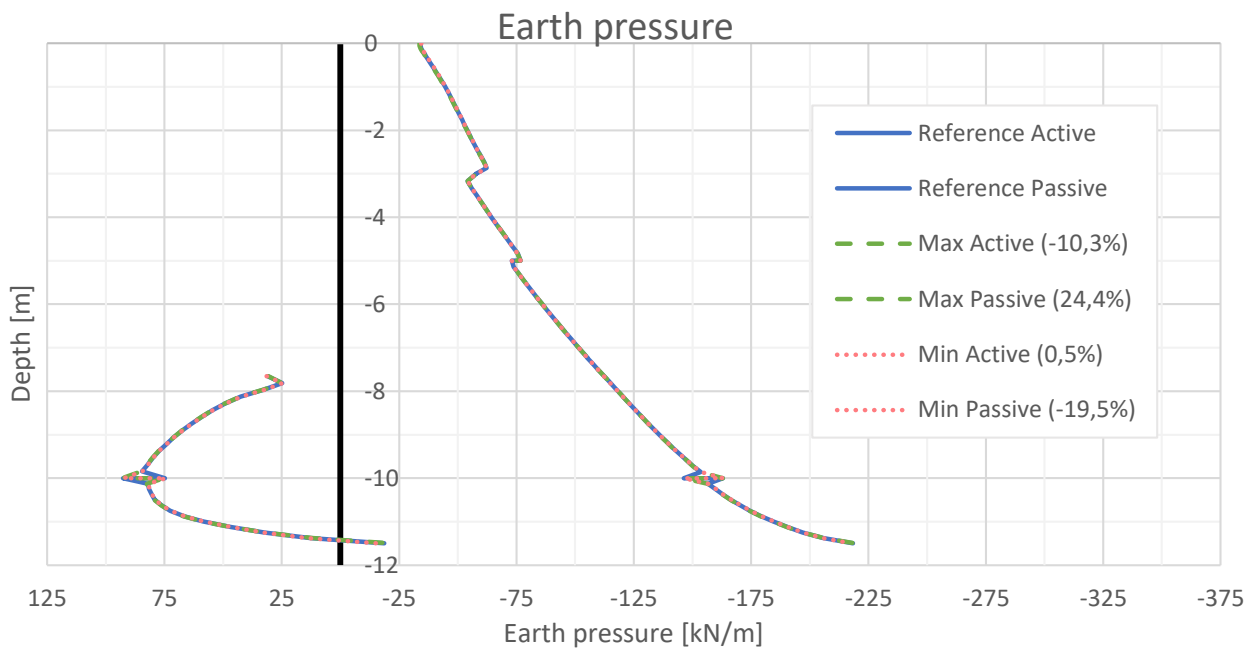
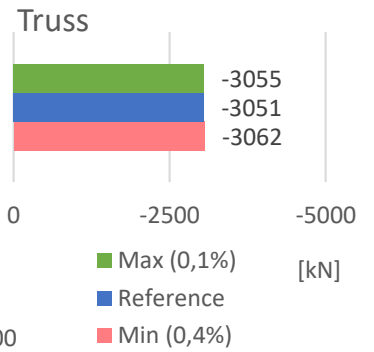
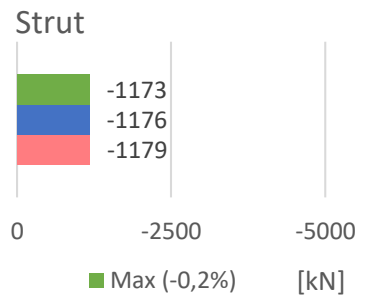
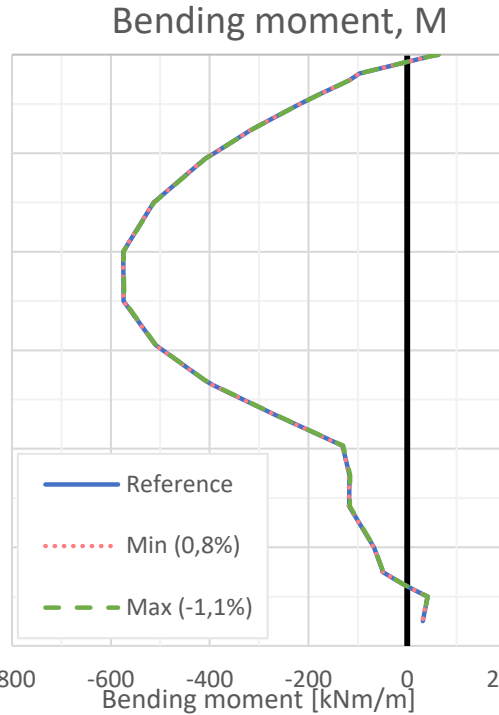
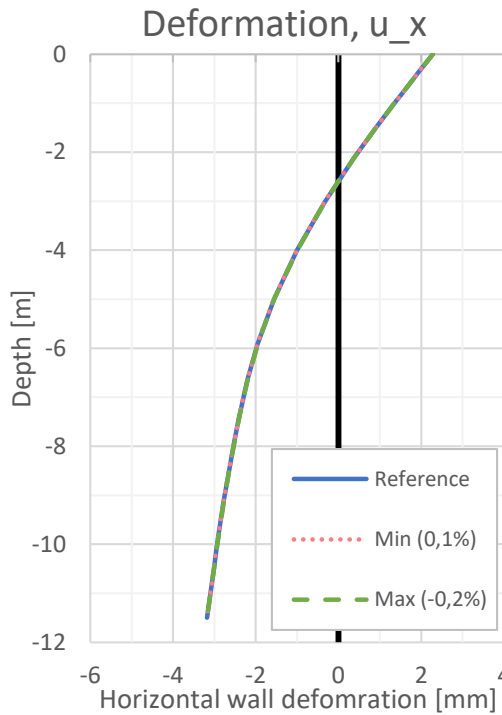


**#9 Stiffness HSs (new reference)**

**E50, Eoed = 0,5\*E50, Eur=2\*E50**

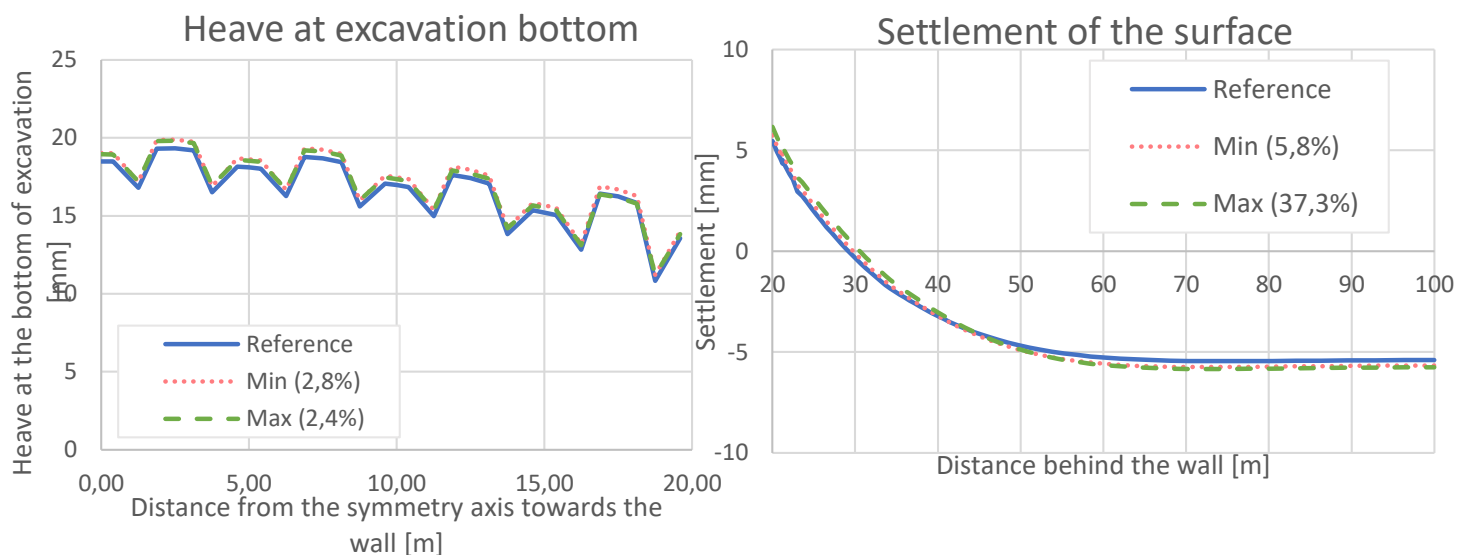
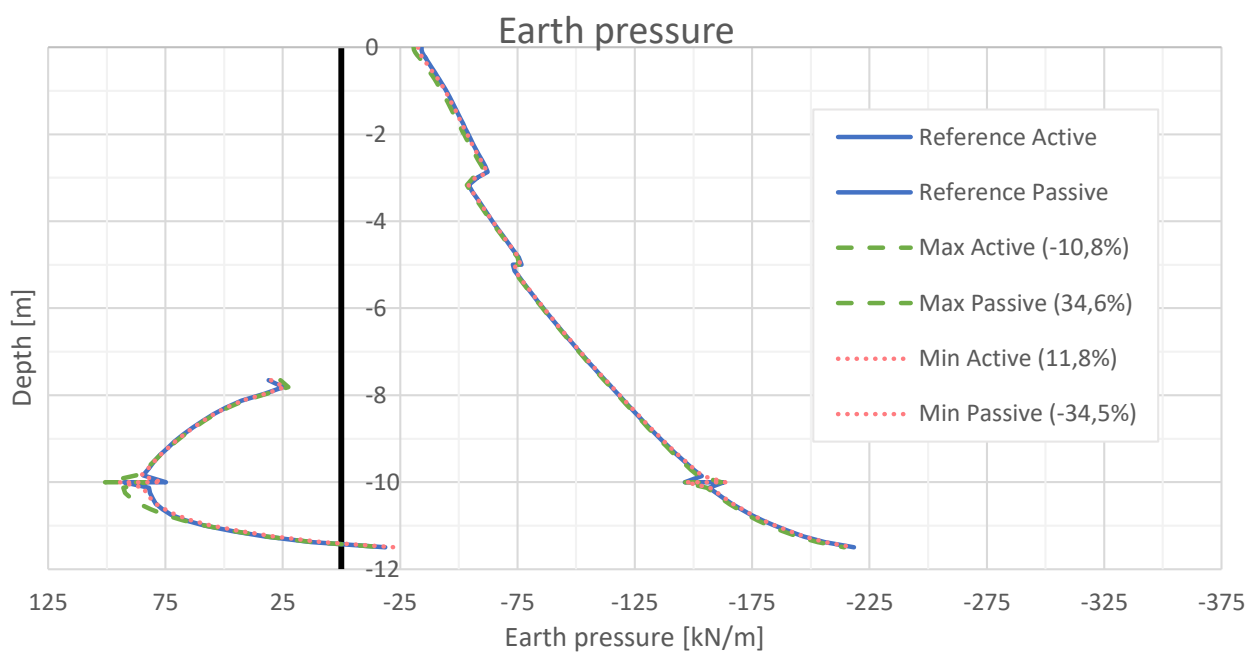
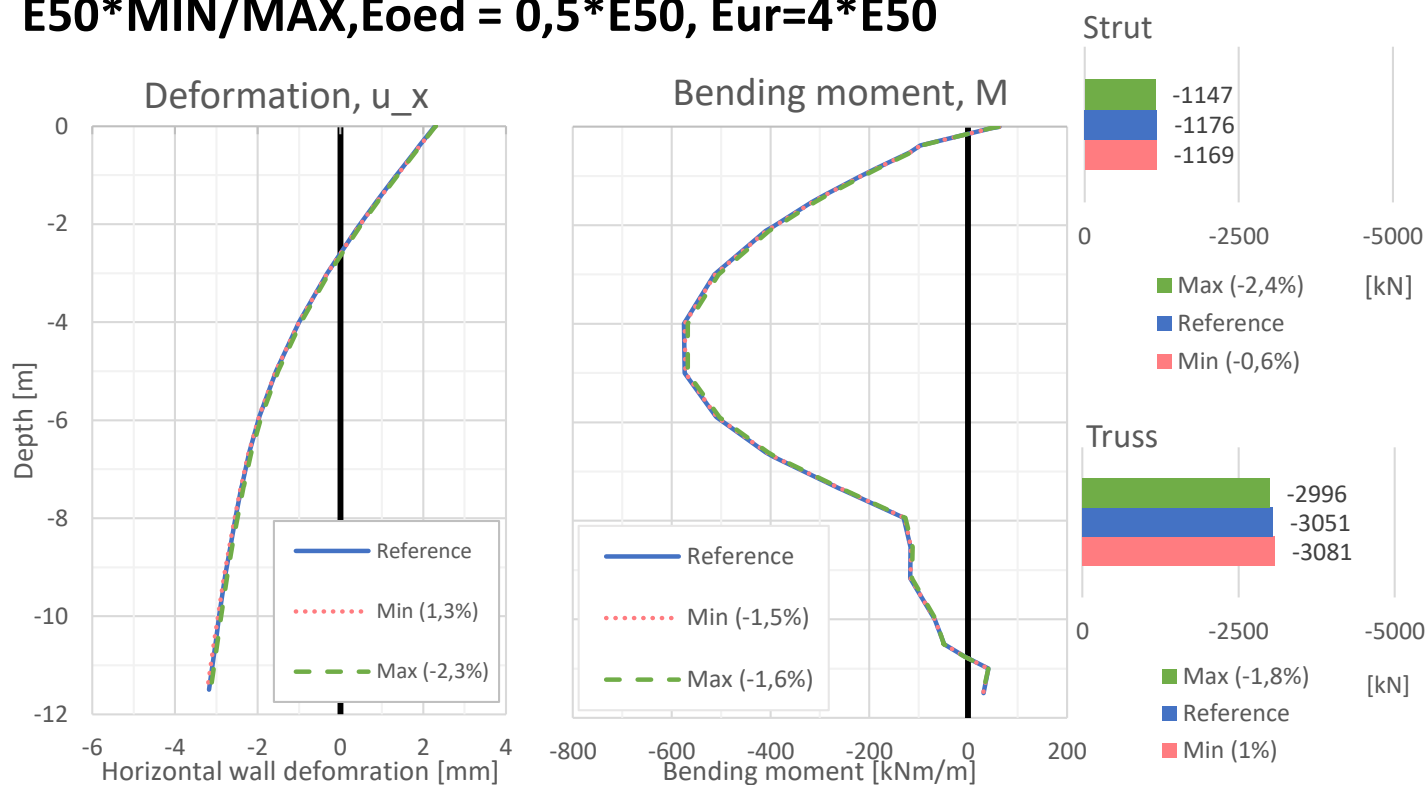
# #10 Stiffness HSs

E50\*MIN/MAX, Eoed = 0,5\*E50, Eur=2\*E50



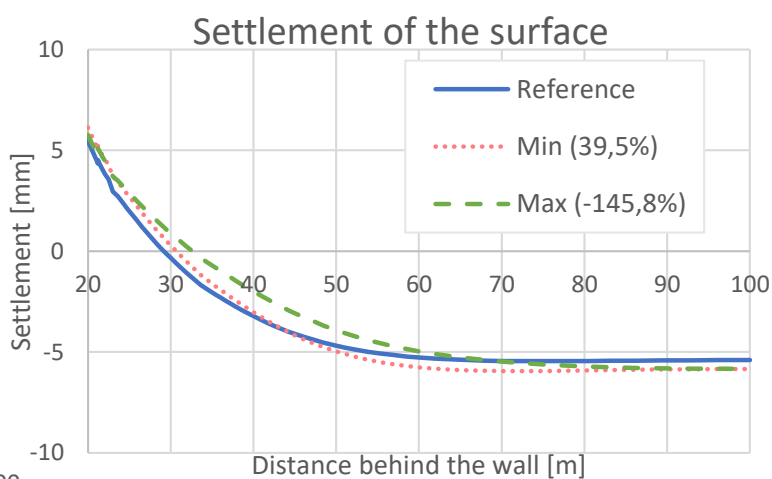
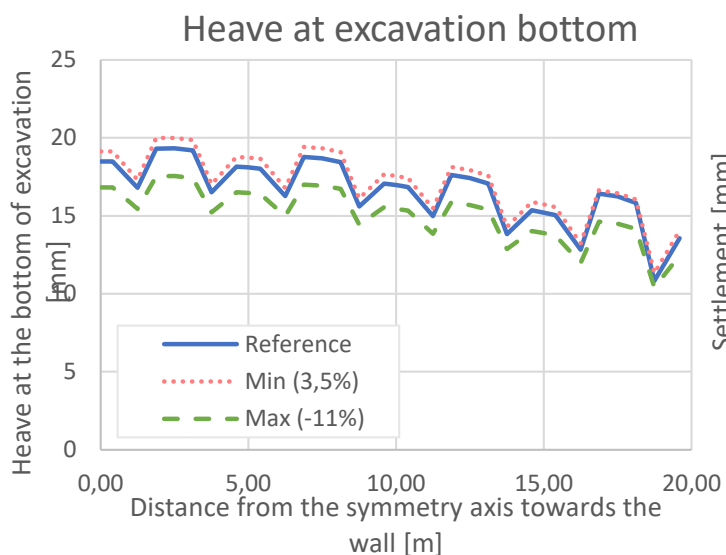
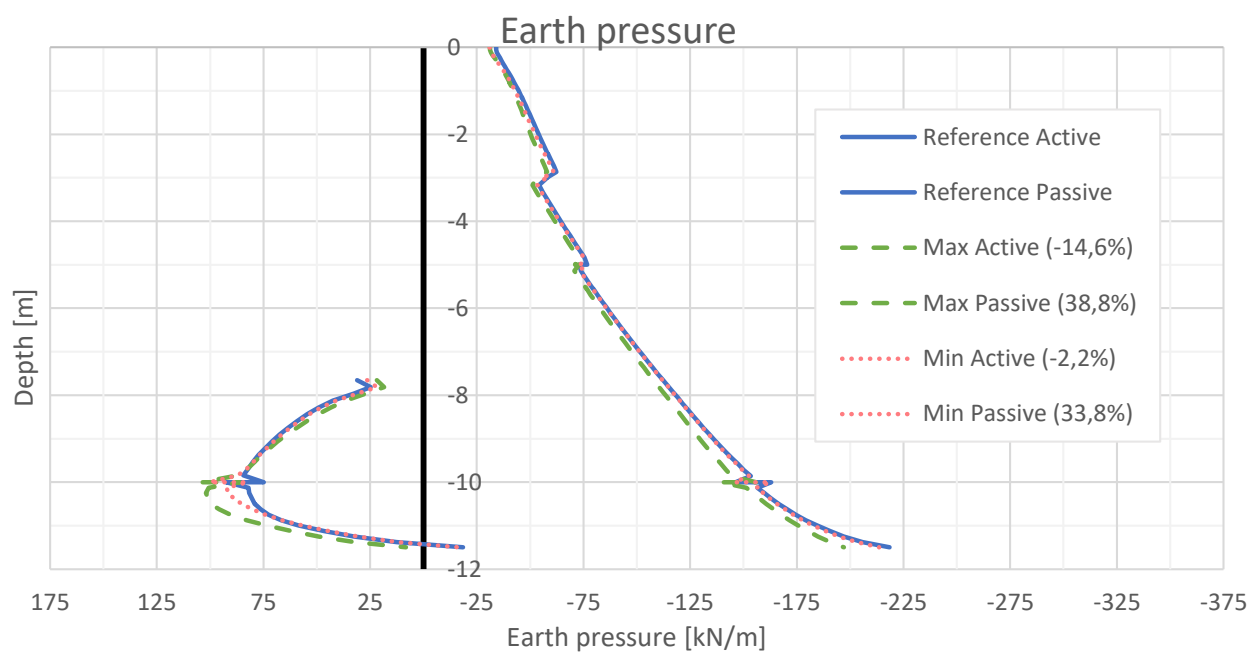
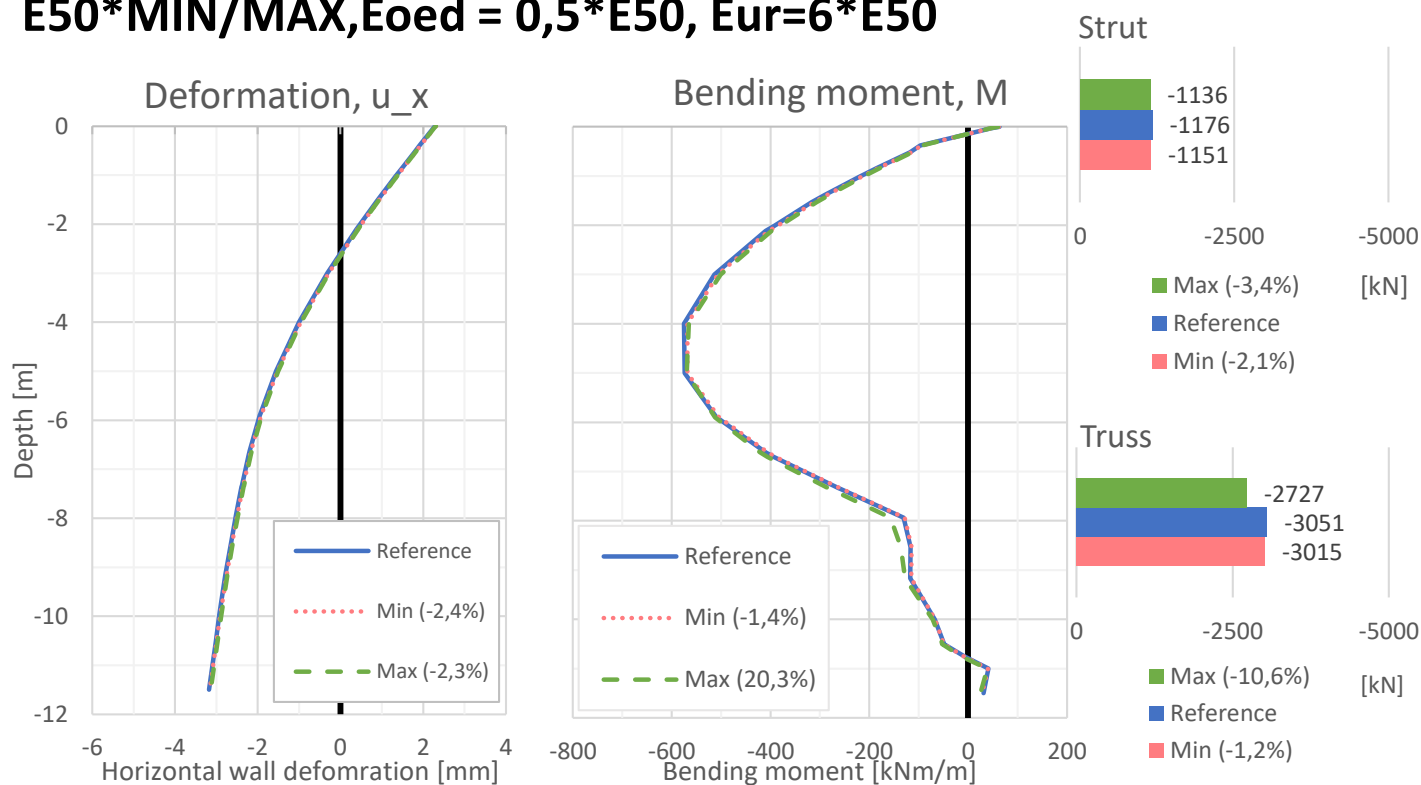
# #11 Stiffness HSs

$E_{50} \cdot \text{MIN}/\text{MAX}, E_{\text{oed}} = 0,5 \cdot E_{50}, E_{\text{ur}} = 4 \cdot E_{50}$

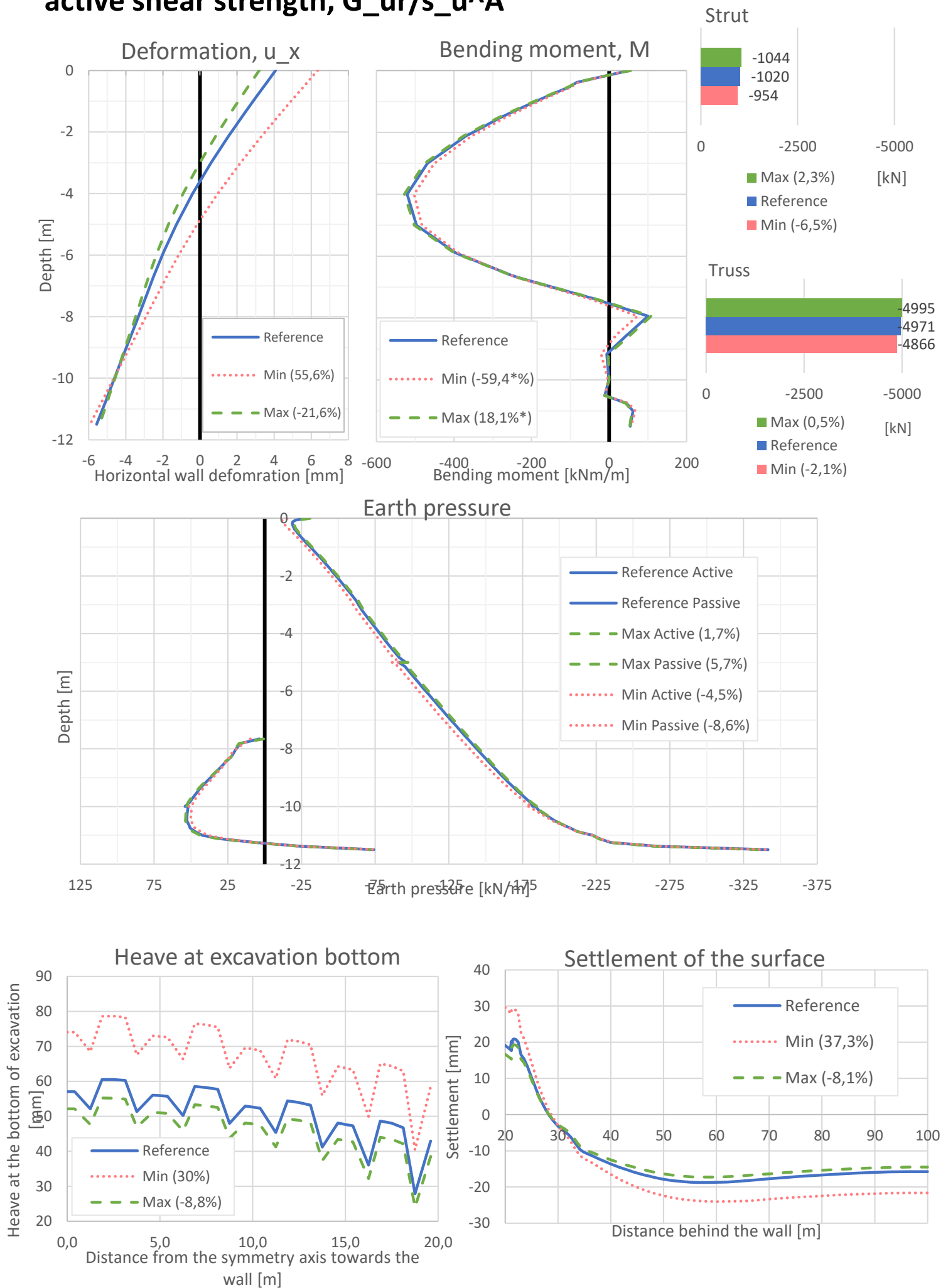


## #12 Stiffness HSs

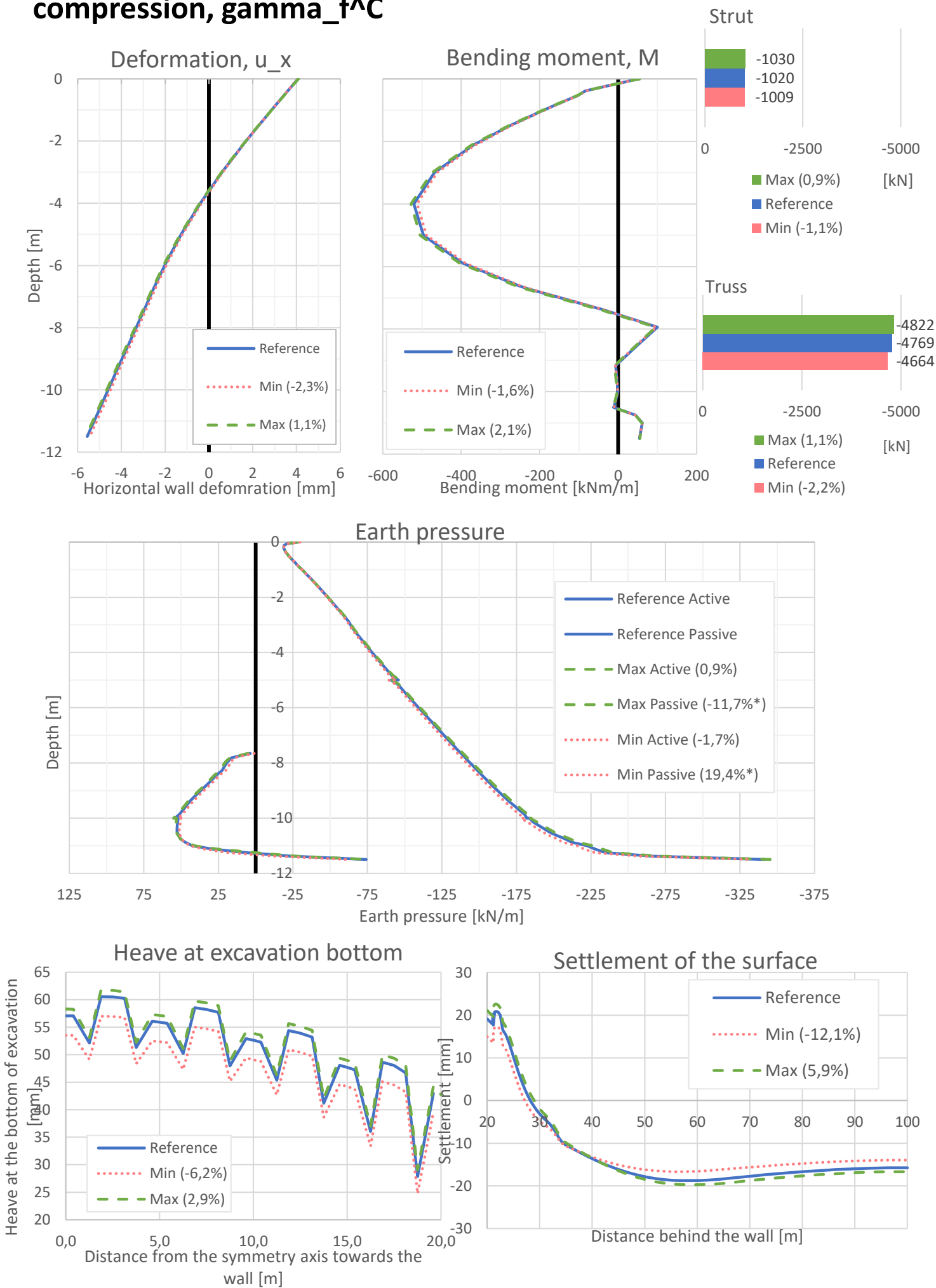
$E_{50} \cdot \text{MIN}/\text{MAX}, E_{\text{oed}} = 0,5 \cdot E_{50}, E_{\text{ur}} = 6 \cdot E_{50}$



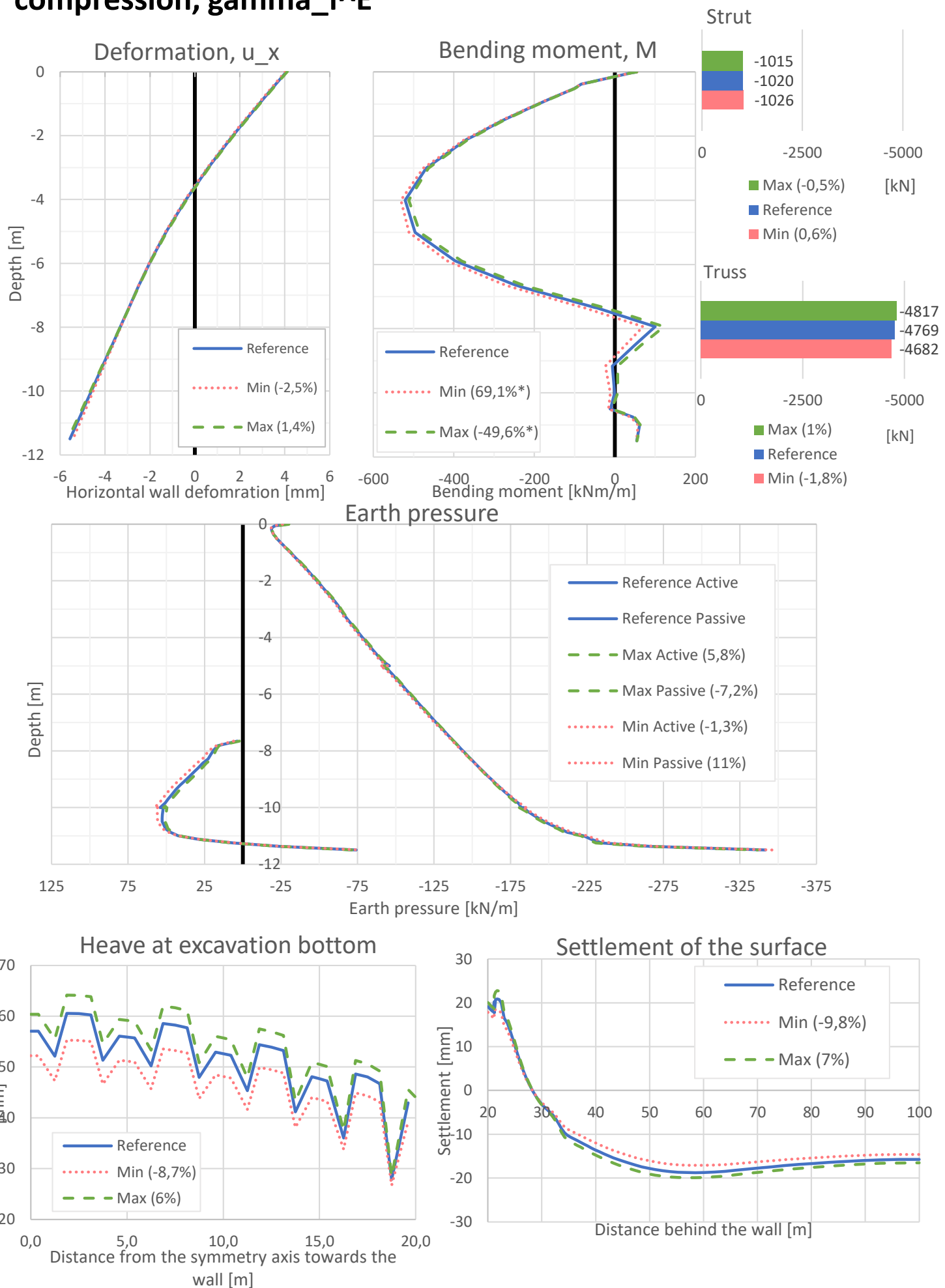
# #13 Ratio un-/reloading shear modules over active shear strength, $G_{ur}/s_u^A$



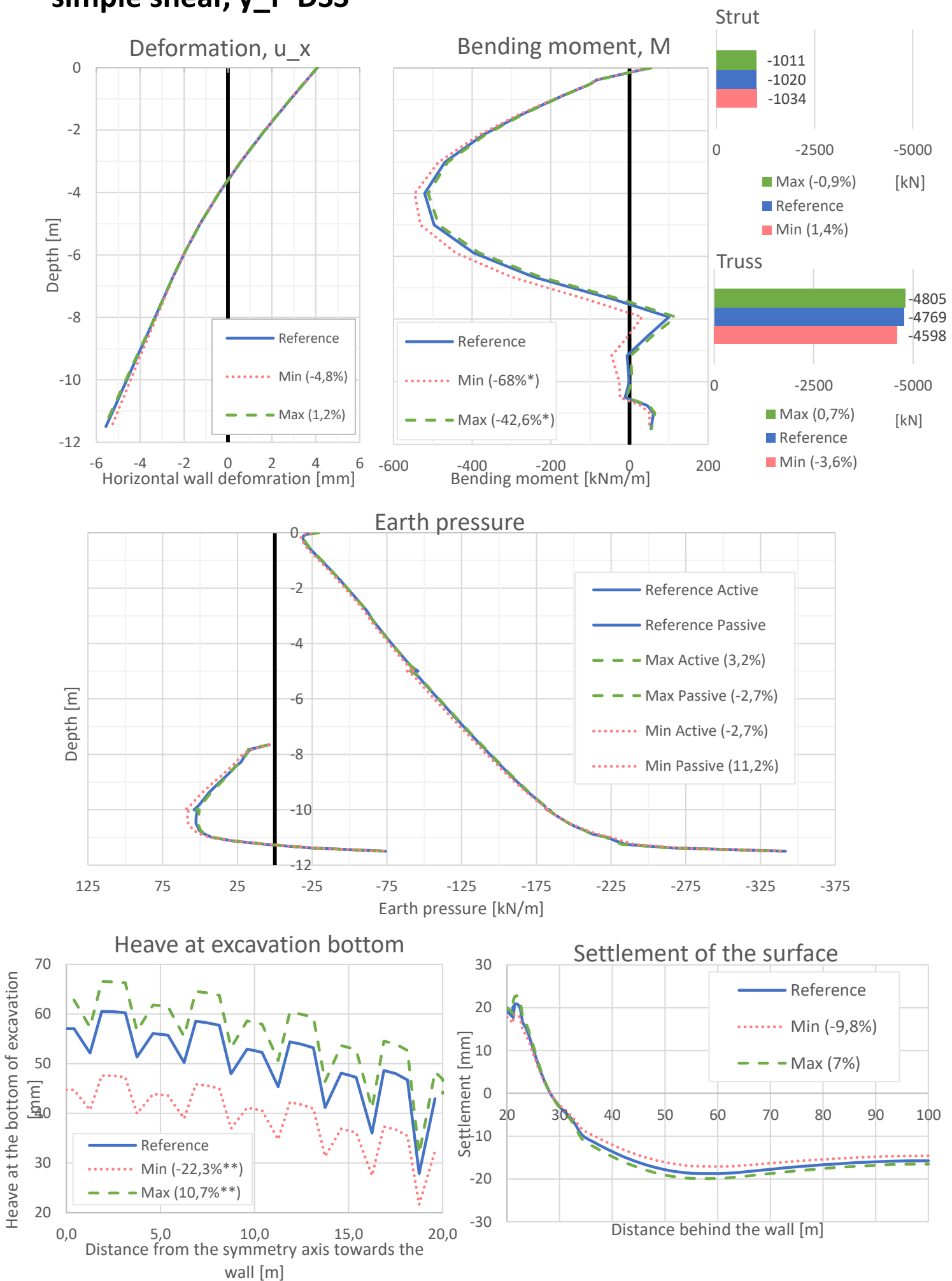
# #14 Shear strain at failure in triaxial compression, $\gamma_f^C$



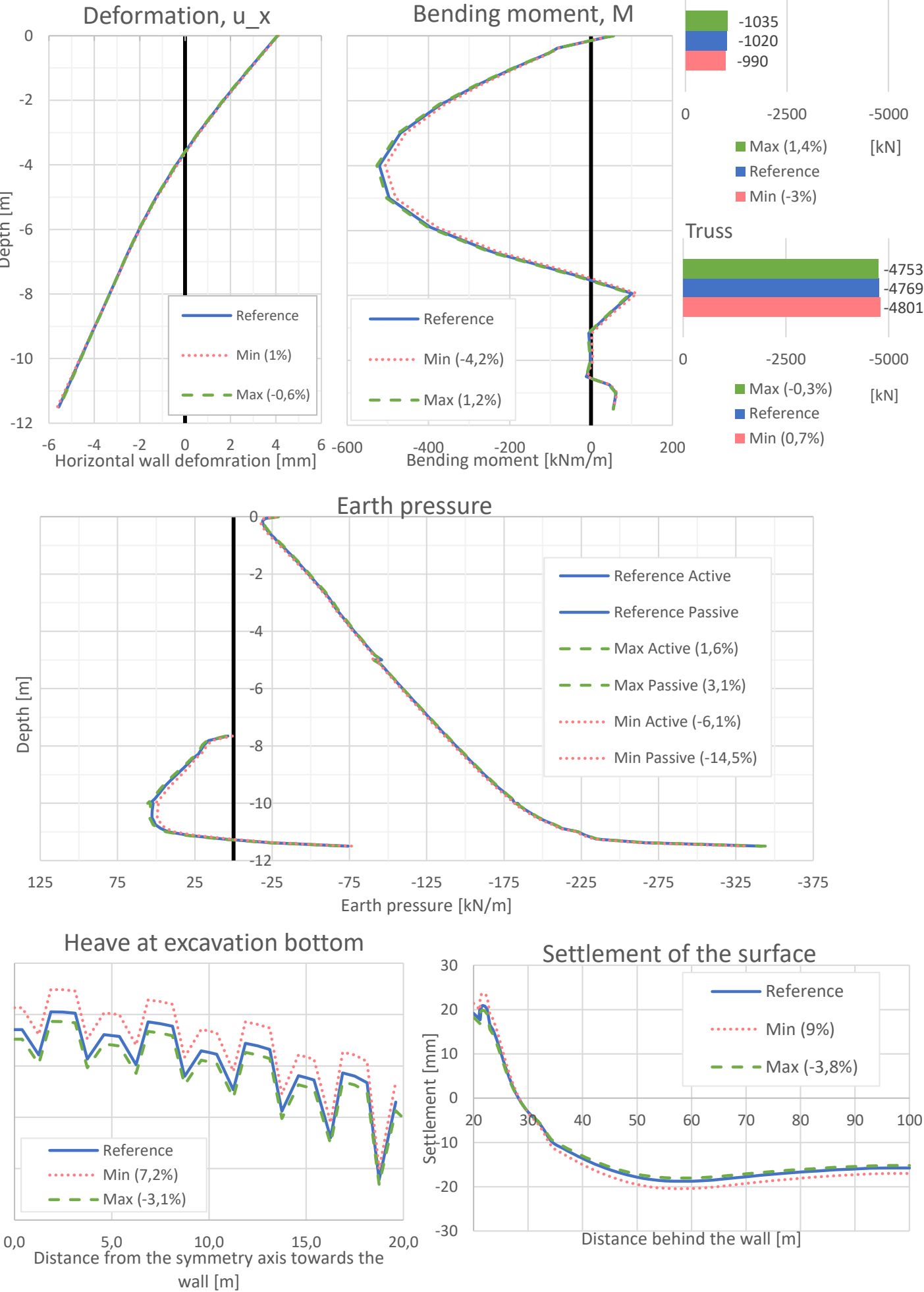
# #15 Shear strain at failure in triaxial compression, $\gamma_f^E$



# #16 Shear strain at failure in direct simple shear, $y_f^{DSS}$



# #17 Ratio of passive shear strength over active shear strength, $s_u^P/s_u^A$



# #18 Ratio of direct simple shear strength over active shear strength, $s_u^{DSS}/s_u^A$

